

The Inference of Gene Trees with Species Trees with an Emphasis on Horizontal Gene Transfer



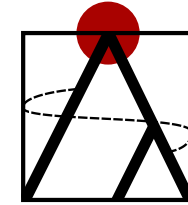
Gergely Szöllősi

MTA-ELTE "Lendület"

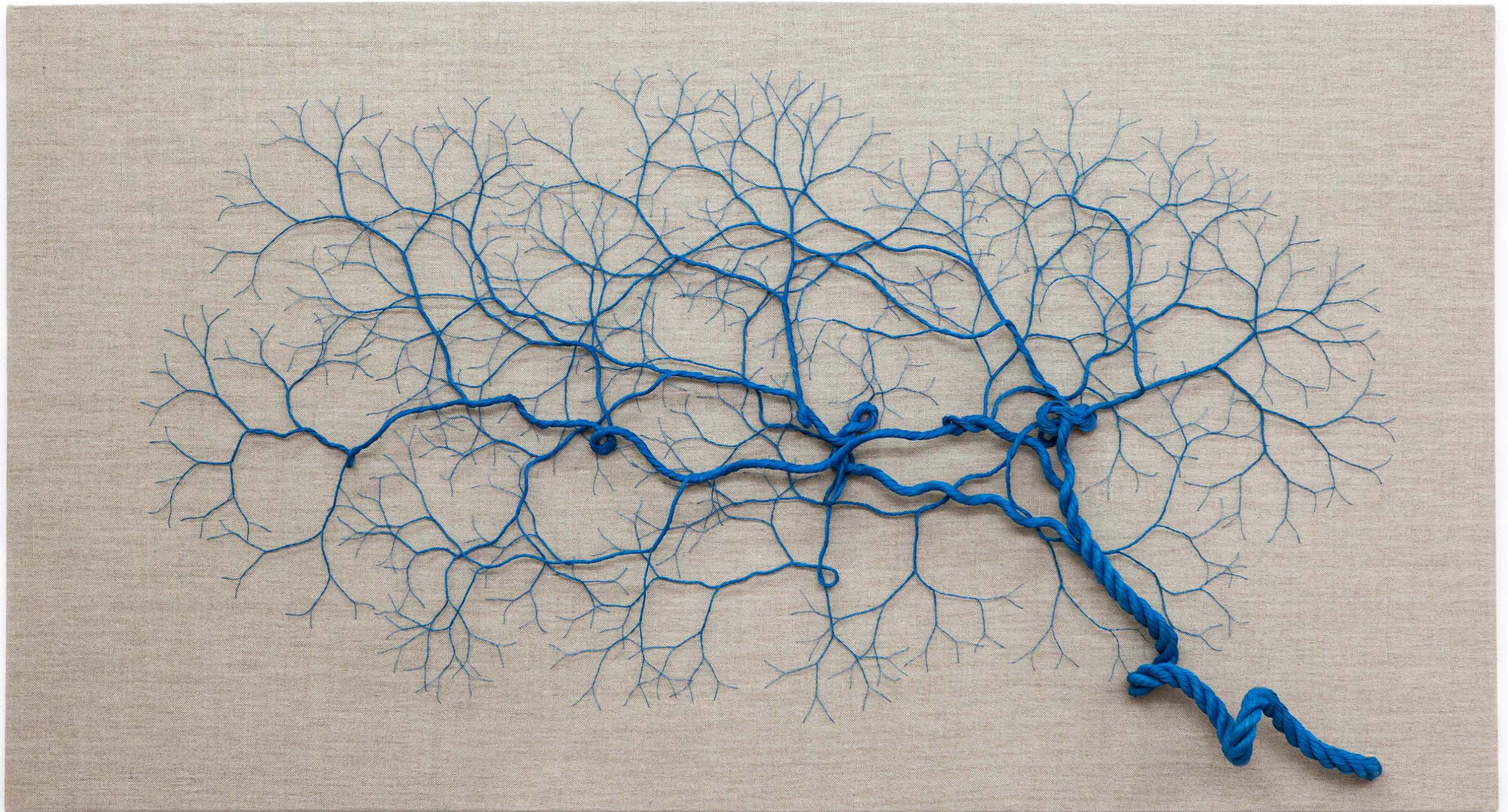
Evolutionary Genomics Research Group

Budapest, Hungary

ssolo@elte.hu



ANR



1996



12 Giga FLOPS

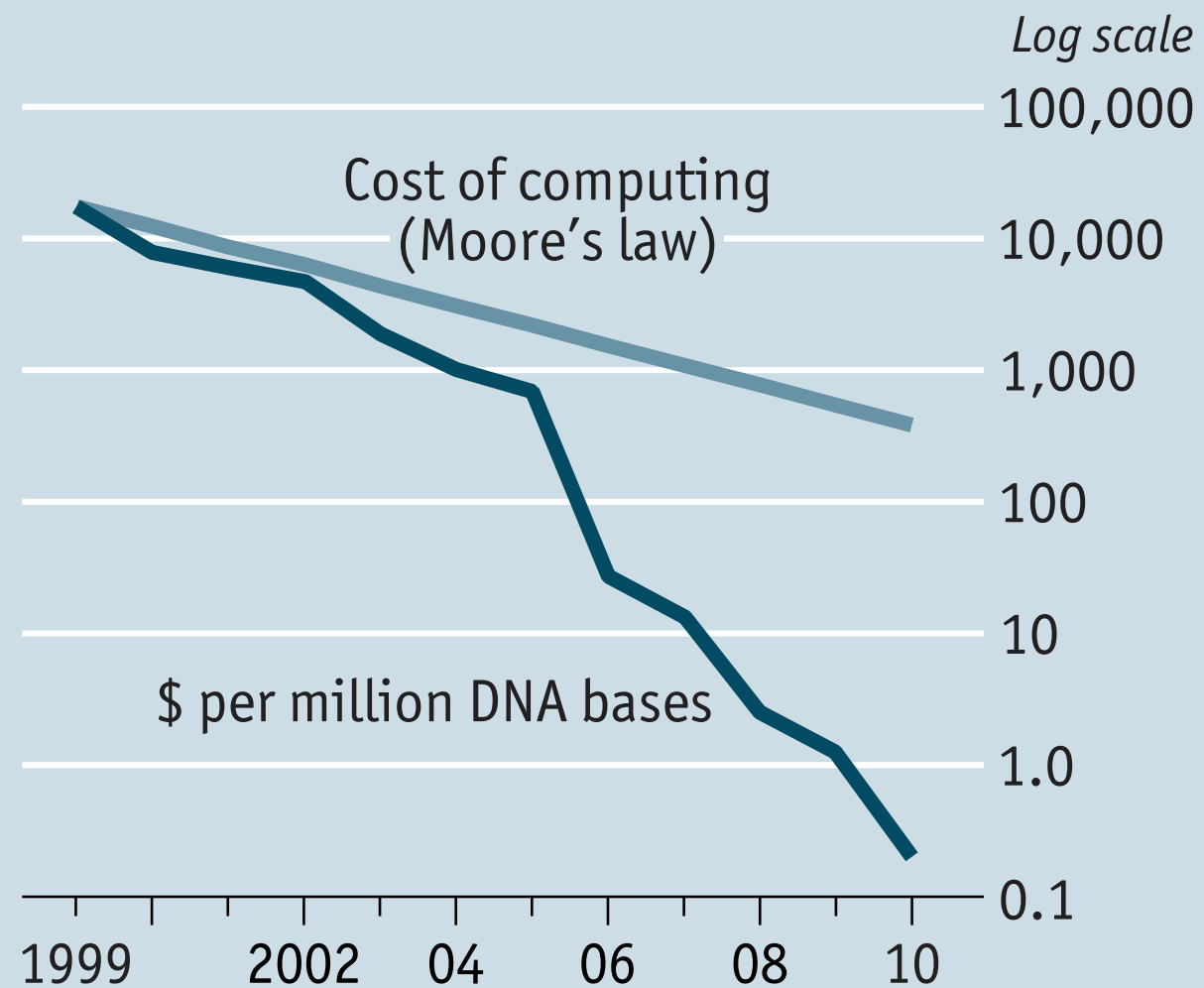
(Floating Point Operations Per Second)

Biology 2.0

Baseline information

1

Cost of genome sequencing compared with Moore's law for computers



Source: Broad Institute

2016

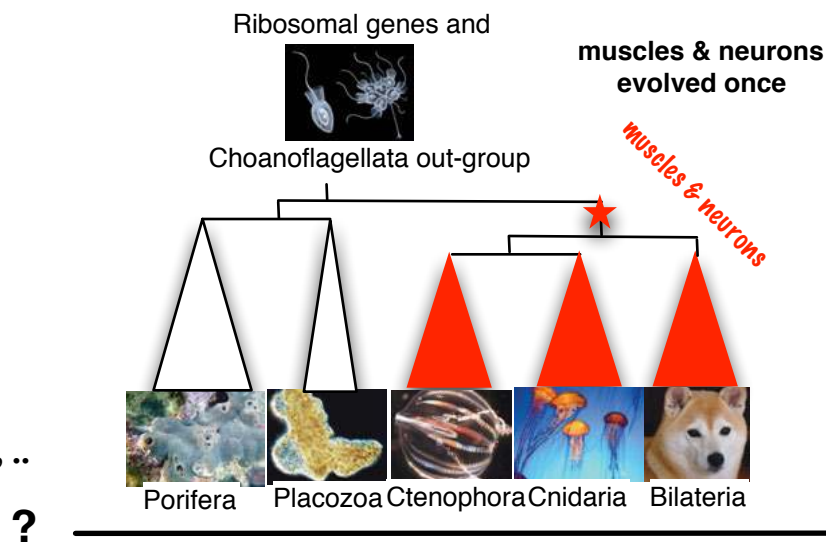
200-300 Giga FLOPS



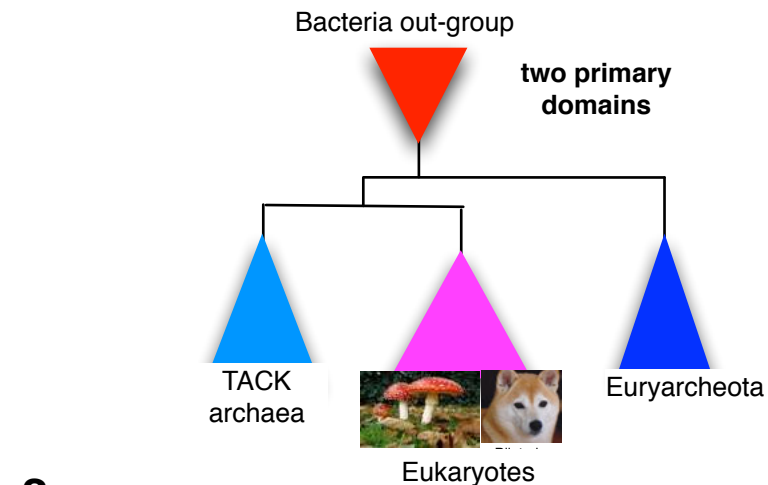
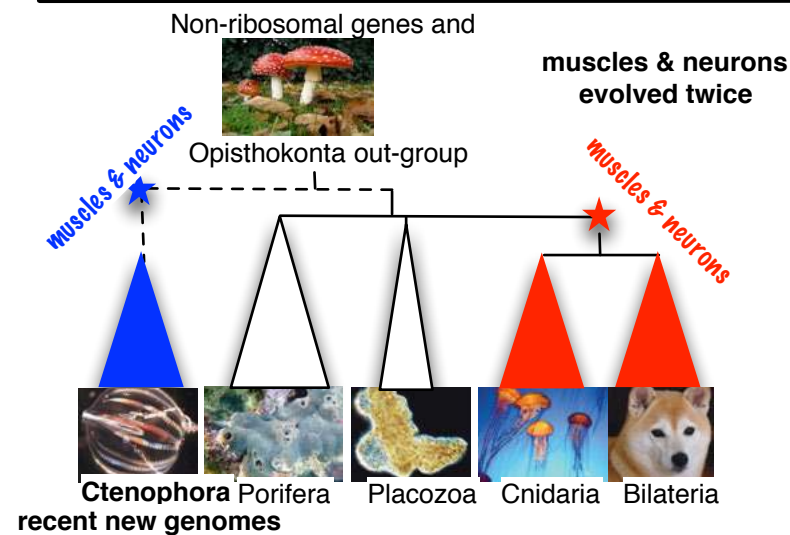
New genomes, old questions

New genomes instead of bringing into sharper focus major evolutionary events such as the origin of eukaryotes or the diversification of major animal lineages have instead reignited old debates.

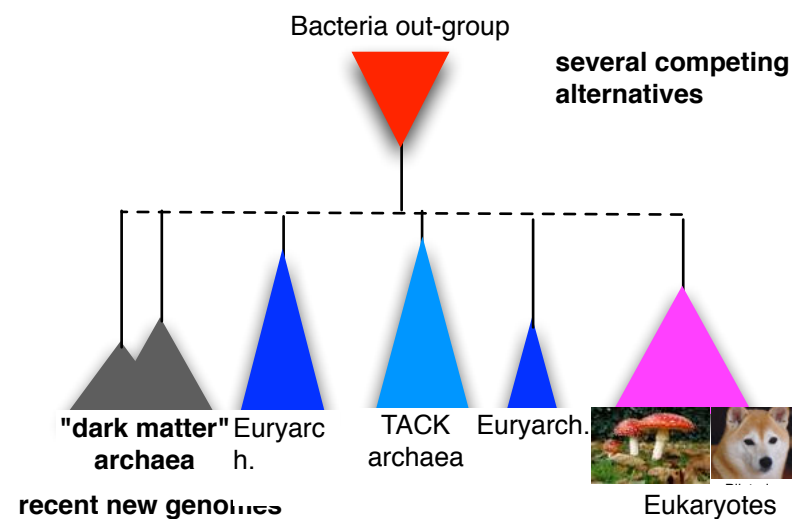
Noshenko 2013, Pisani 2015, ..



Ryan 2013, Moroz 2014, ..



Williams 2013
Williams 2017



Rinke 2013
Raymann 2015
Da Chuna 2017



Thor - Odin - Loki - Heimdal

Zaremba-Niedzwiedzka - 2017

The Inference of Gene Trees with Species Trees with an Emphasis on Horizontal Gene Transfer

Species tree aware & unaware methods
“phylogenomics — why we are doing it all wrong”

models of gene family evolution with D&L
joint reconstruction with DL of the mammalian ToL

HGT in the context of species tree-aware methods

just how much HGT?

HGT as information

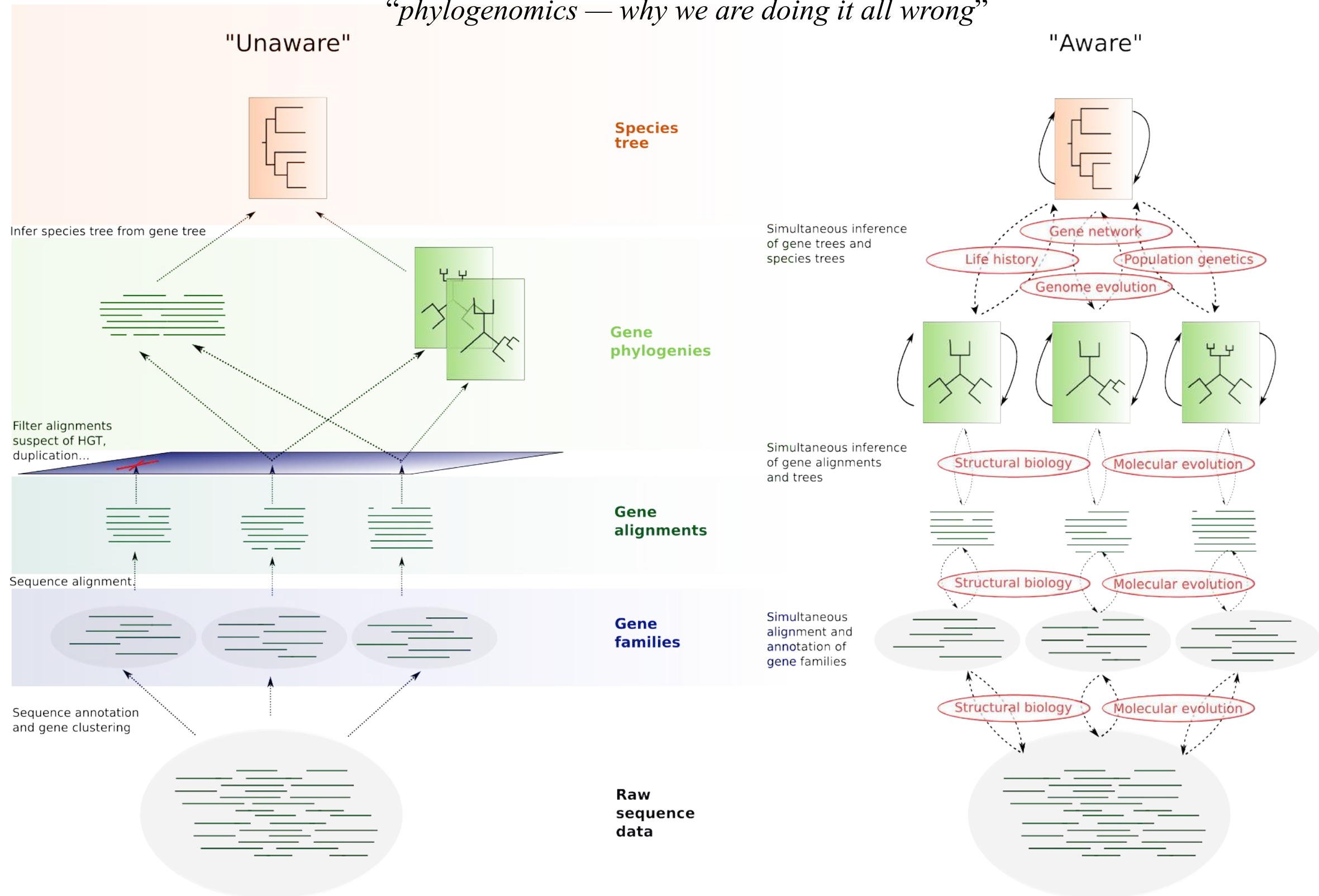
models of gene family evolution with D,T&L

HGT from the dead

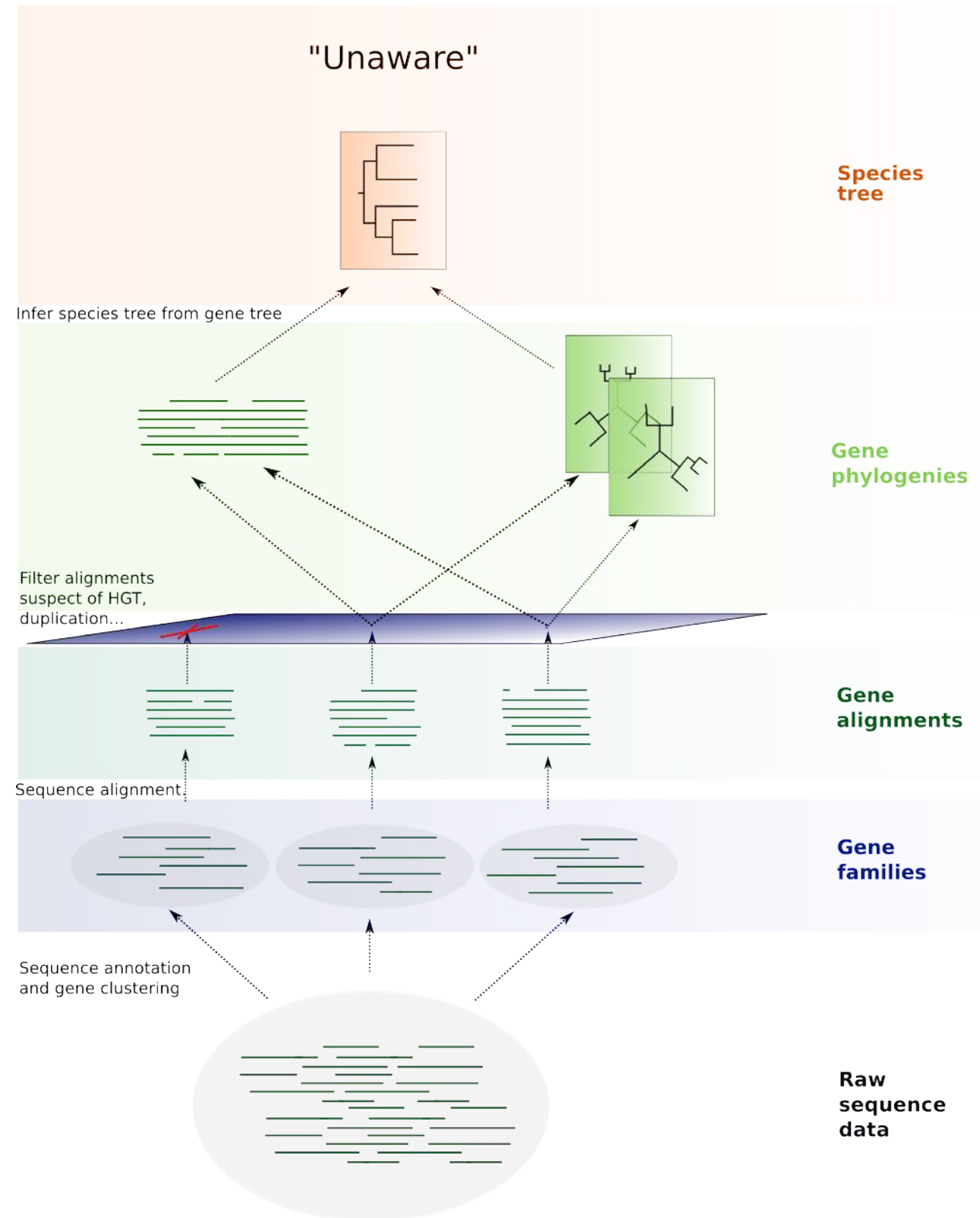
Amalgamated Likelihood Estimation

Phylogenetic awareness

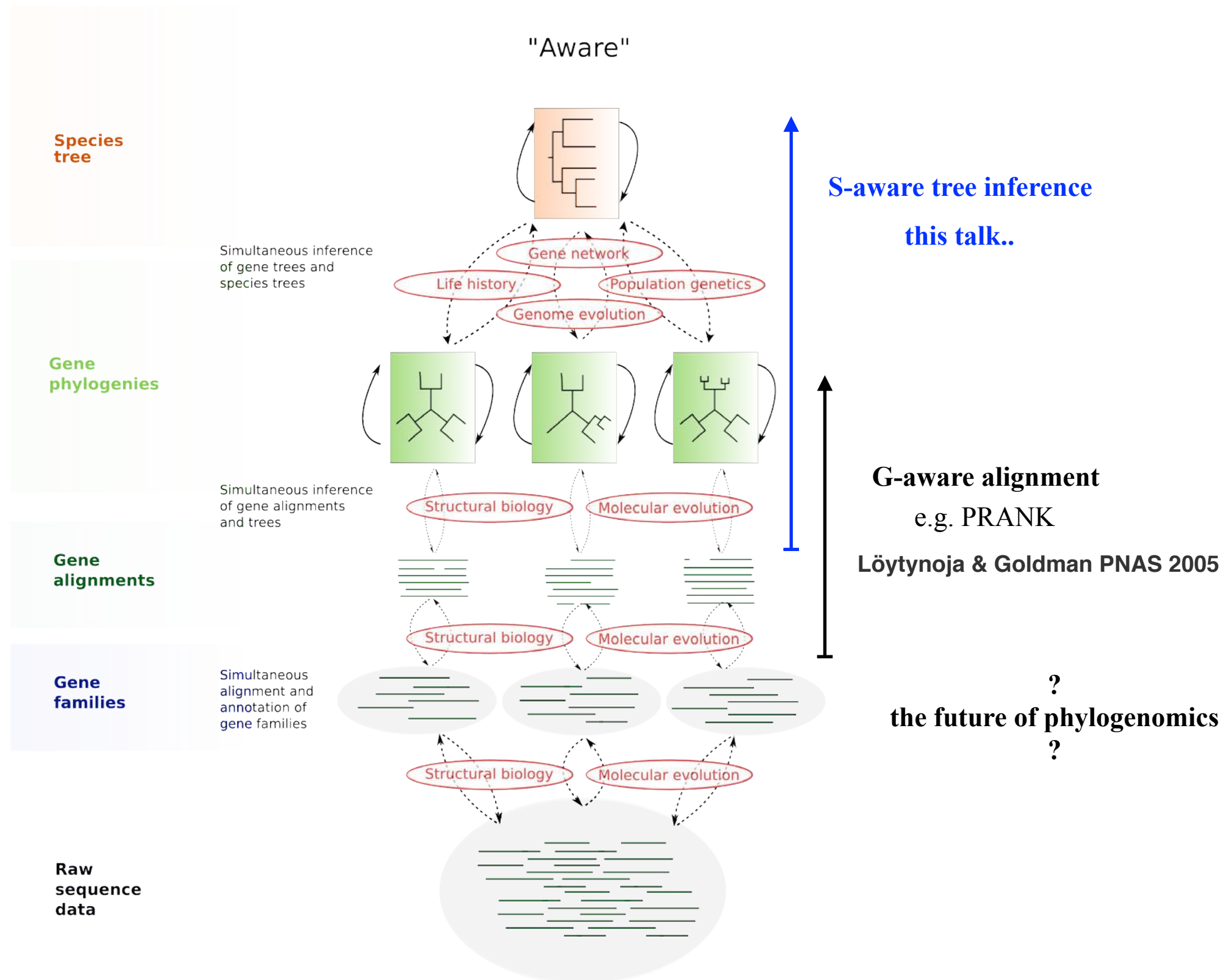
“phylogenomics — why we are doing it all wrong”



In the unaware path (the traditional way of inferring the species tree) each stage of the phylogenetic inference is independent from the steps up- and downstream.



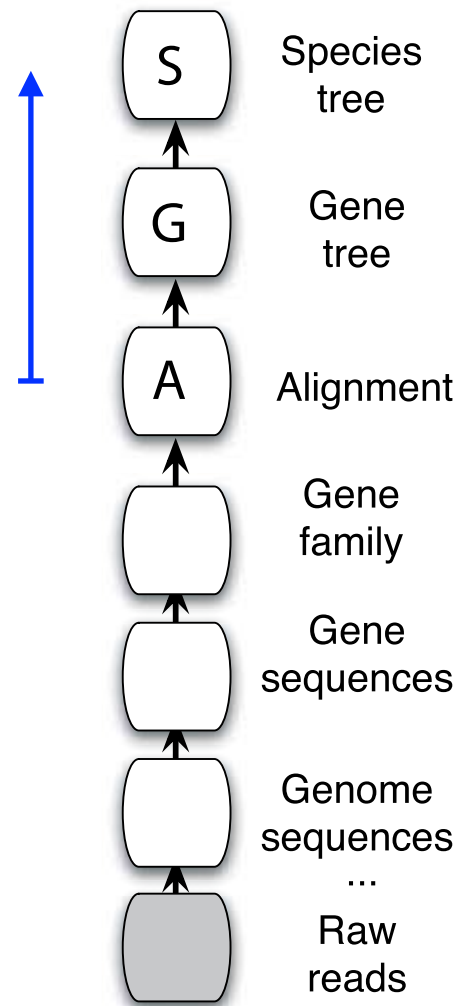
In contrast, the aware path models the dependency between each step using knowledge from different fields of biology..



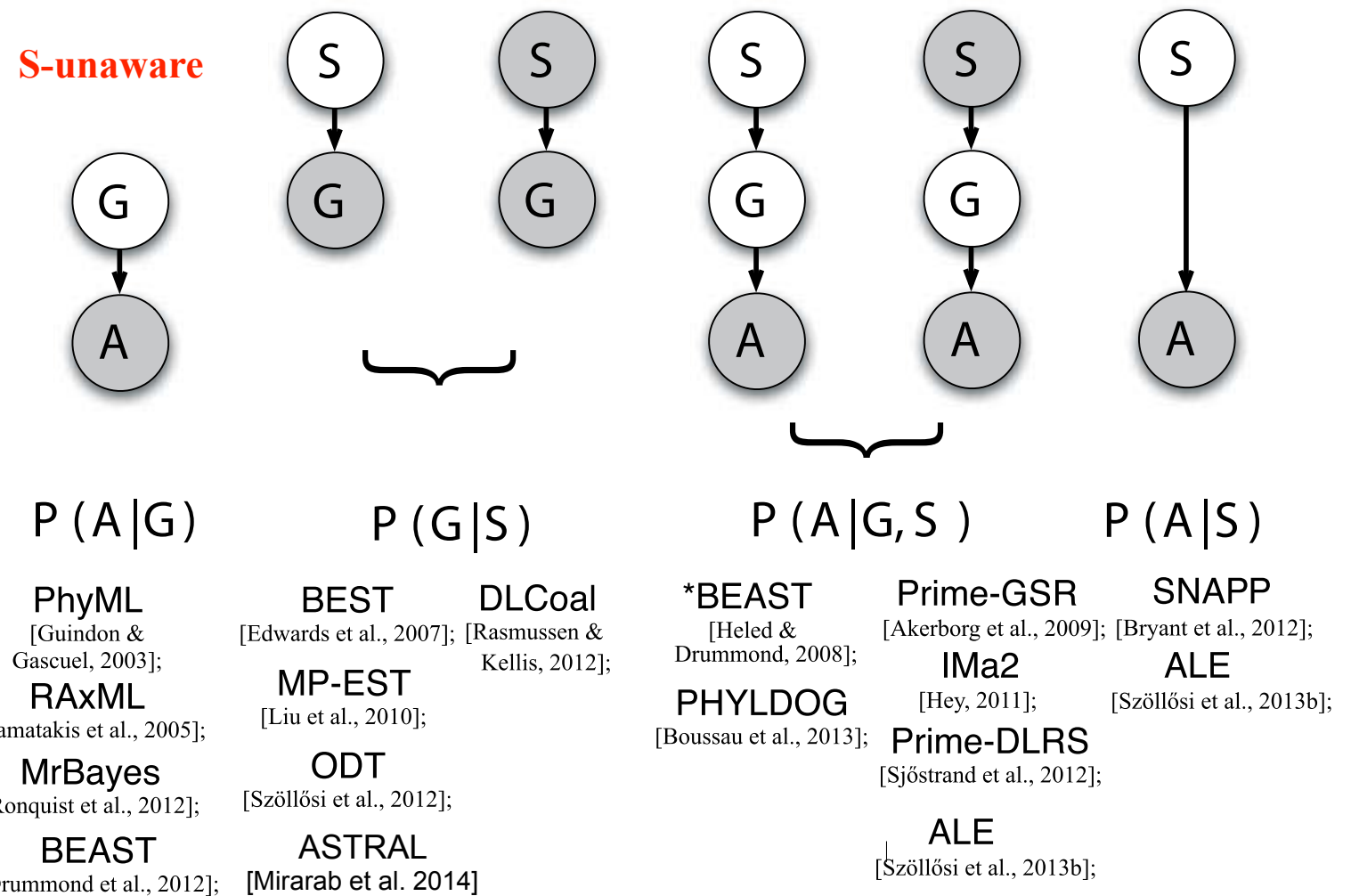
Species tree-awareness

S-aware

Phylogenomics inference pipeline



Gene tree-species tree models published in the literature



Szöllősi, ..., Boussau 2015 Syst. Biol.

Molecular phylogenetics infers gene trees based on sequence..

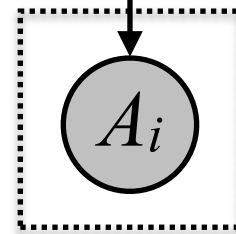
“sequence only” inference

species tree-unaware

gene tree



sequences



TATCAAGTC..

TATCAAGAC..

TATCACGAC..

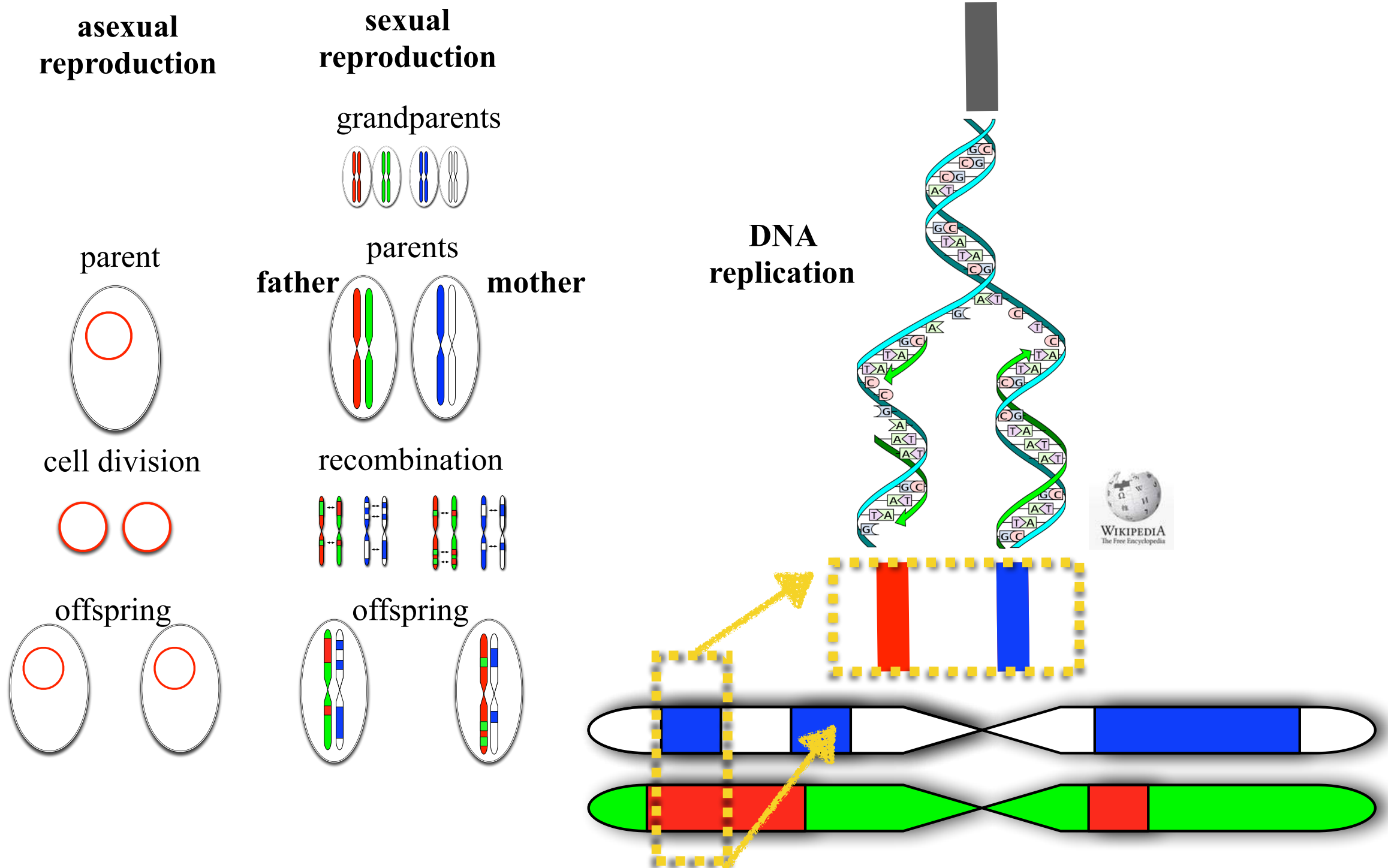
TACCAGGAC..

TACCAGGT..

TACCAGGAT..

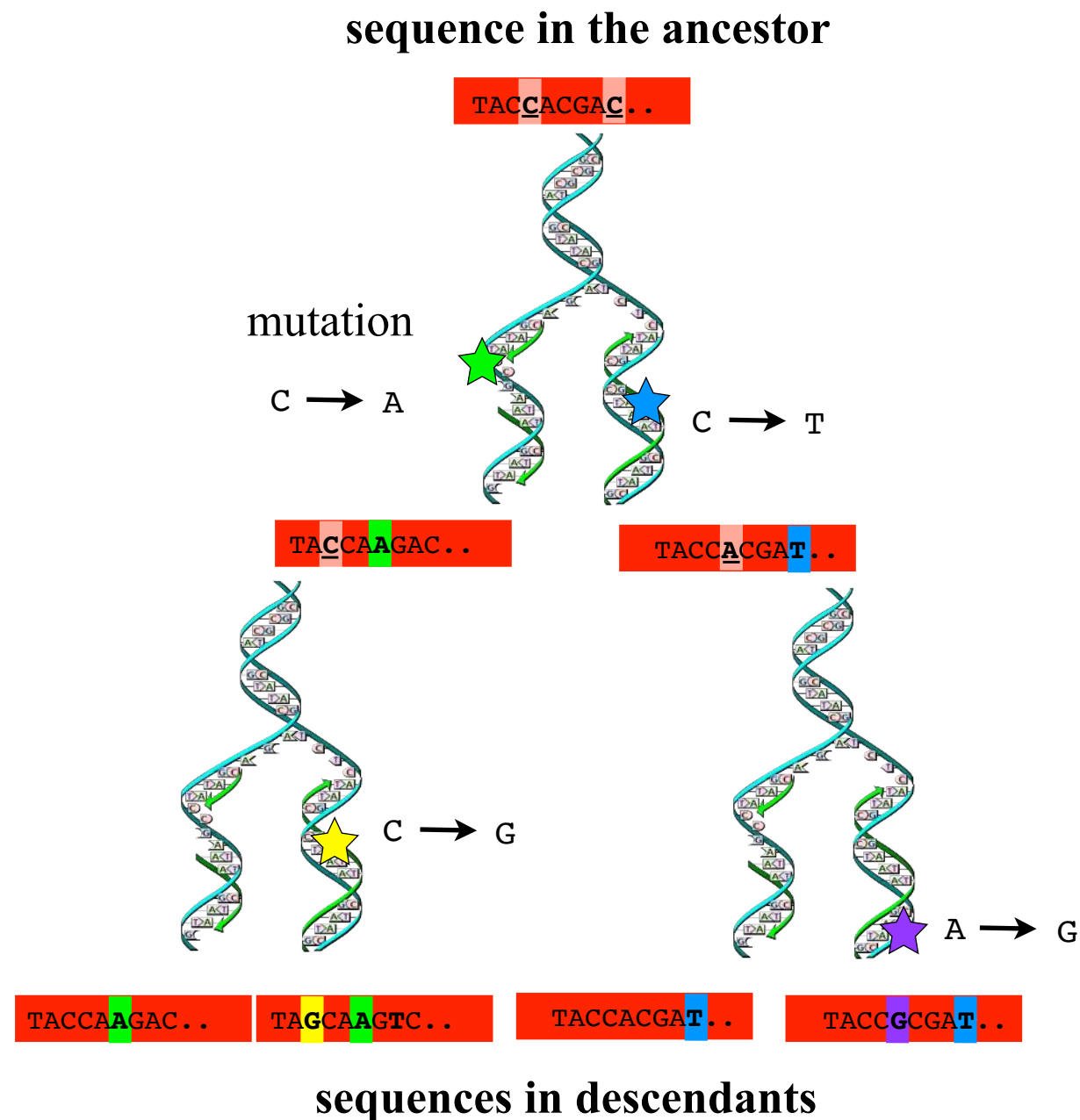
Today's sequences are a results of a series of replication events

Independent of the details of reproduction the story of two homologous pieces of DNA can (locally) always be traced back to a single replication event.



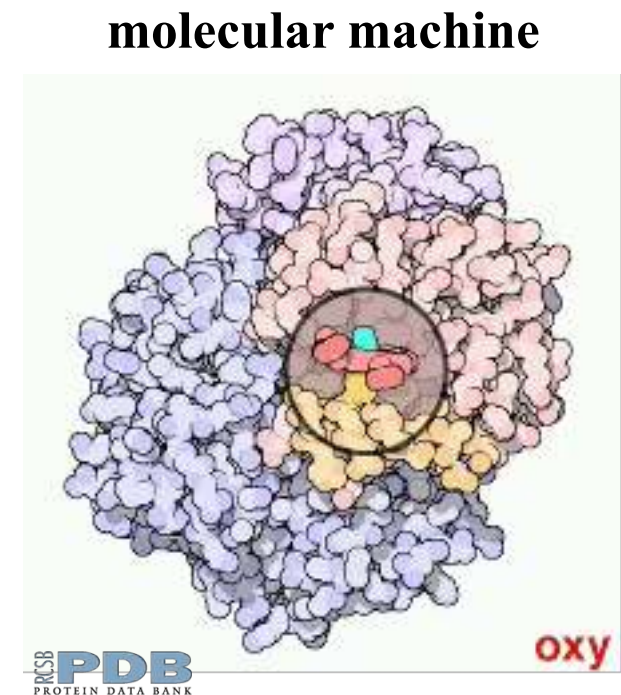
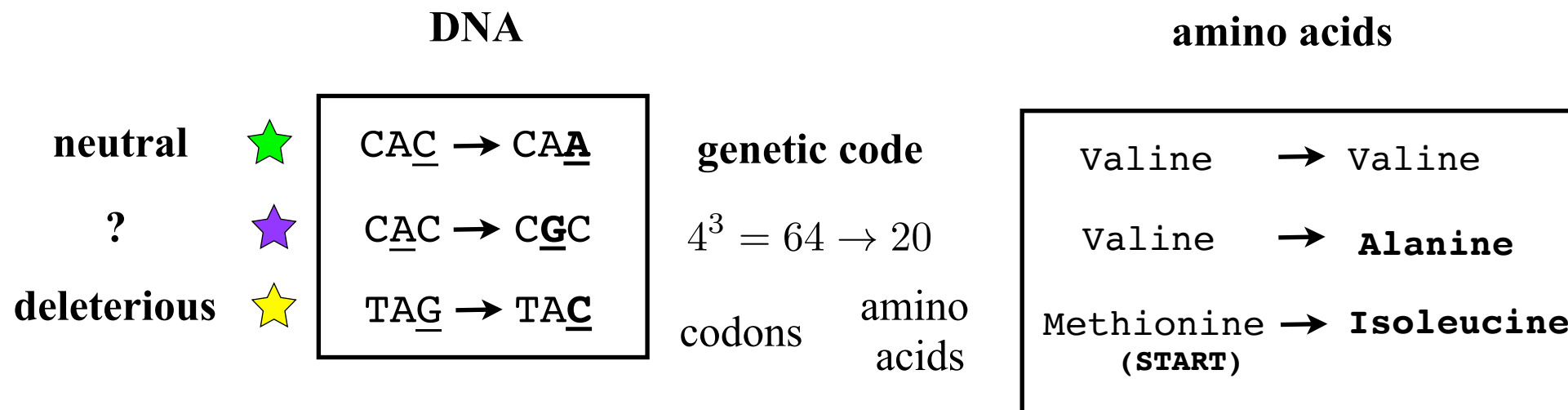
Errors that occur during replication are inherited

Errors introduced into sequences during replication (e.g. point mutation) are inherited. The fate of each error depends on its effect on phenotype and a variety of other factors.



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(human hemoglobin)

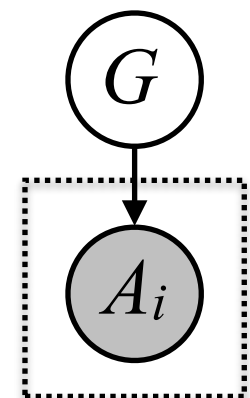
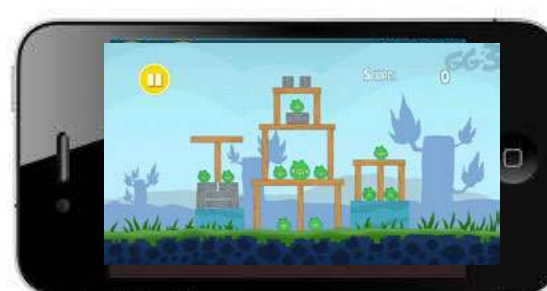
empirical models of *substitution events*

TN92, TN93, F81, HKY85, GTR, TKF91, TKF92, WAG, BLOSUM, PAM, JTT92, LG08, REV, MTREV, GY94, MG95, NY98, M0, M1, . . . M13, CAT (and CAT again), MKv, Dayhoff, JC69, K2P, K3P, ECM, DEC, BM, OU, EB, CATBP, GG98, TS98, G01, UCLN, UCG, RLC, ACLN, CIR, and WN

CAT+GTR
Lartillot & Philippe 2004



LG
Le & Gascuel 2008



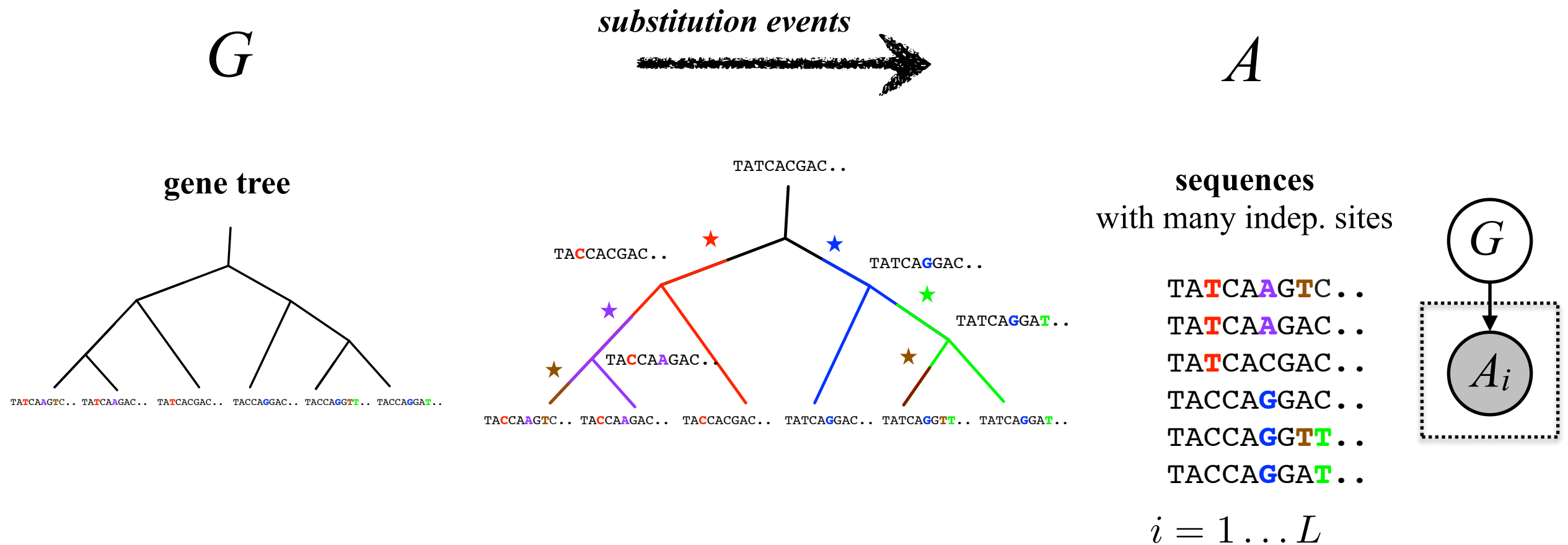
The story of homologs genes can be reconstructed

Given a model of sequence evolution the gene tree can be reconstructed, because it induces a probability distribution over site patterns (some site patterns are more likely than others given a particular gene tree), and in return, the site patterns we observe inform us about the gene tree along which they were generated.

Likelihood of the sequences A given the gene tree G :

$$p(A|G)$$

Felsenstein 1981



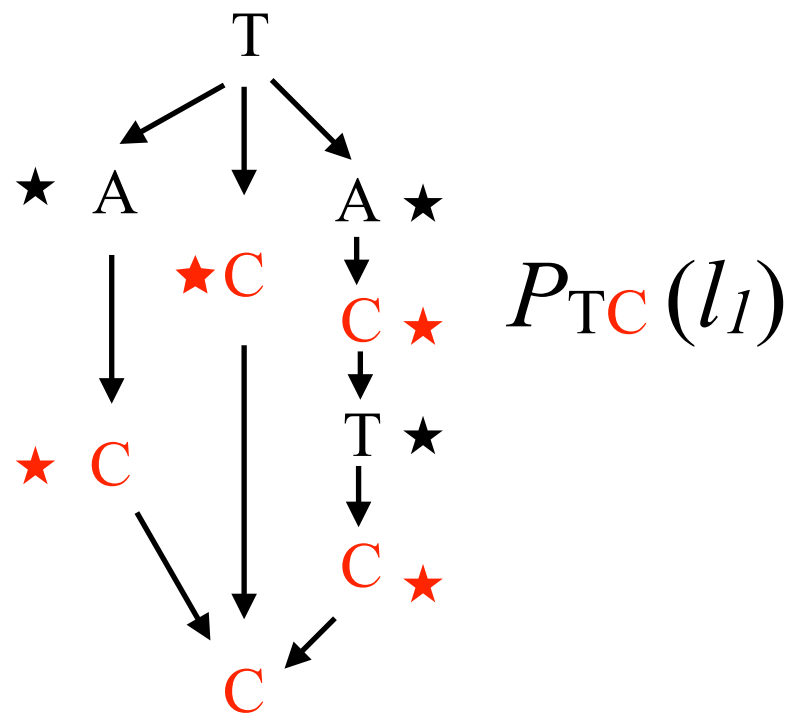
The story of homologs genes can be reconstructed

Calculating the likelihood of the sequences A given the gene tree G

$$p(A|G)$$

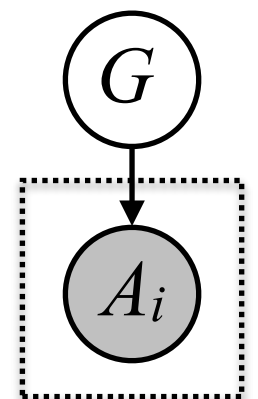
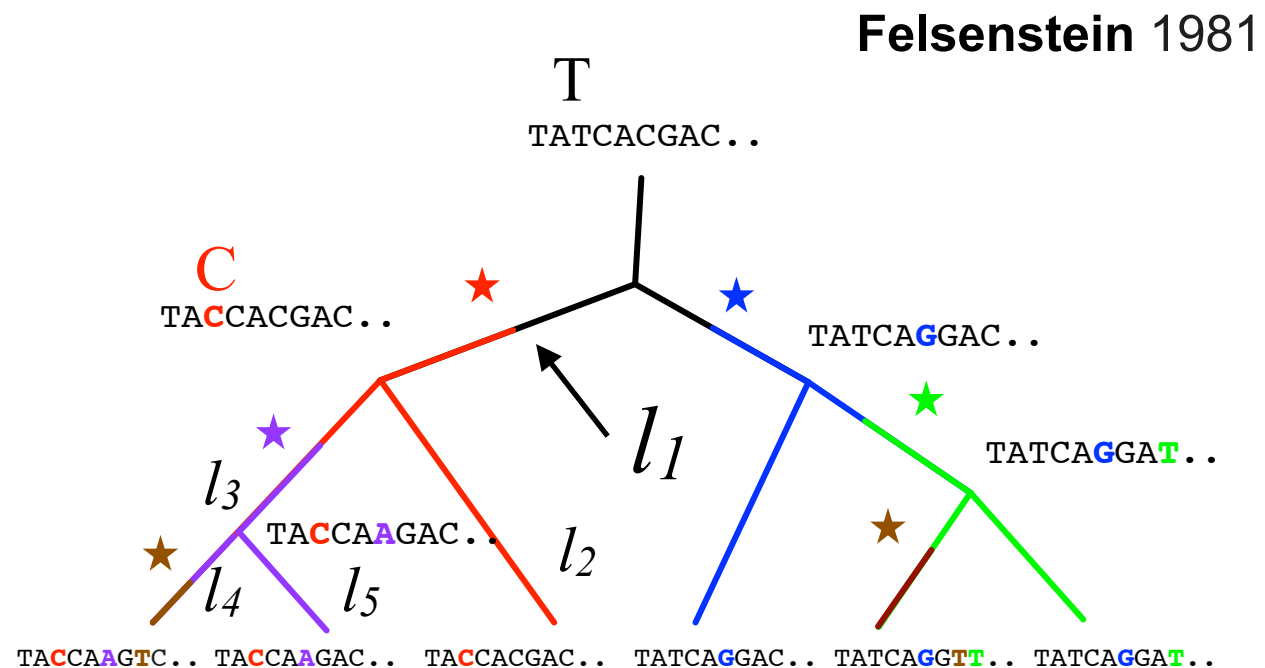
requires summing over all possible substitution paths.

sum over subs. along branch
conditional on states on top and bottom



(for $l=0.2$ subs./site
1.6% will have 2 subs.)

sum over ancestral states



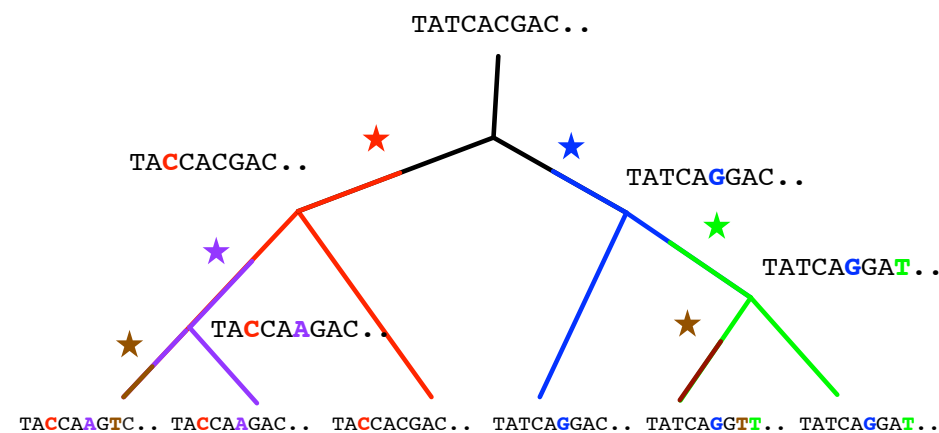
$$= .. \times P_{TC}(l_1) \times P_{CC}(l_2) \times P_{CC}(l_3) \times P_{CC}(l_4) \times P_{CC}(l_4)$$

The story of individual gene families is often blurred

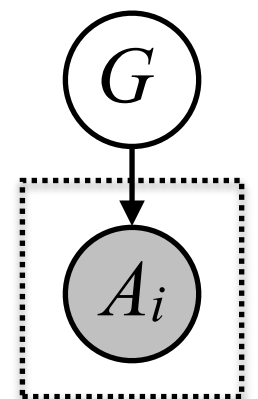
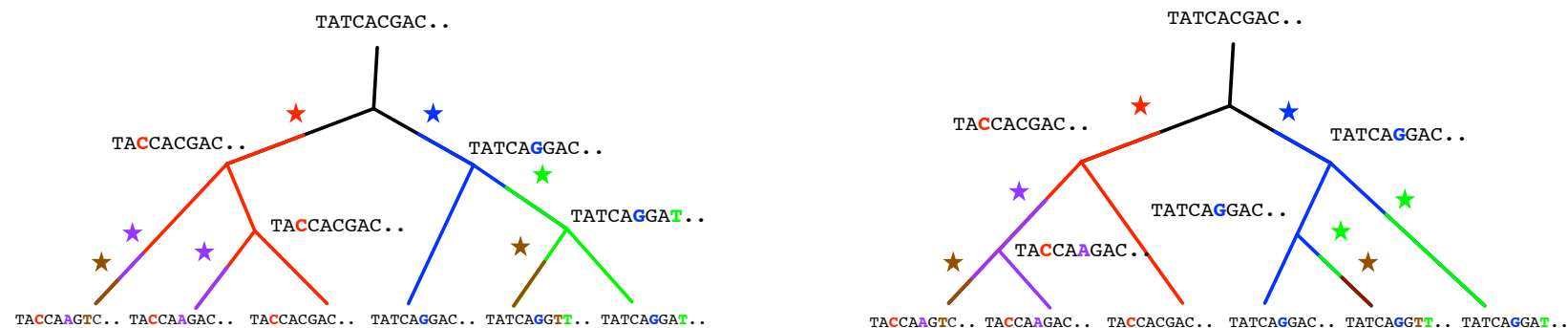
Individual genes alone contain limited signal, and as a result phylogenetic reconstruction almost always involves choosing between statistically equivalent or weakly distinguishable relationships.

In the vicinity of the G -s that optimises the likelihood

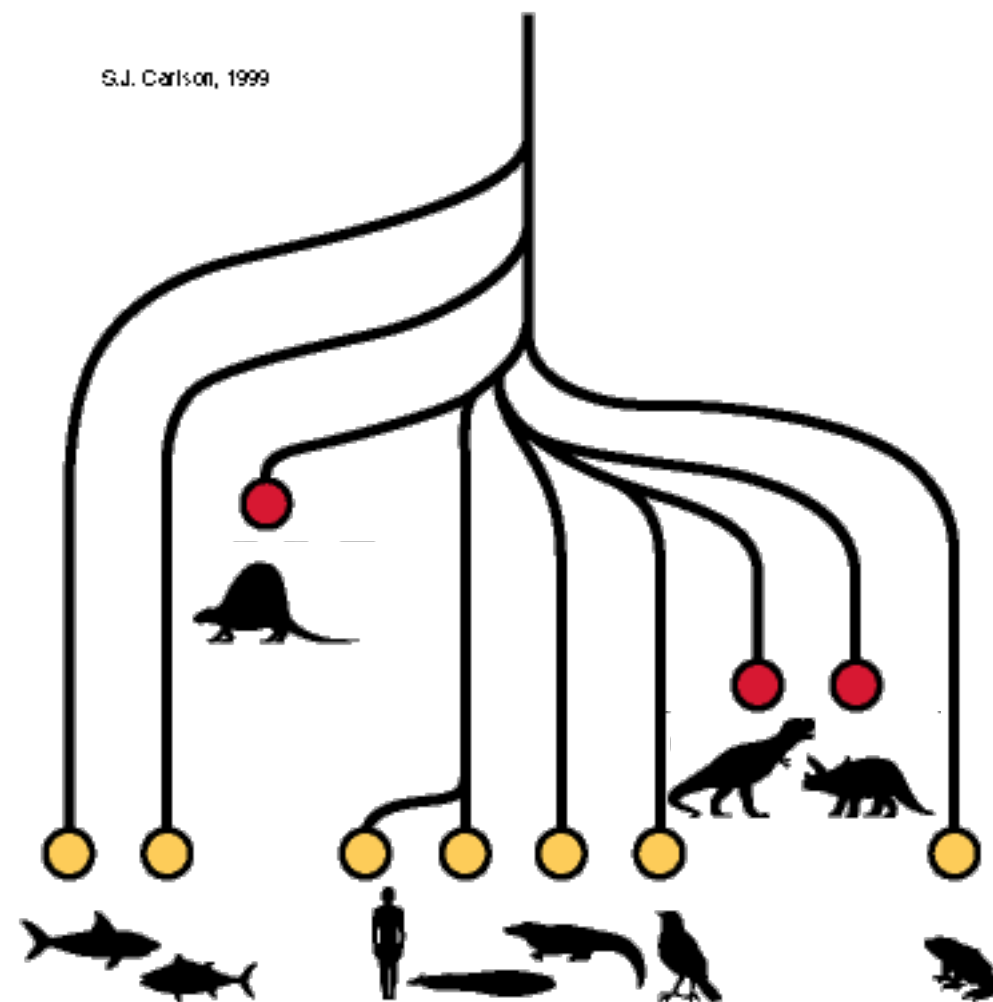
$$p(A|G)$$



there are often many statistically **equivalent** gene trees:



Anyway, we really care about the species tree..

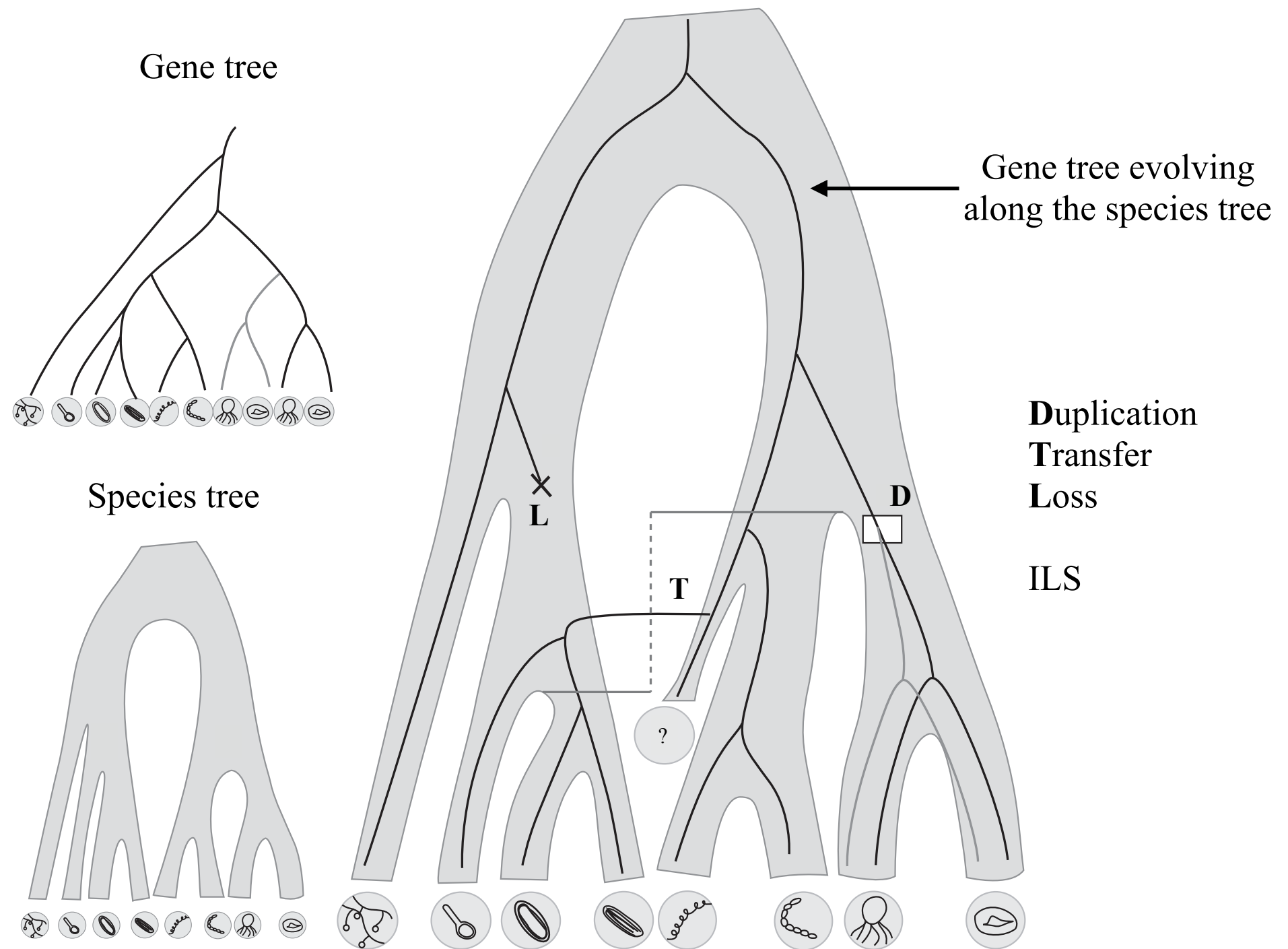


today's vertebrates

S

The problem is gene trees are not species trees

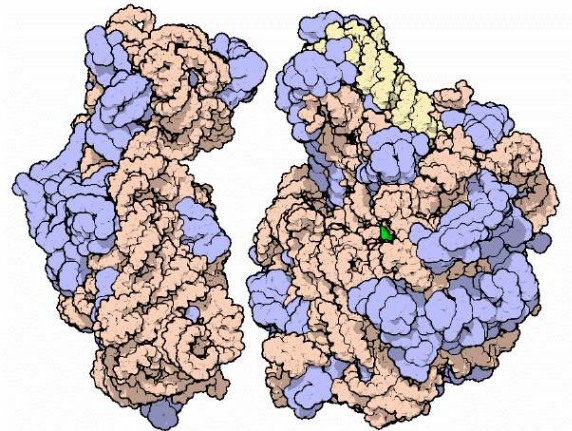
A gene tree is a deformation of the species tree through the prism of genome evolution and population genetics processes.



Phylogenomics — the history of the 1%

Carefully choosing gene present in a single copy in each organism we can equate gene trees with the species tree ..

e.g.



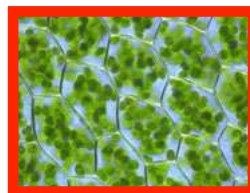
16S rRNA

Carl Woese, 1977

at most a few dozen

Ciccarelli, 2006

Eucaryotes



Animals

Plants

Protozoa

Euryarchaeota

Crenarchaeota

Archaea



Bacteria



Firmicutes

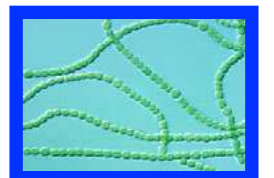
Chlamydiae

Planctomycetes

Actinobacteria

Fusobacteria

Cyanobacteria



Proteobacteria

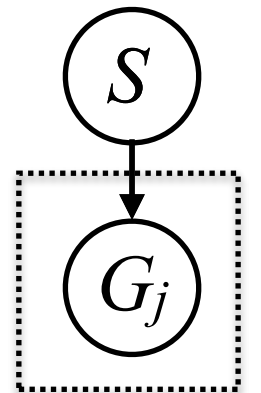


.. but gene trees are generated along the species tree

A gene tree is a deformation of the species tree through the prism of genome evolution and population genetics processes. A species tree induces a probability distribution over gene trees (some gene trees are more likely than others given a particular species tree), and in return, the inferred distribution of gene trees informs about the species tree along which they were generated.



Daubin & Boussau 2011 Trends Ecol. Evol.

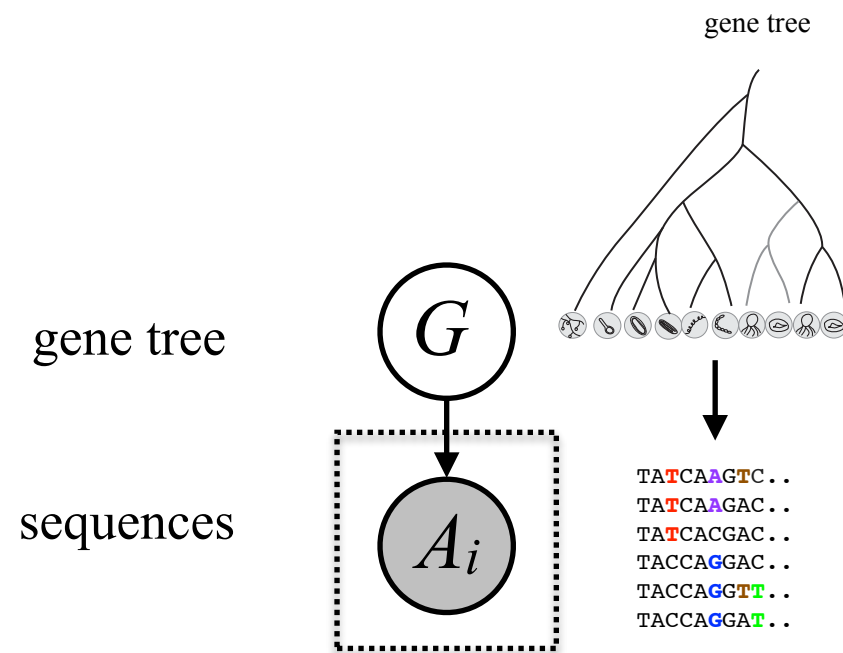


The solution is to model how gene trees are generated along the species tree

A species tree induces a probability distribution over gene trees (some gene trees are more likely than others given a particular species tree), and in return, the inferred distribution of gene trees informs about the species tree along which they were generated.

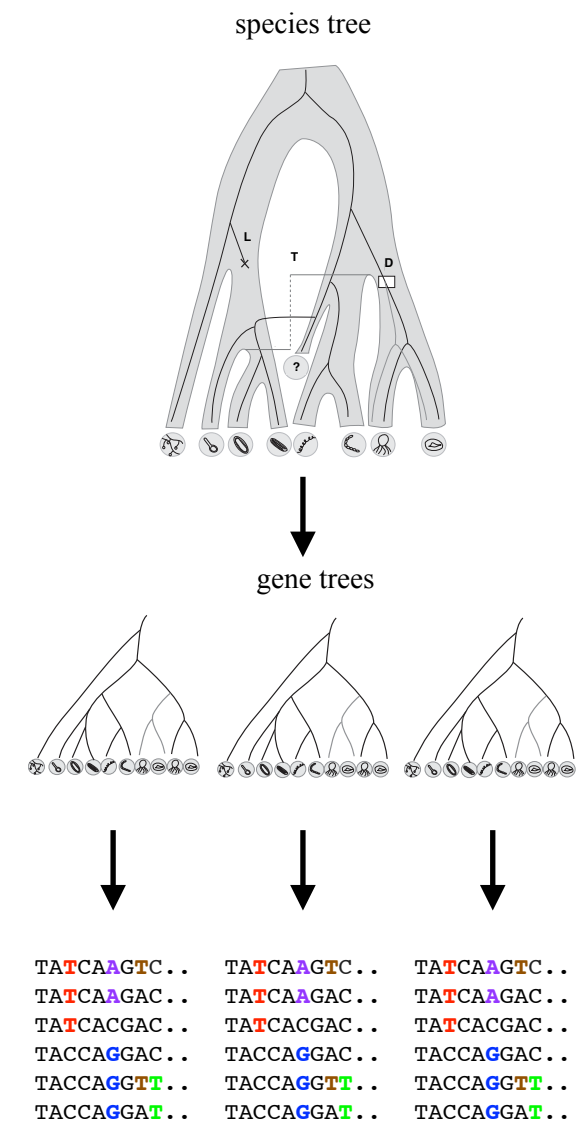
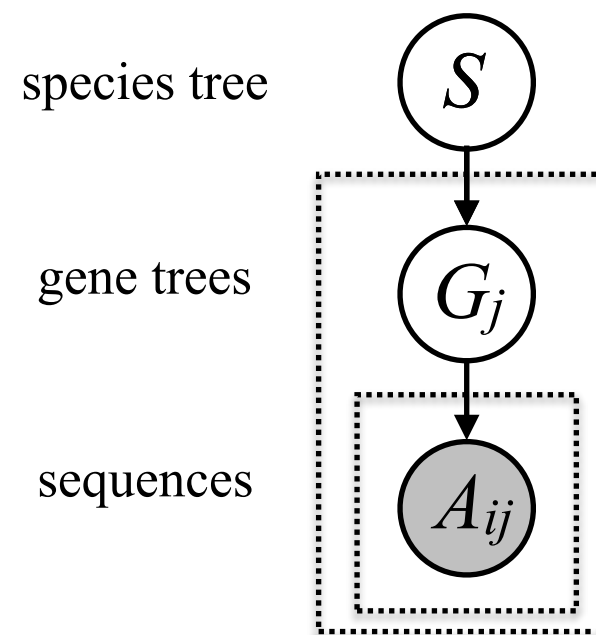
“sequence only” inference

species tree-unaware



“joint” inference

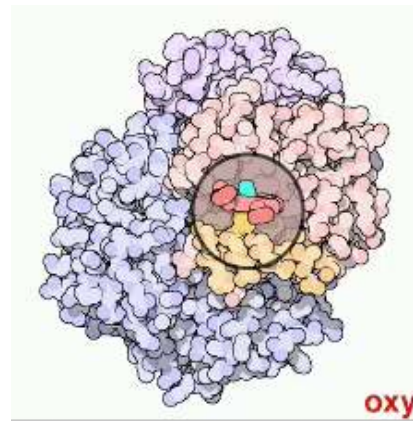
species tree-aware



The stories gene families can be complicated

The story of each gene family consist of a unique series of evolutionary events that often results in a change of copy number and shifts in function.

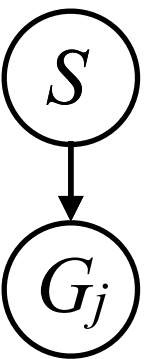
Human hemolglobin is composed of



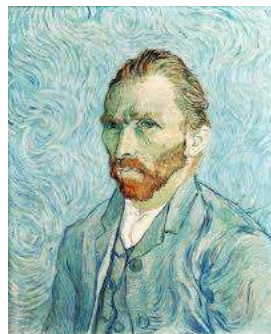
molecular machine

$2\alpha + 2\beta$ chains.

DL



Human



adult

$+2\beta$ (97%)
 $+2\delta$ (3%)

Cow



adult

$+2\{\beta\delta\}$

Horse



adult and fetus

$+2\{\beta\delta\}$

$2\alpha +$



fetus

$+2\gamma$

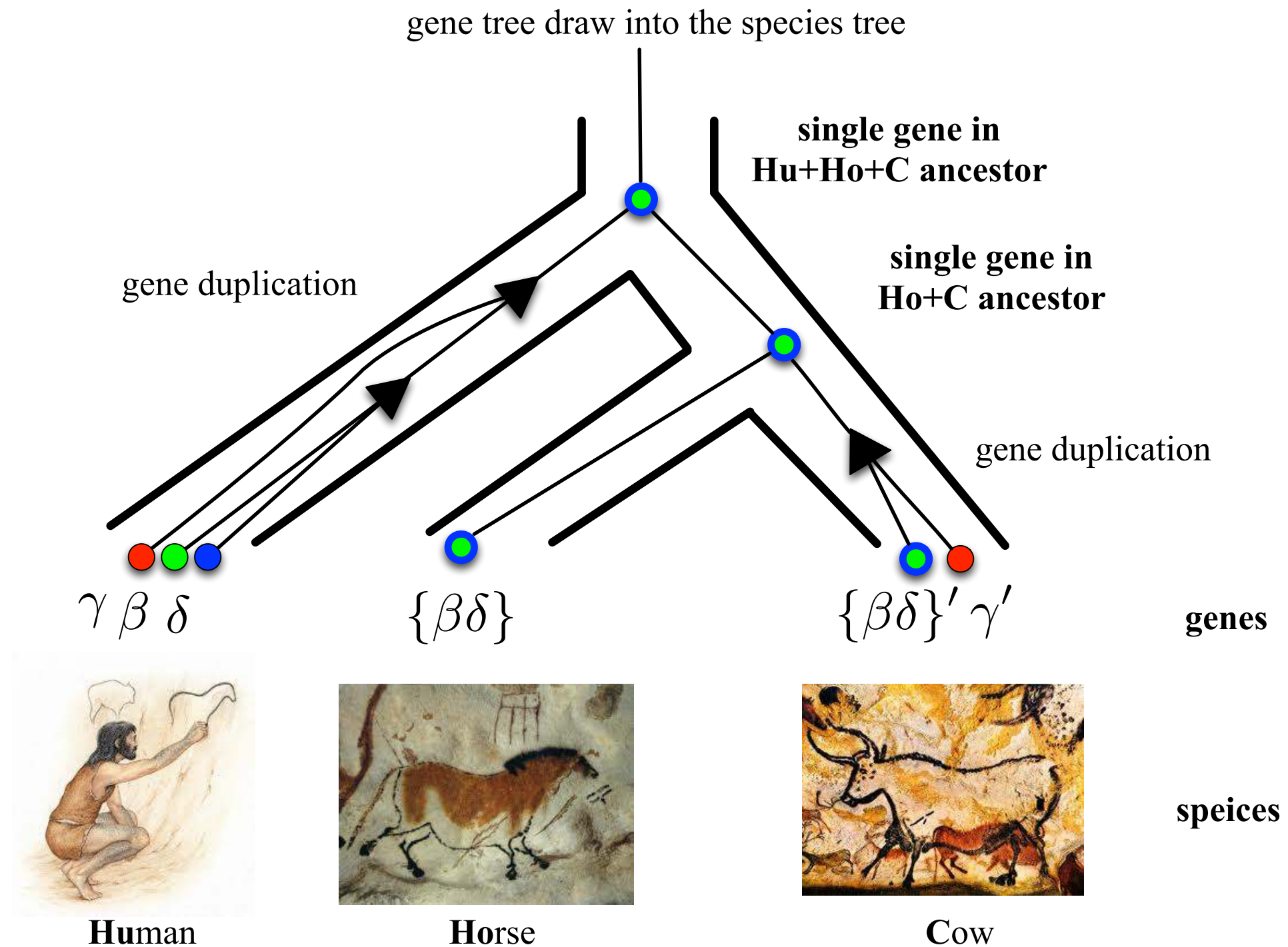


fetus

$+2\gamma$

The stories gene families can be complicated

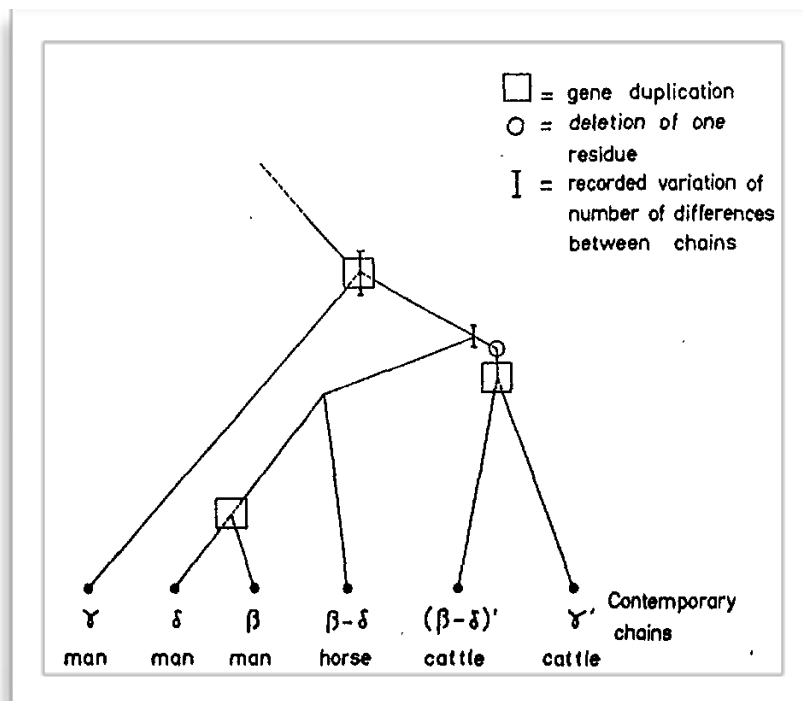
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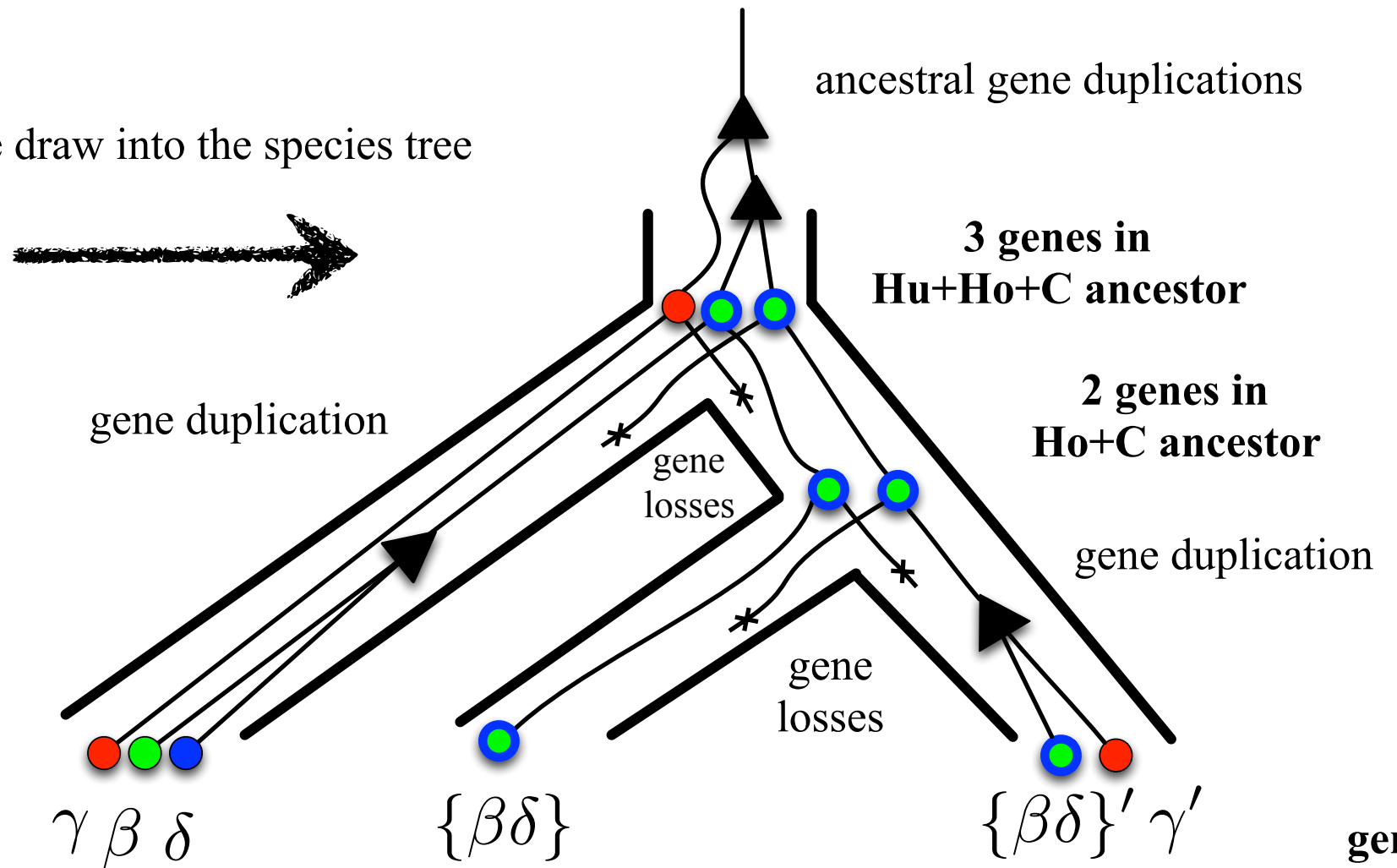
The story of individual gene families is often blurred

Errors in gene trees will result in conflicts with the species tree that imply spurious evolutionary events.

gene tree draw into the species tree



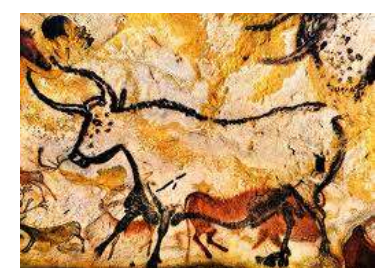
Zukerkandl & Pauling 1965



Human



Horse



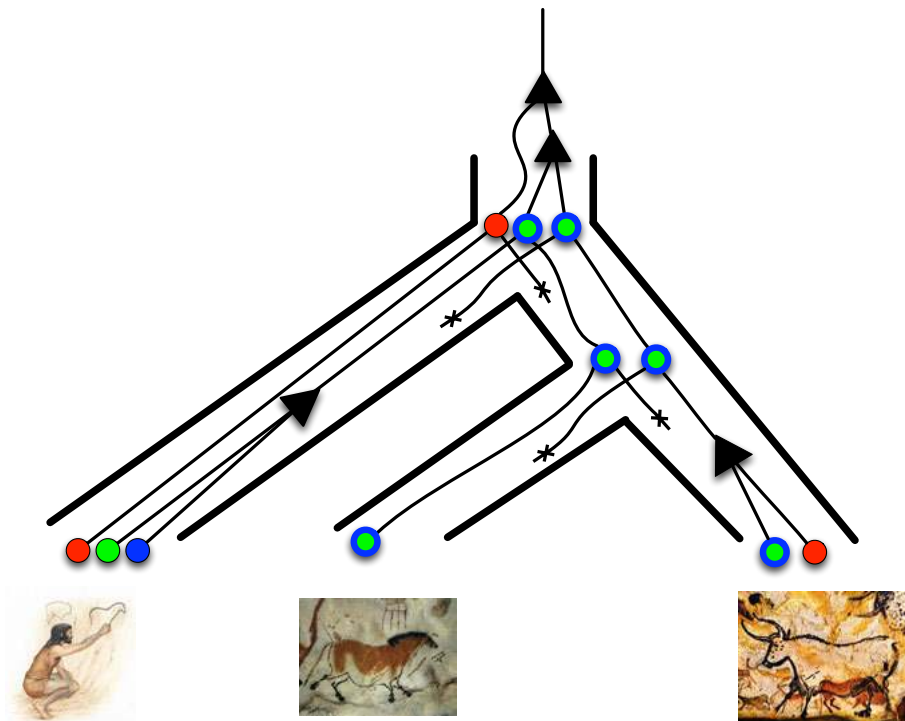
Cow

species

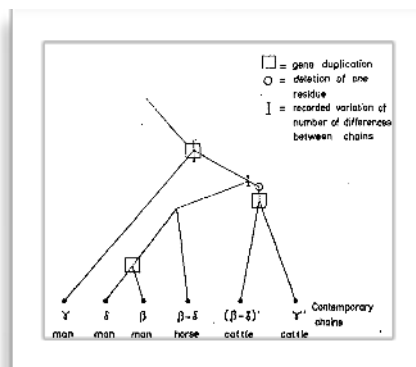
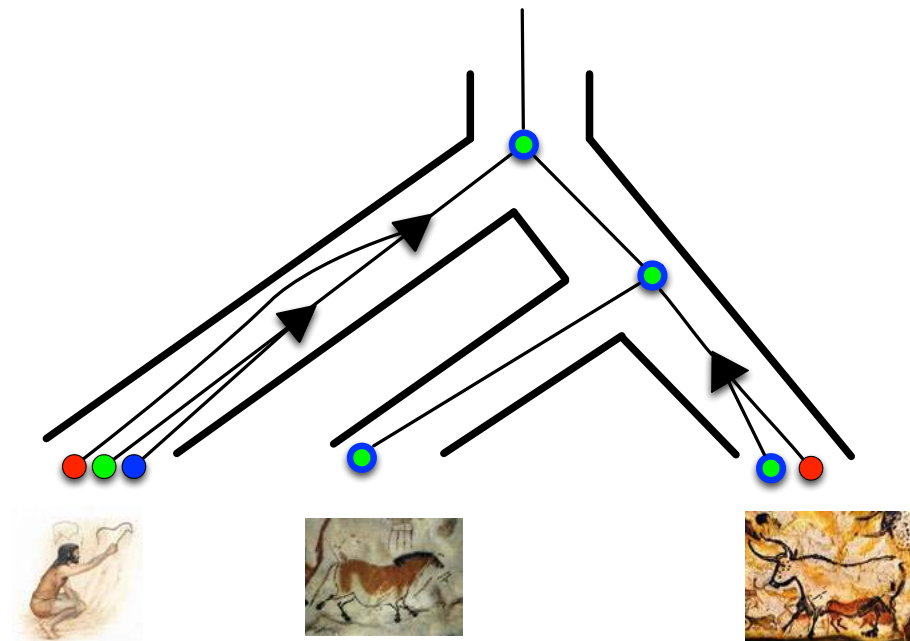
.. but gene trees are generated along the species tree

Given a model of gene family evolution a species tree induces a probability distribution over gene trees, thus **some gene trees are more likely than others given a particular species tree.**

less likely gene tree

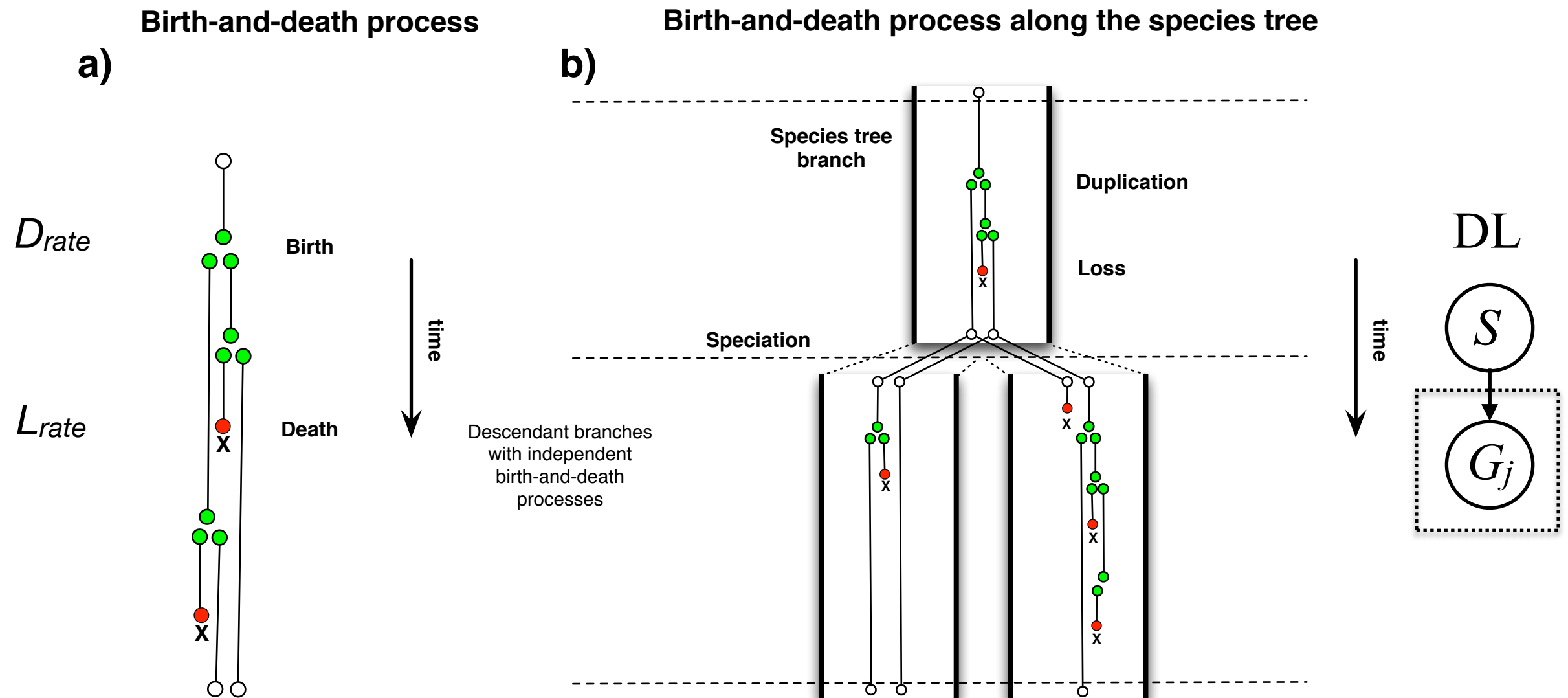


more likely gene tree



.. but gene trees are generated along the species tree

Given a model of gene family evolution a species tree induces a probability distribution over gene trees. For the DL process to calculate the likelihood of a *gene tree* we sum over all possible *gene birth and death events* along a given *species tree*.



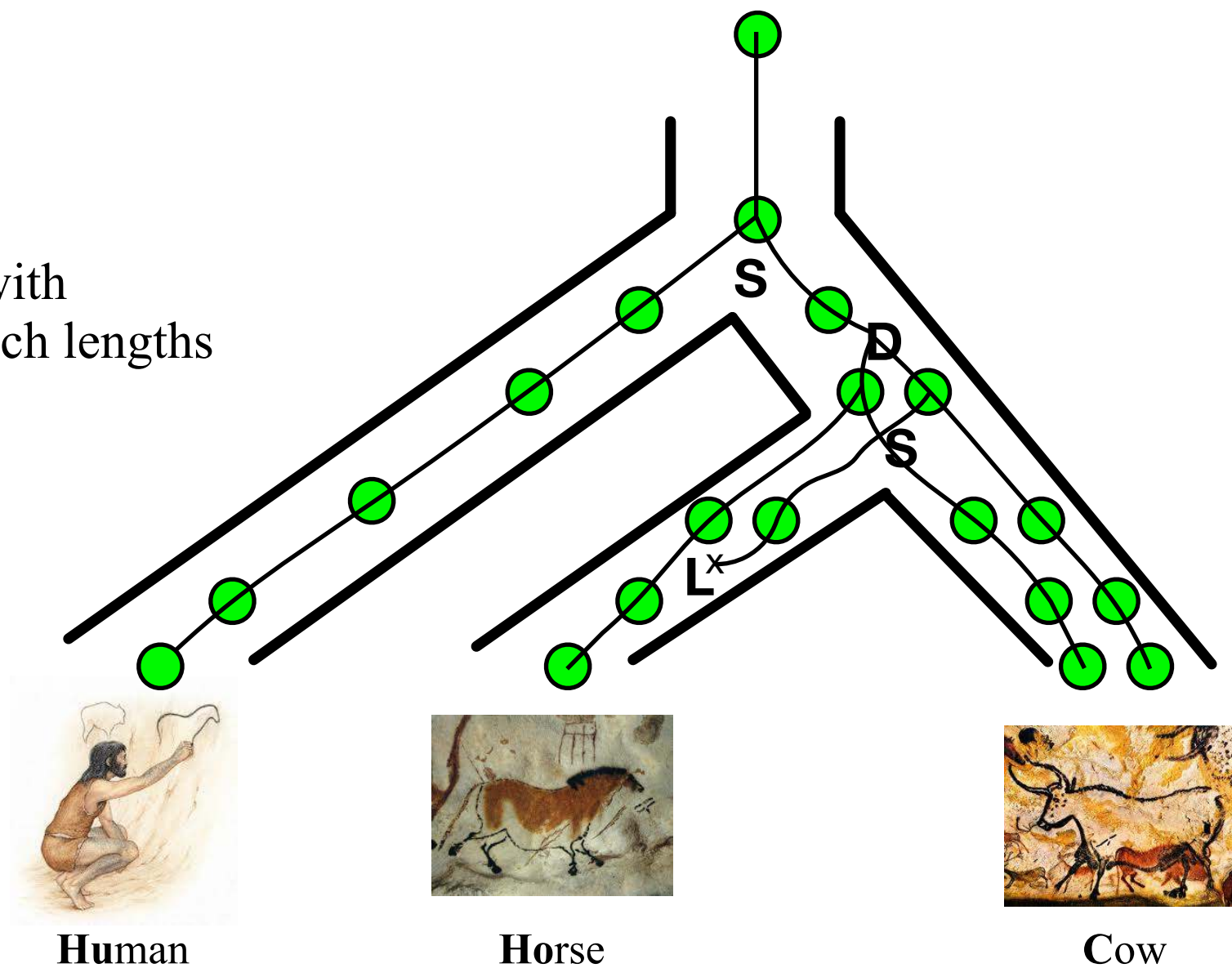
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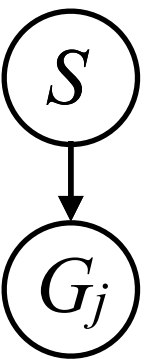
D_{rate}

L_{rate}

Species tree with
time like branch lengths



DL



implemented in ALE:

<http://github.com/ssolo/ALE>

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D_{rate}

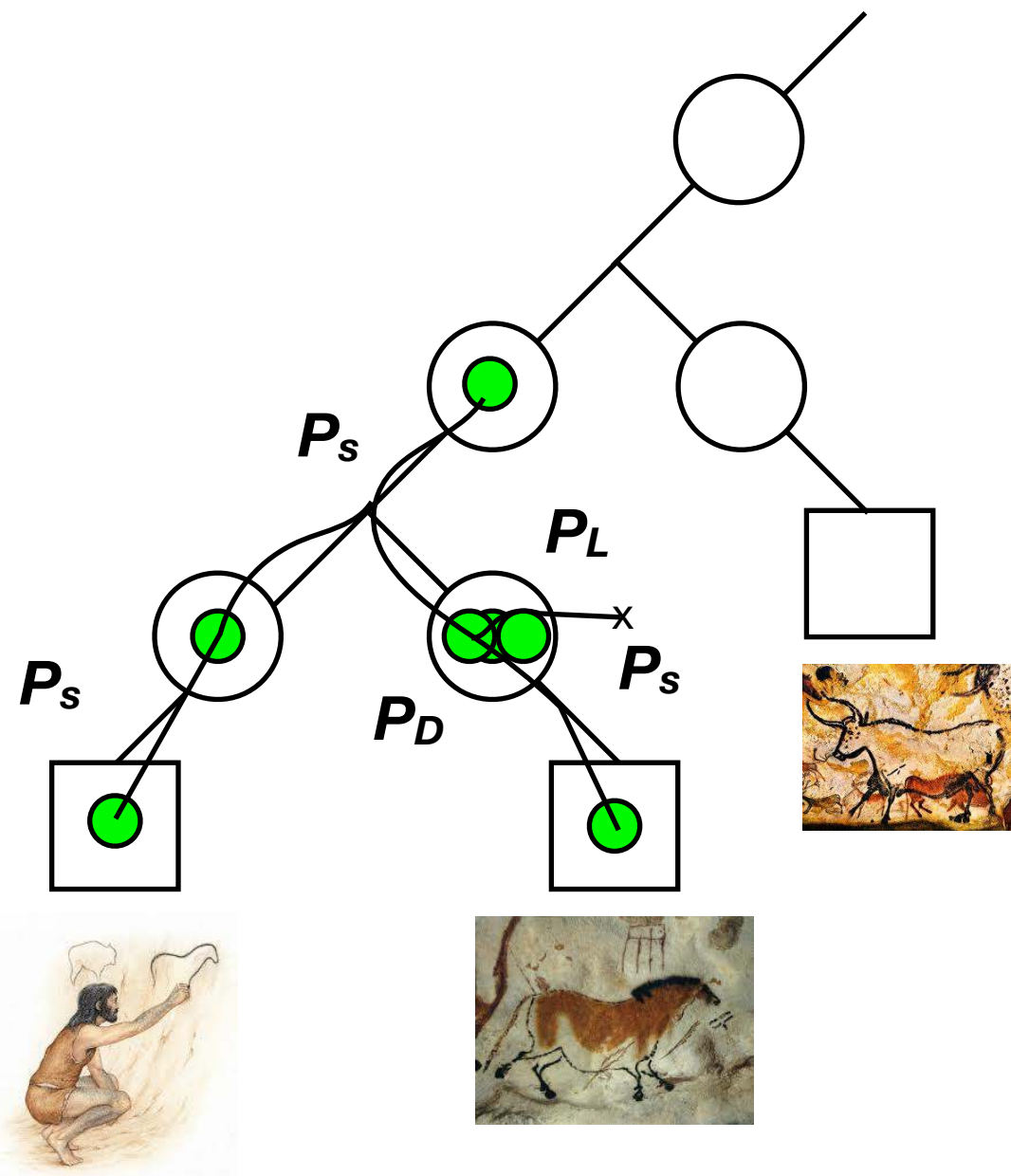
L_{rate}

Rooted species tree

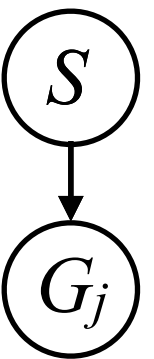
$$P_S + P_D + P_L = 1$$

$$P_D = D_{\text{rate}} / (D_{\text{rate}} + L_{\text{rate}})$$

$$P_L = L_{\text{rate}} / (D_{\text{rate}} + L_{\text{rate}})$$



DL



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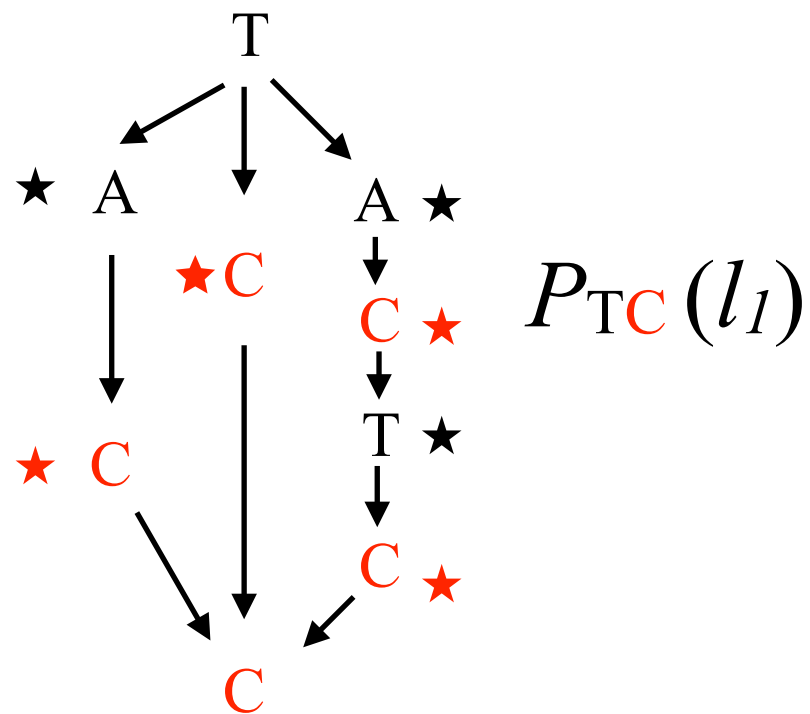
The story of homologs genes can be reconstructed

Calculating the likelihood of the sequences A given the gene tree G

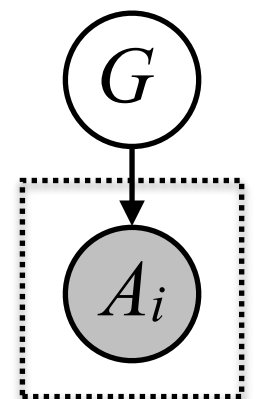
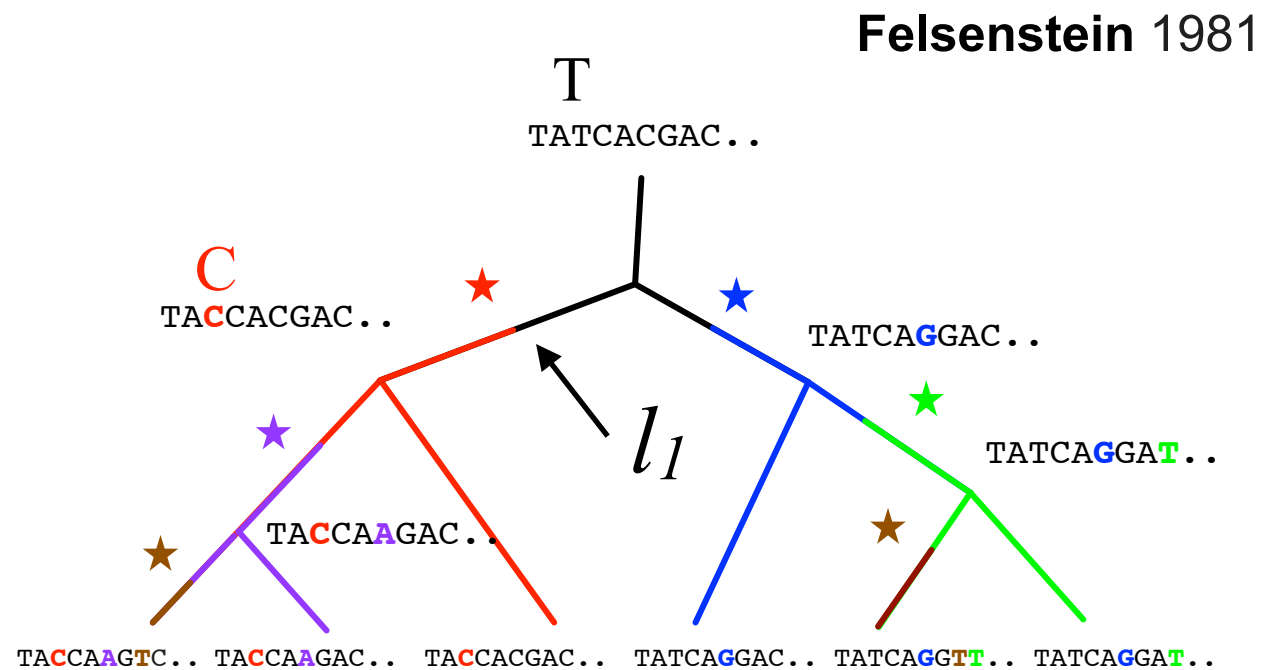
$$p(A|G)$$

requires summing over all possible substitution paths.

sum over subs. along branch
conditional on states on top and bottom



sum over ancestral states



$$= .. \times P_{TC}(l_1) \times P_{CC}(l_2) \times P_{CC}(l_3) \times P_{CC}(l_4) \times ..$$

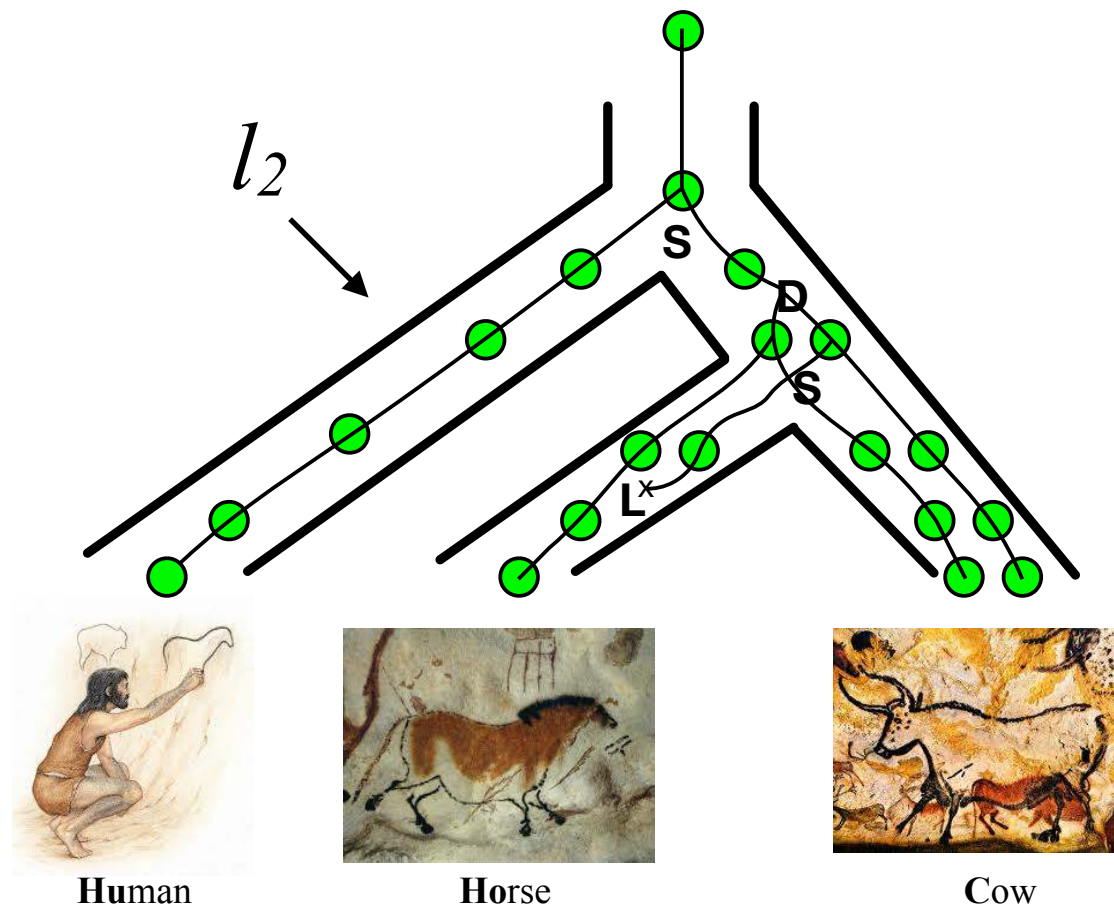
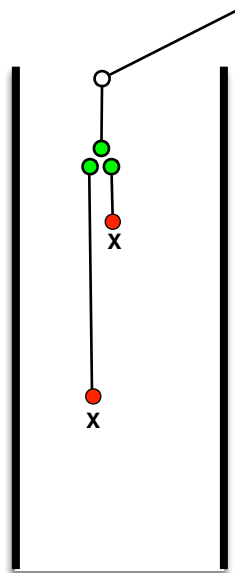
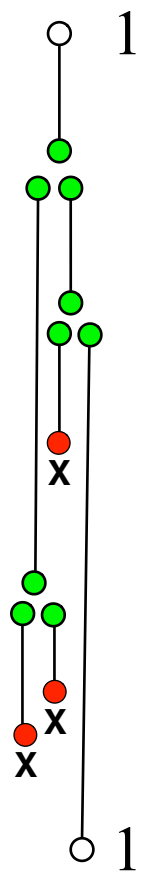
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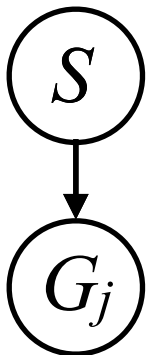
sum over gene birth and death events
along a branch conditional on reconciliation

$$P_{11}(l_2, \text{Hu})$$

$$P_{10}(\text{Ho})$$

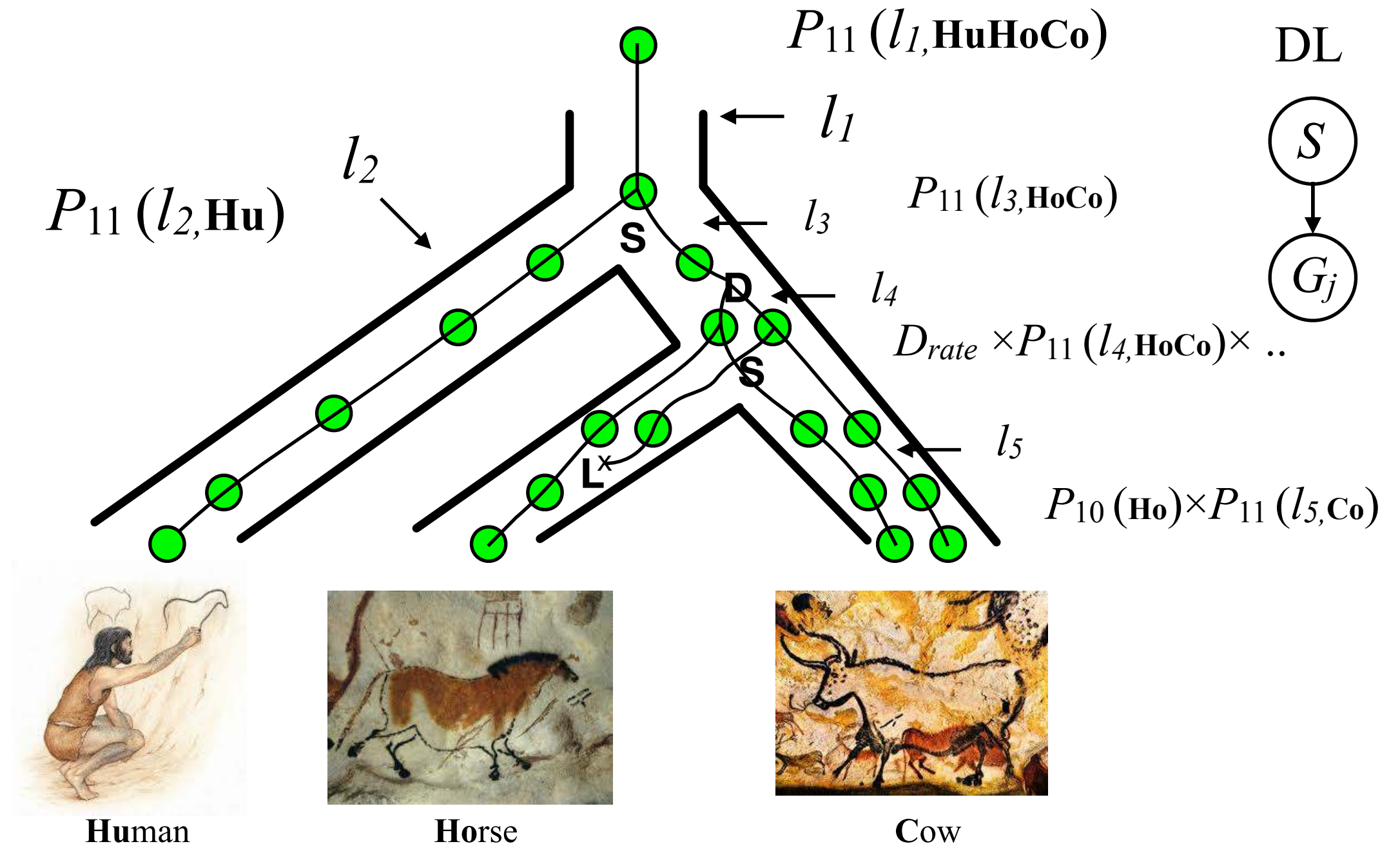


DL



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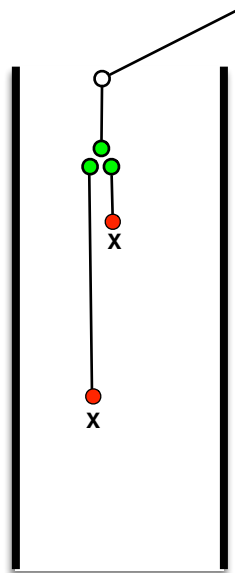
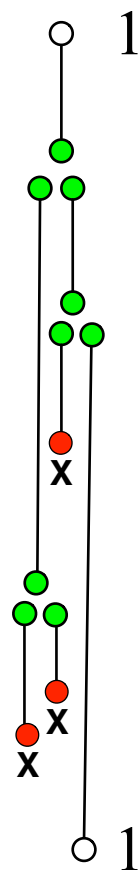
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sum over gene birth and death events
along a branch conditional on reconciliation

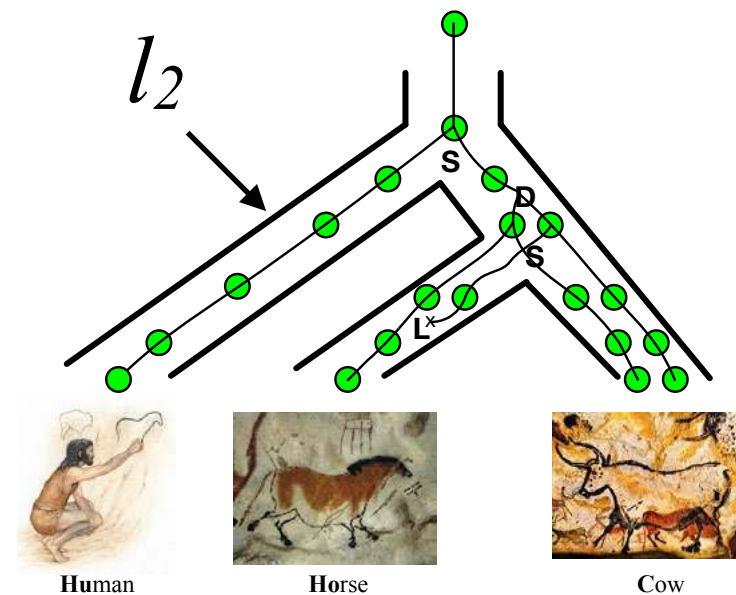
$$P_{11}(l_2, \text{Hu})$$

$$E(\text{Ho})$$

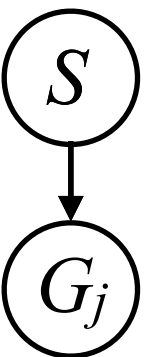


sum over all reconciliations with
species tree conditional on gene tree

Arvestad 2010

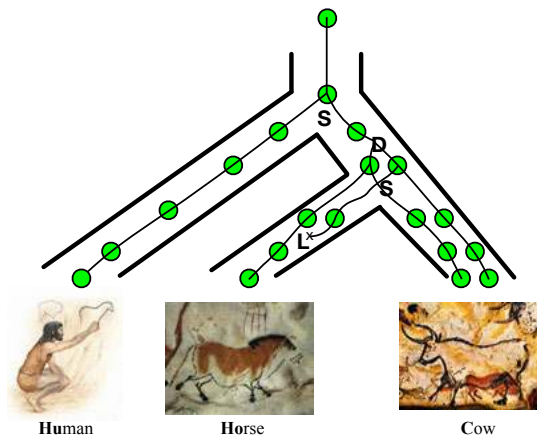


DL

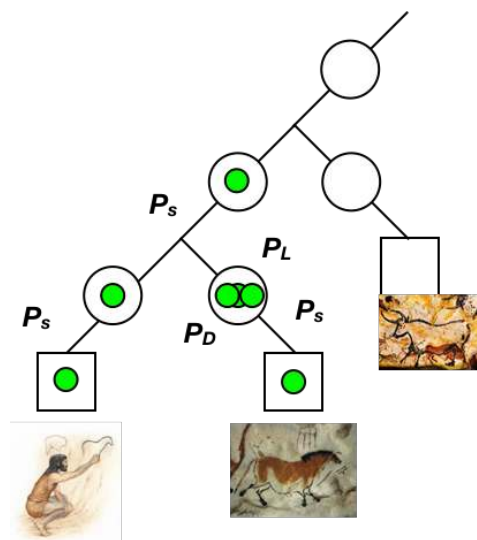


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Given a model of gene family evolution a species tree induces a probability distribution over gene trees. For the DL process to calculate the likelihood of a *gene tree* we sum over all possible *gene birth and death events* along a given *species tree*.



$$2 \text{ to } 10 \times \log(\# \text{species}) \times \# \text{genes}$$



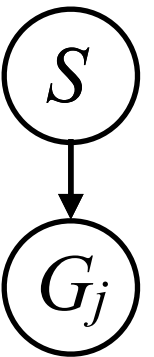
$$\log(\# \text{species}) \times \# \text{genes}$$

parameters
(ML or Bayes)

D&L rates
branch lengths, root

D&L rates
root

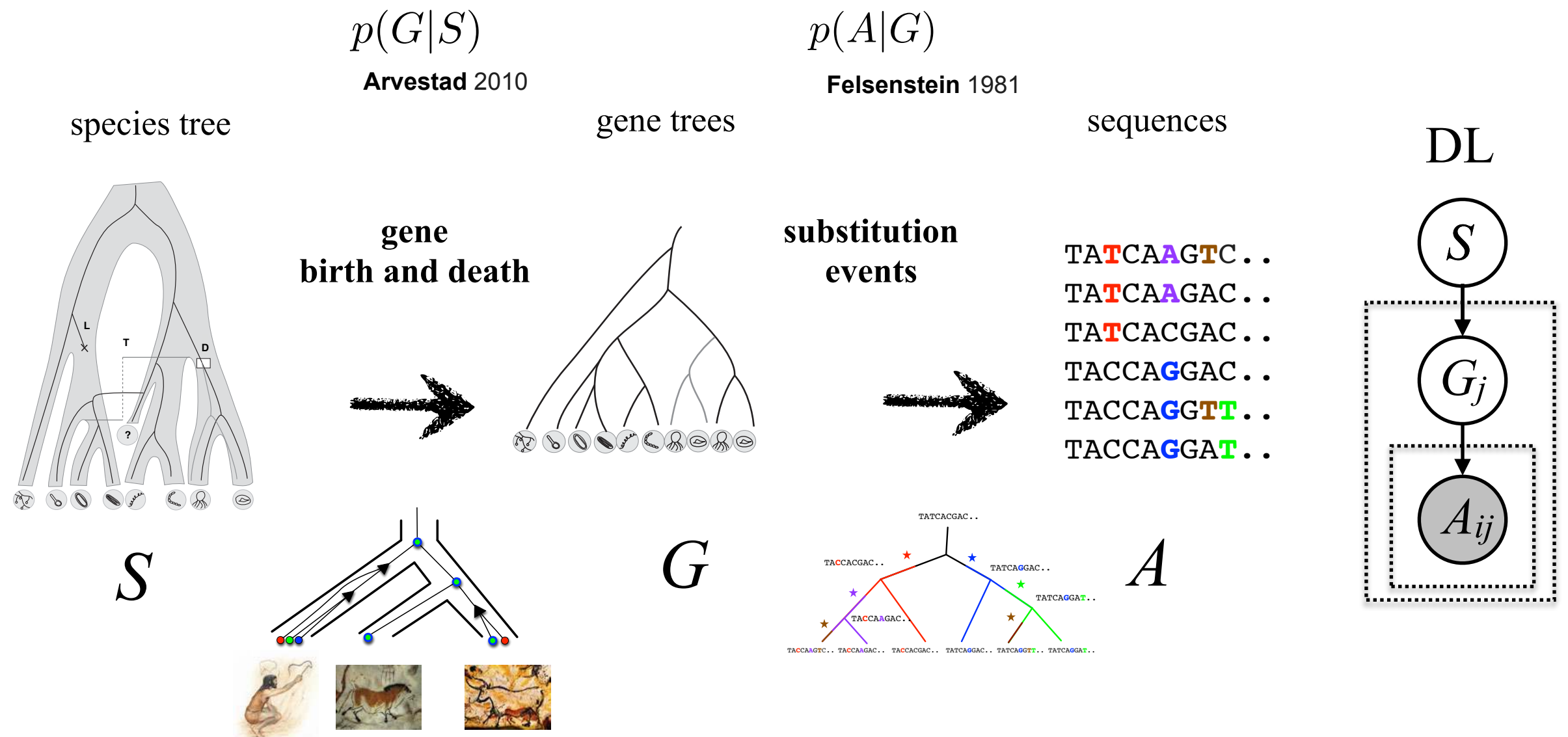
DL



Gene trees and species trees can be jointly reconstructed

Estimating genes and species history can be achieved through a hierarchical structure, on top of which a species tree is inferred from gene trees through models of gene family evolution, themselves inferred from sequence alignments through models of sequence evolution.

Hierarchical generative model:



Gene trees and species trees can be jointly reconstructed

Estimating genes and species history can be achieved through a hierarchical structure, on top of which a species tree is inferred from gene trees through models of gene family evolution, themselves inferred from sequence alignments through models of sequence evolution.

parallel computation scheme

$$\mathcal{L}(\{G_j\}, S, \text{rates} | \{A_{ij}\}) :$$

server:
calculate

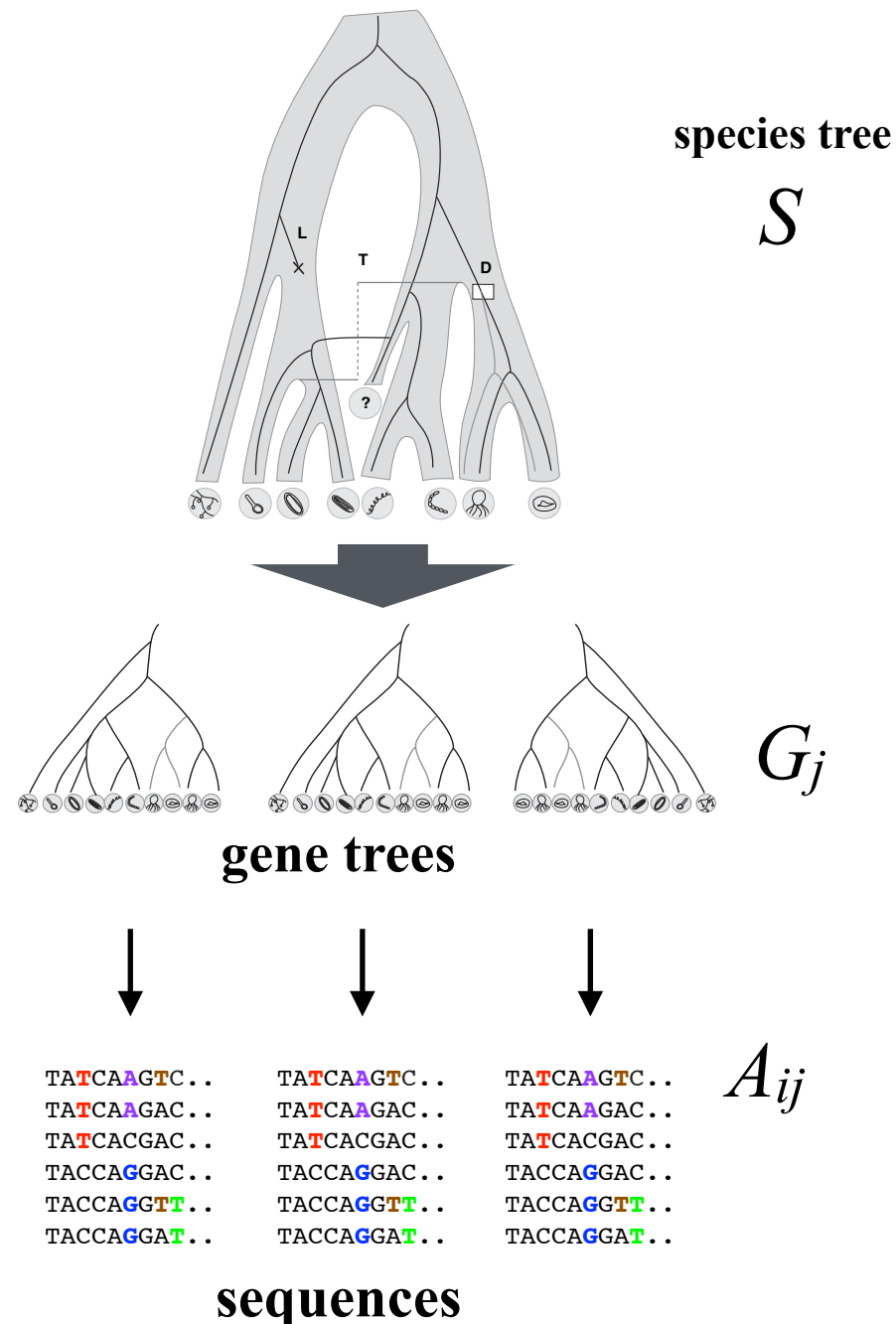
$$\prod_j$$

optimise S
and estimate rates

clients:
calculate

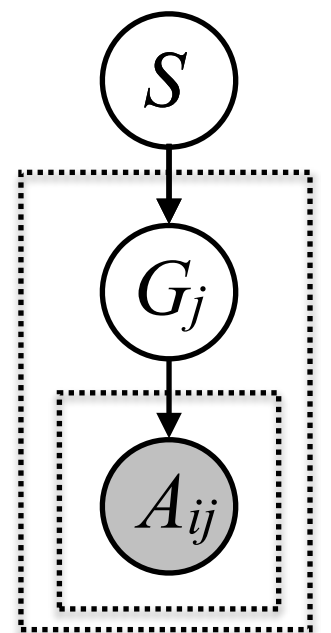
$$\prod_i p(A_{ij} | G_j) \times p(G_j | S, \text{rates})$$

optimise (or integrate over) G_j



Daubin & Boussau 2011

DL

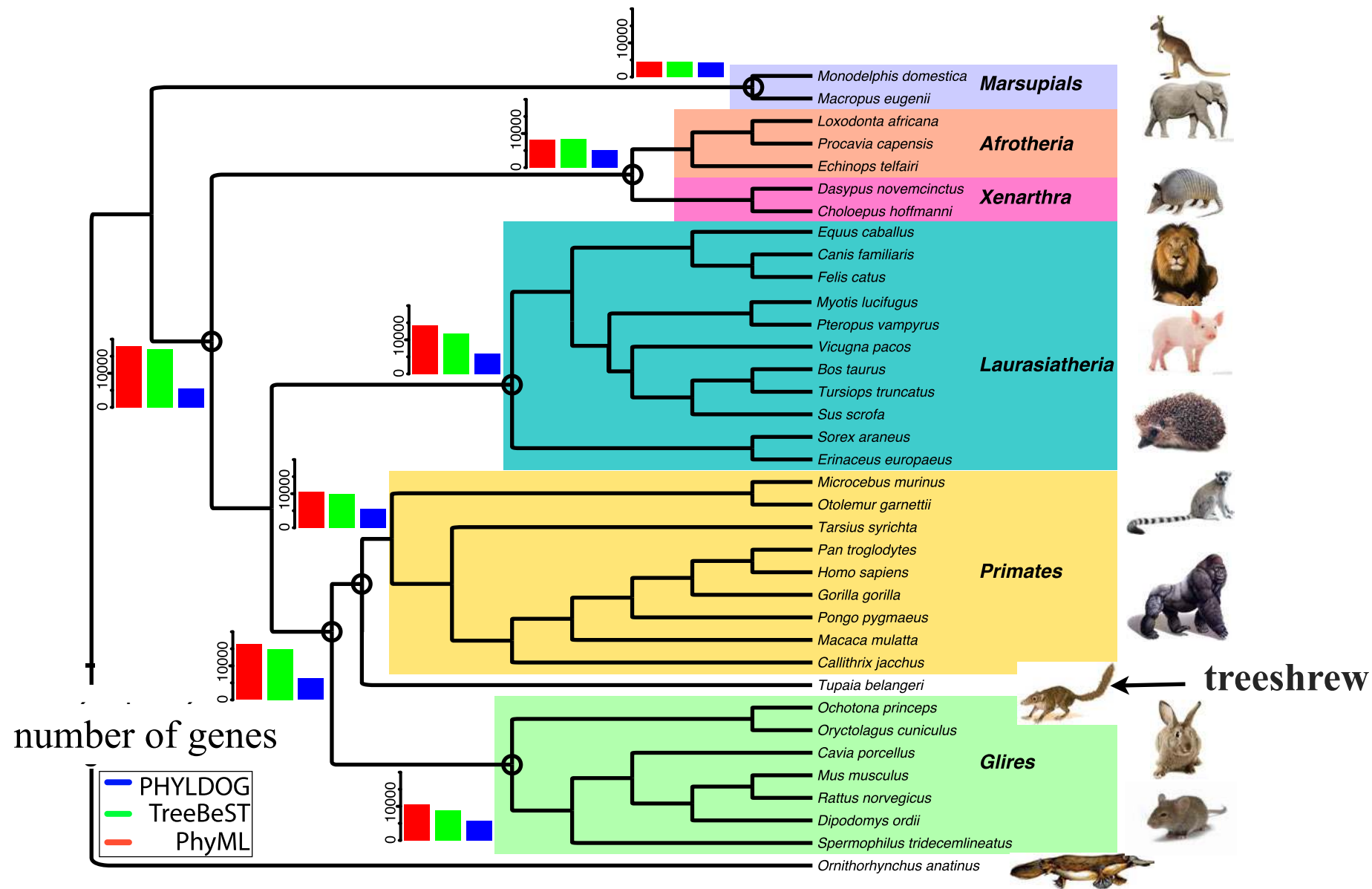


Boussau, Szöllősi, Duret, Gouy, Tannier & Daubin *Genome Res.* (2013)
Genome-scale coestimation of species and gene trees

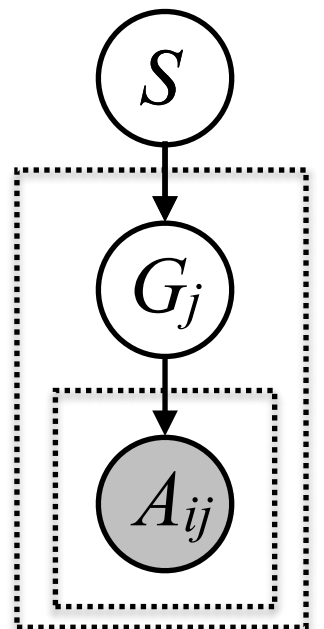
Genome-scale reconstruction of the tree of mammals

Using 6966 gene families from 36 mammals we jointly reconstructed the species tree and gene trees.

Tree of mammals



MAP
DL



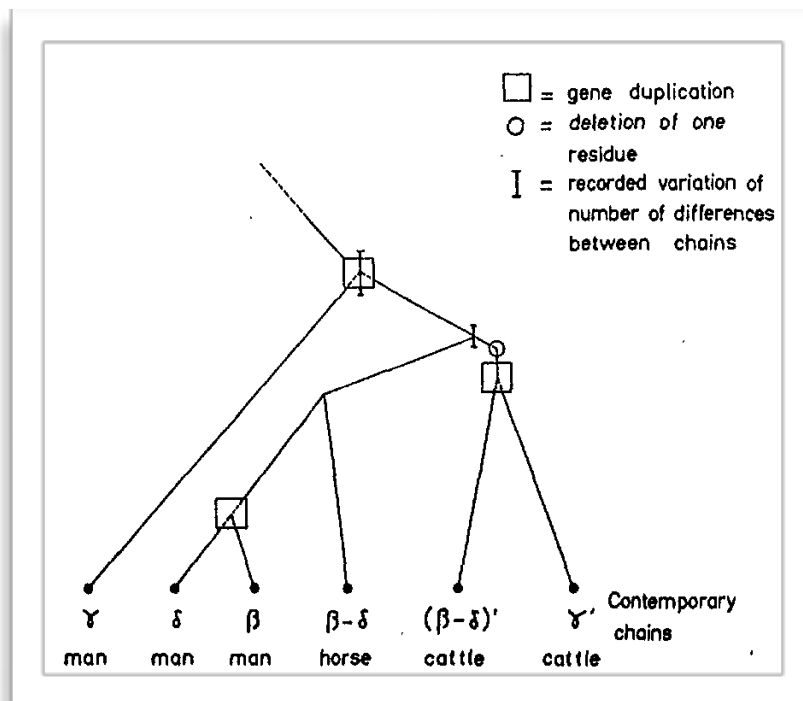
tree shrew



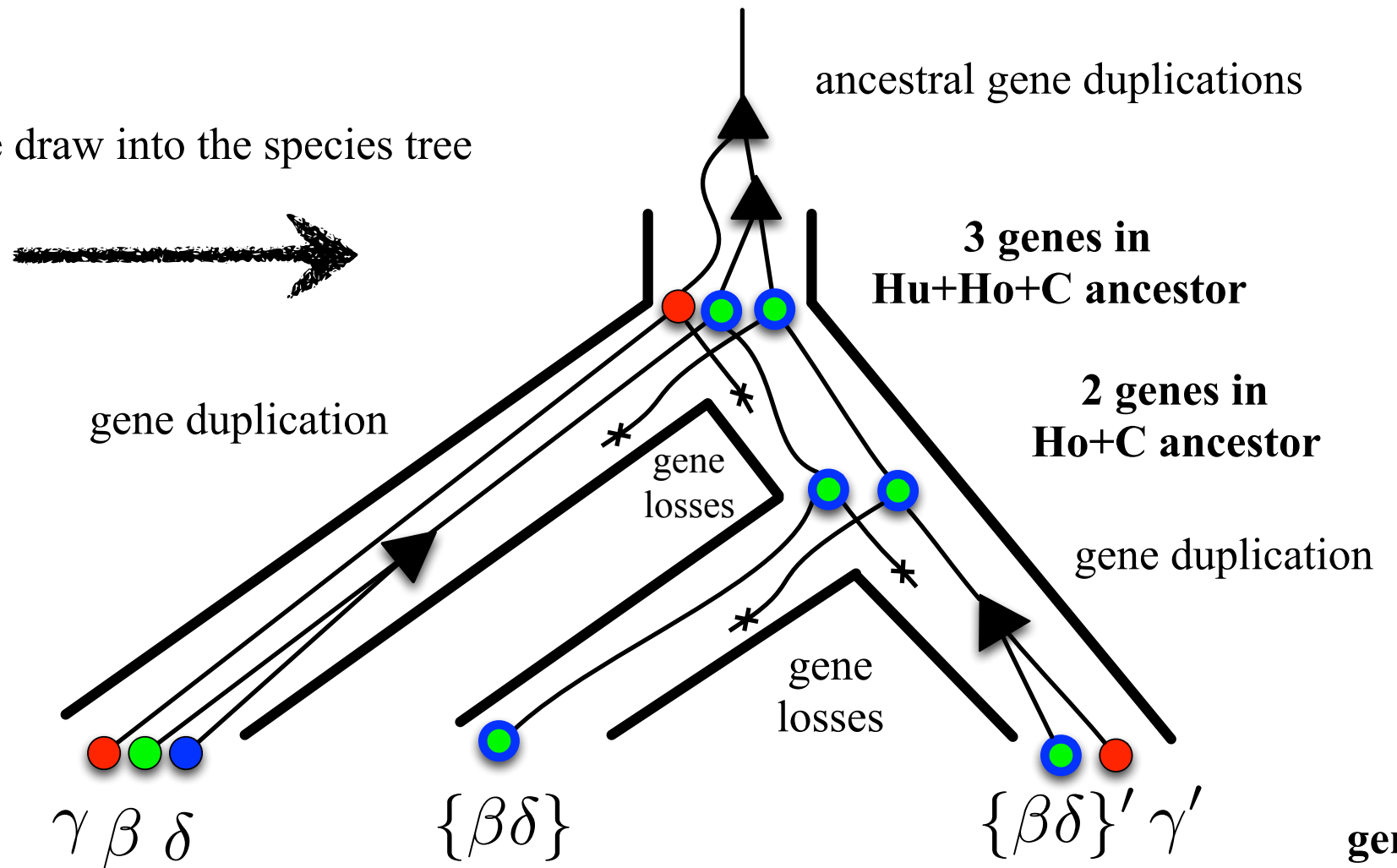
The story of individual gene families is often blurred

Errors in gene trees will result in conflicts with the species tree that imply spurious evolutionary events.

gene tree draw into the species tree



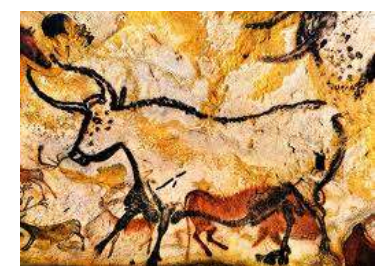
Zukerkandl & Pauling 1965



Human



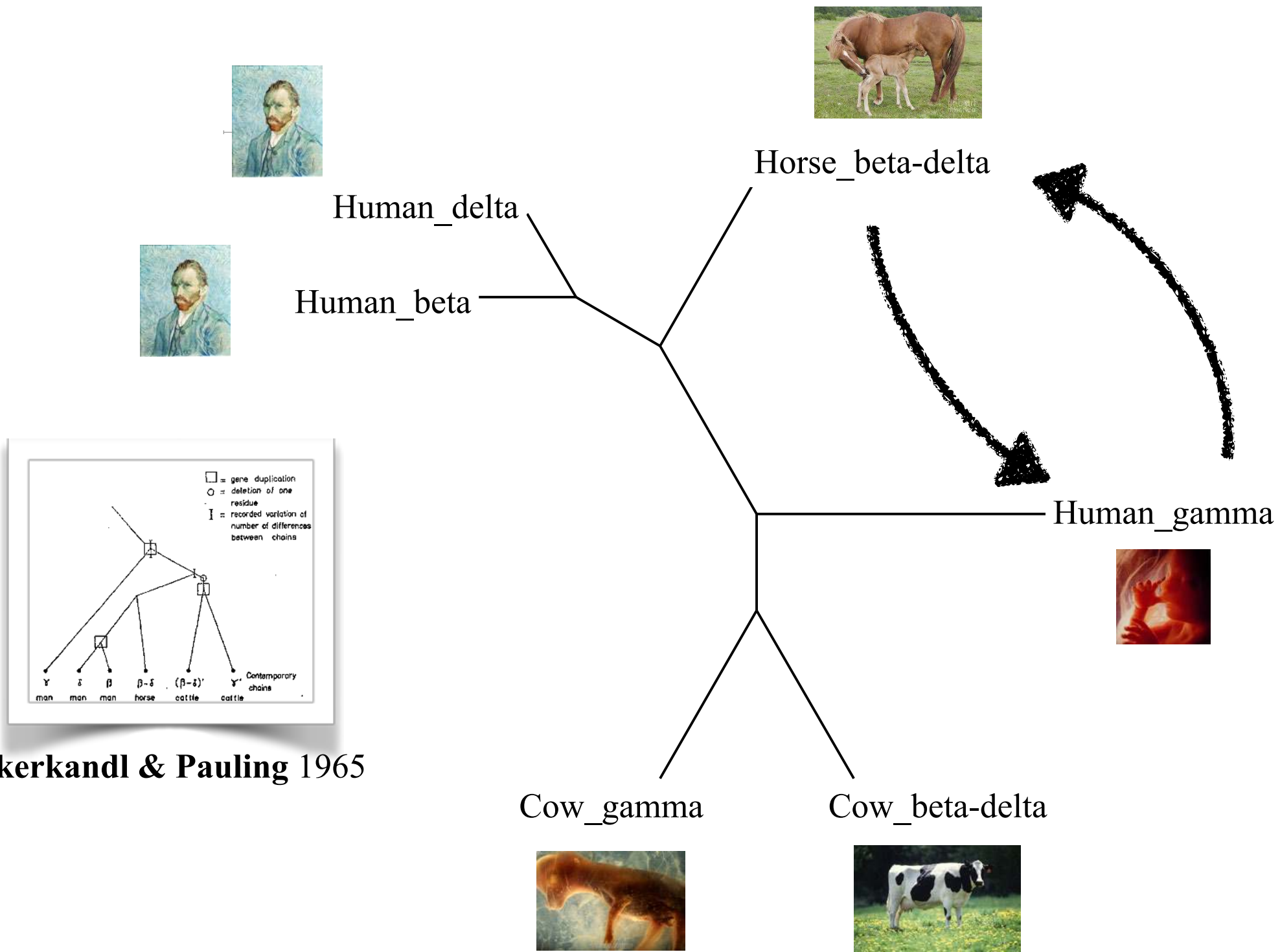
Horse



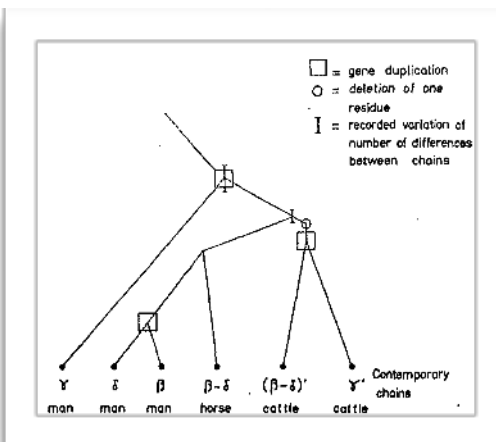
Cow

species

The first ever gene tree



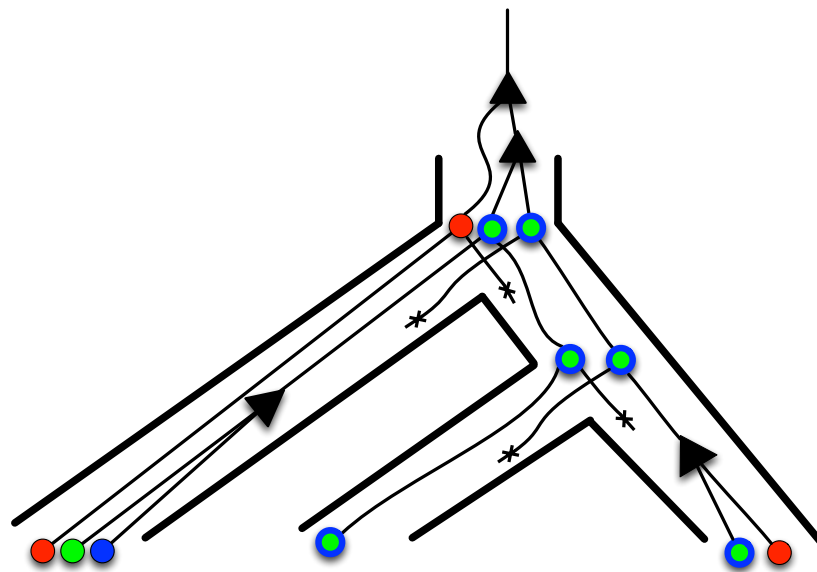
Zukerkandl & Pauling 1965



The story of individual gene families is often blurred

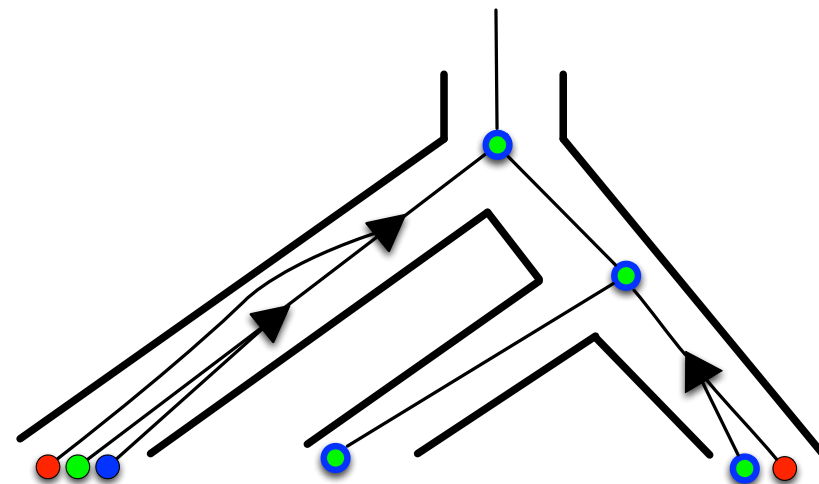
Errors in gene trees will result in conflicts with the species tree that imply spurious evolutionary events.

gene tree with errors



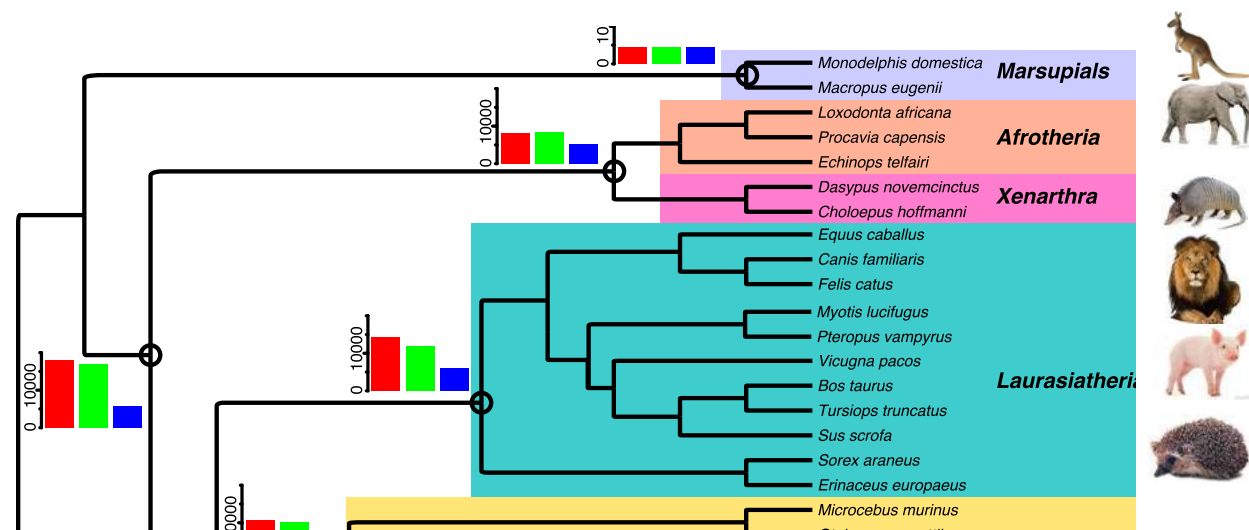
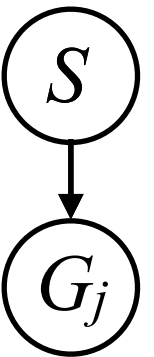
S-unaware trees

correct gene tree



S-aware trees

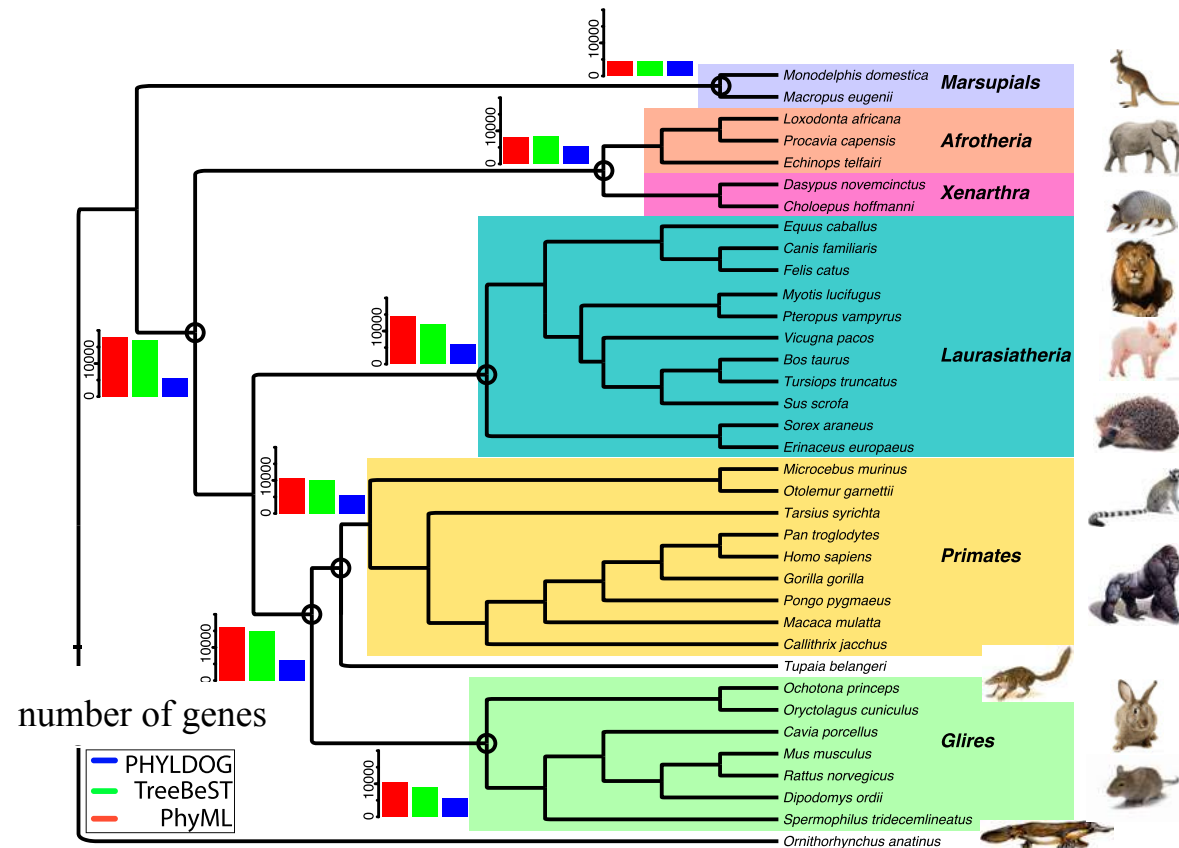
DL



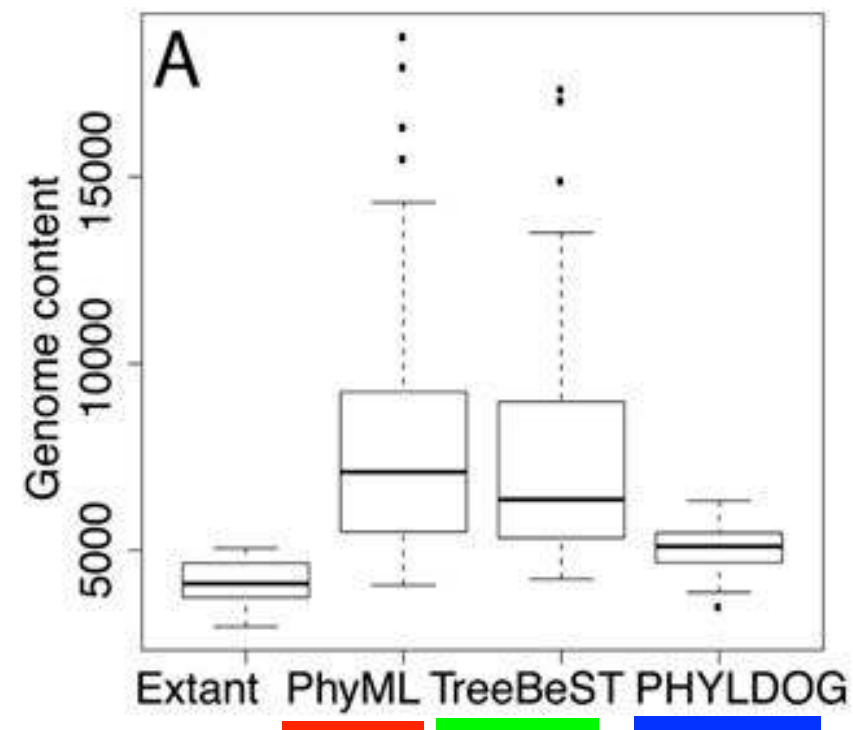
Genome-scale reconstruction of the tree of mammals

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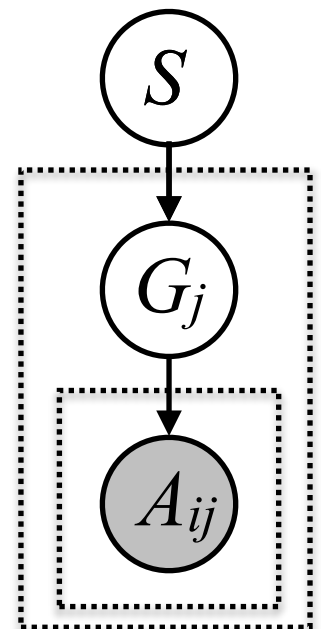
Joint reconstruction gives more realistic gene content



**S-unaware
trees**

**S-aware
trees**

**MAP
DL**



Genome-scale reconstruction of the tree of mammals

Using 6966 gene families from 36 mammals we jointly reconstructed the species tree and gene trees.

ancestral gene order can be reconstructed
using gene trees drawn into the species tree

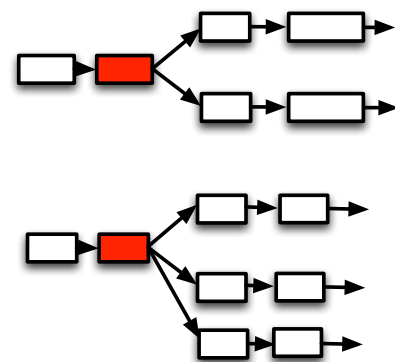
correct gene trees

two neighbours



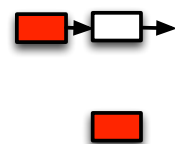
gene tree errors

three or more neighbours



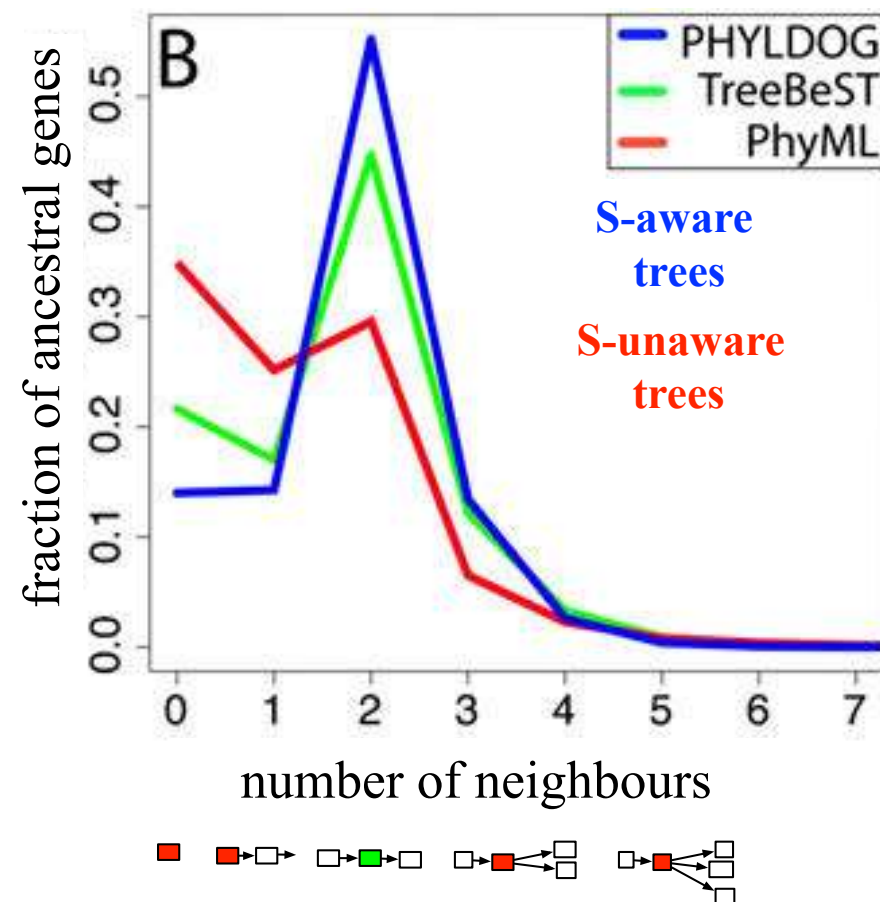
..

one or zero neighbours

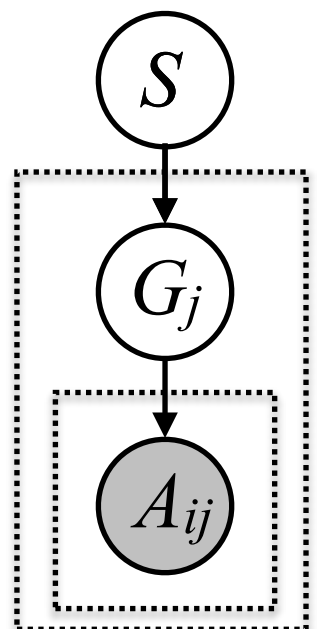


LBBE

Joint reconstruction imply more
realistic ancestral gene order



MAP
DL

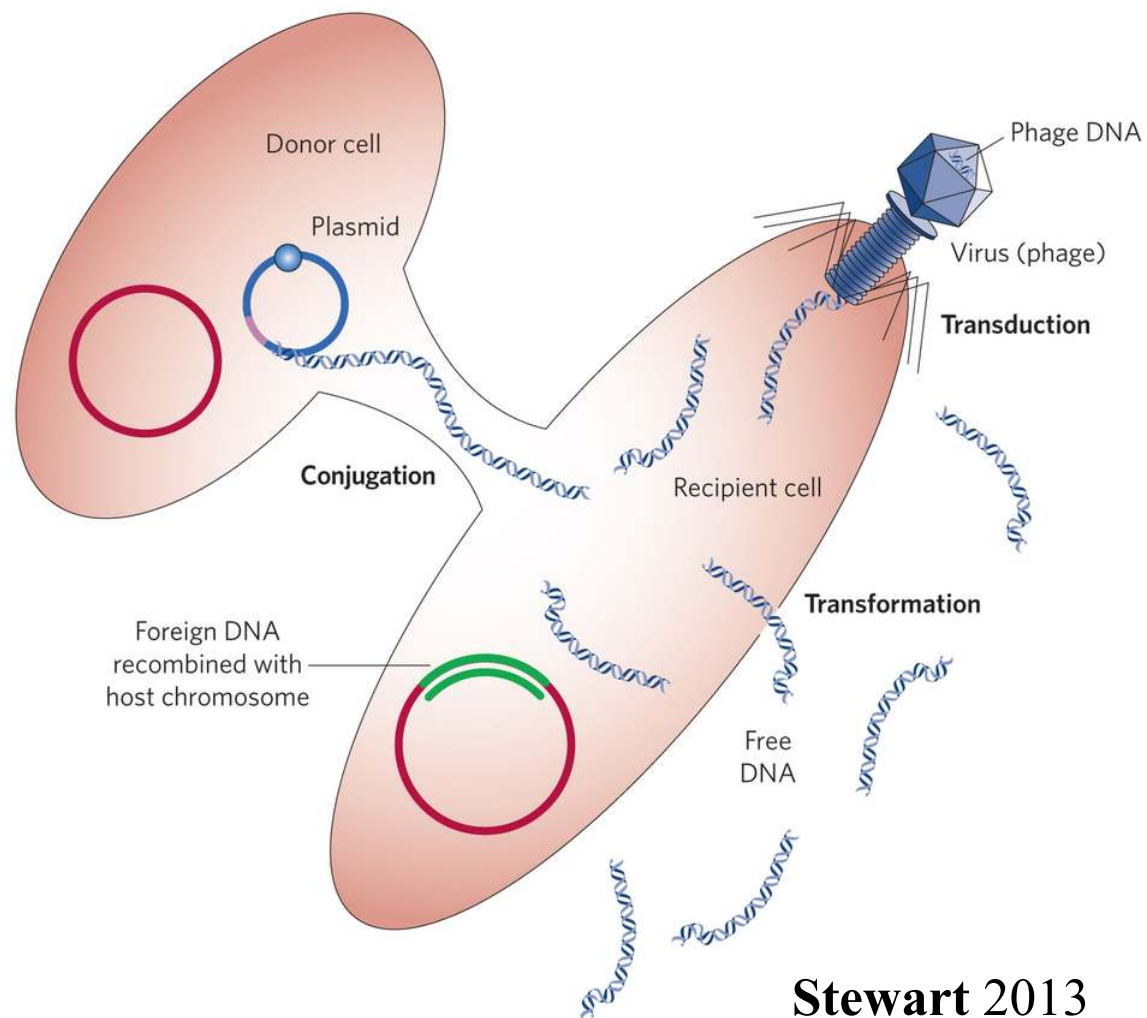


Boussau, Szöllősi, Duret, Gouy, Tannier & Daubin *Genome Res.* (2013)
Genome-scale coestimation of species and gene trees
Bérard, Gallien, Boussau, Szöllősi, Daubin, Tannier *Bioinformatics* (2013)
Evolution of gene neighborhoods within reconciled phylogenies

Horizontal gene transfer

DNA from outside the cell can be incorporated into the genome and passed on vertically.

Horizontal Gene Transfer

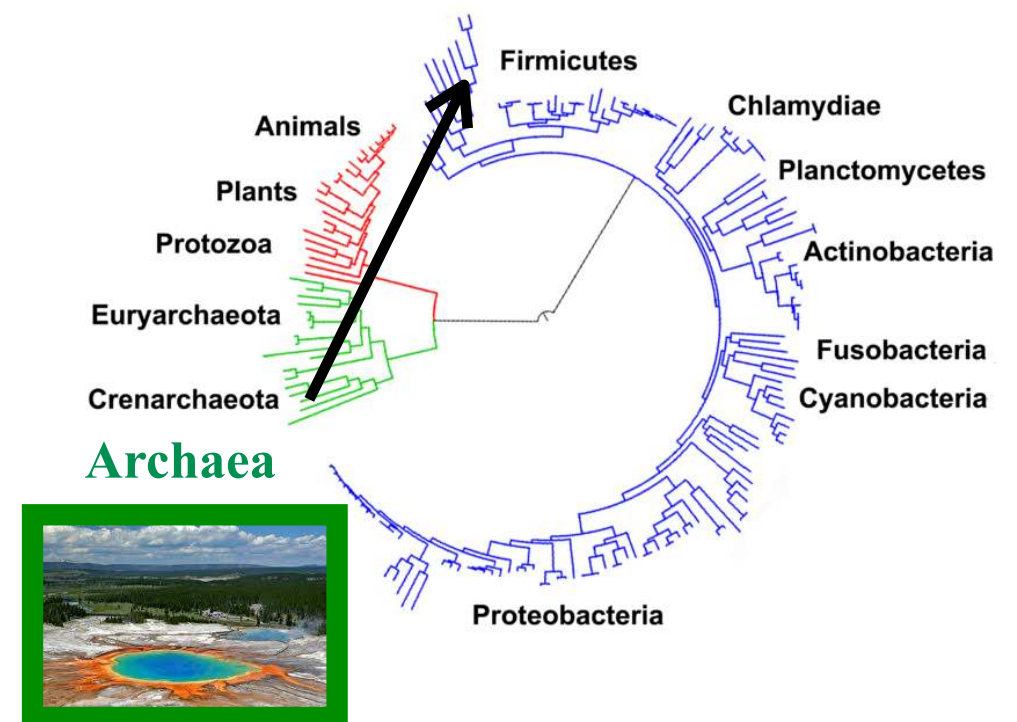


classic examples:

antibiotic resistance

thermophilic enzymes

Bacteria



Horizontal gene transfer

Horizontal gene transfer is common among unicellular organisms, but examples are known even among animals.

Carotenoids

plants, algae and fungi; bacteria and archaea;

they produce it



colour of flamingos and the human eye's macula lutea

they eat it



except!



a species of aphid living on peas

Acyrthosiphon pisum

Horizontal gene transfer

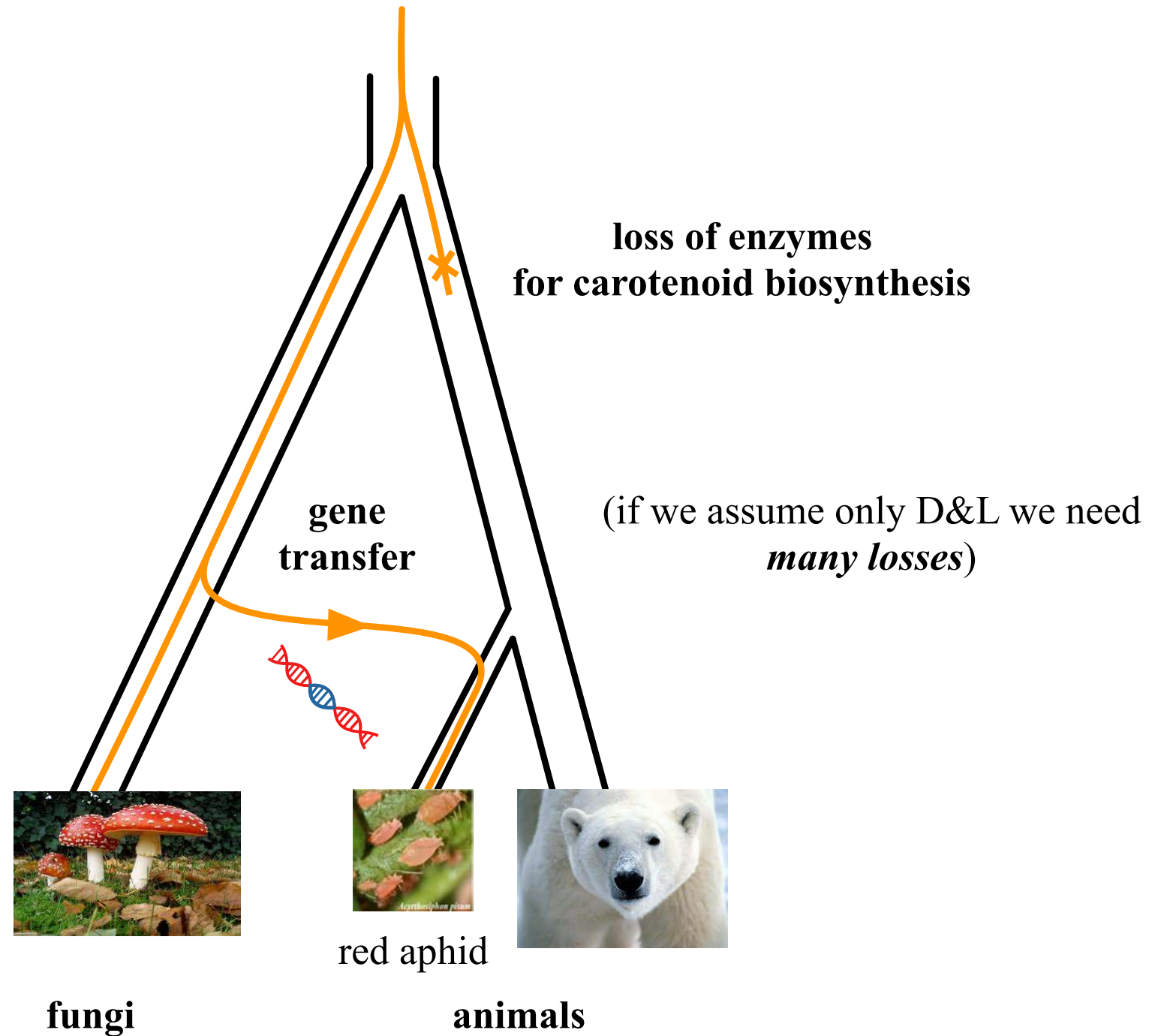
Horizontal gene transfer is common among unicellular organisms, but examples are known even among animals.

pea aphids



Acyrthosiphon pisum

Moran & Jarvik 2010 Science

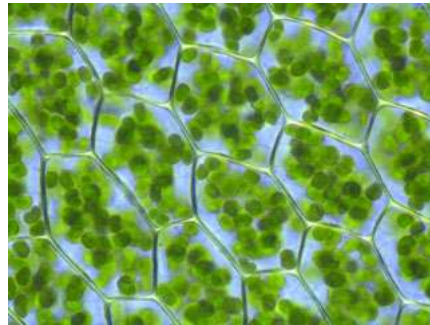


Horizontal gene transfer

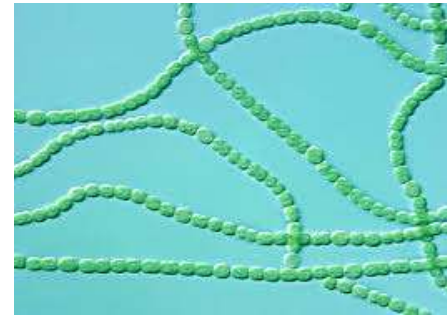
Horizontal gene transfer is common among unicellular organisms, but examples are known even among animals.

photosynthesis

chloroplasts of
algae and green plants



cyanobacteria



Eastern emerald elysia
(US East Coast)

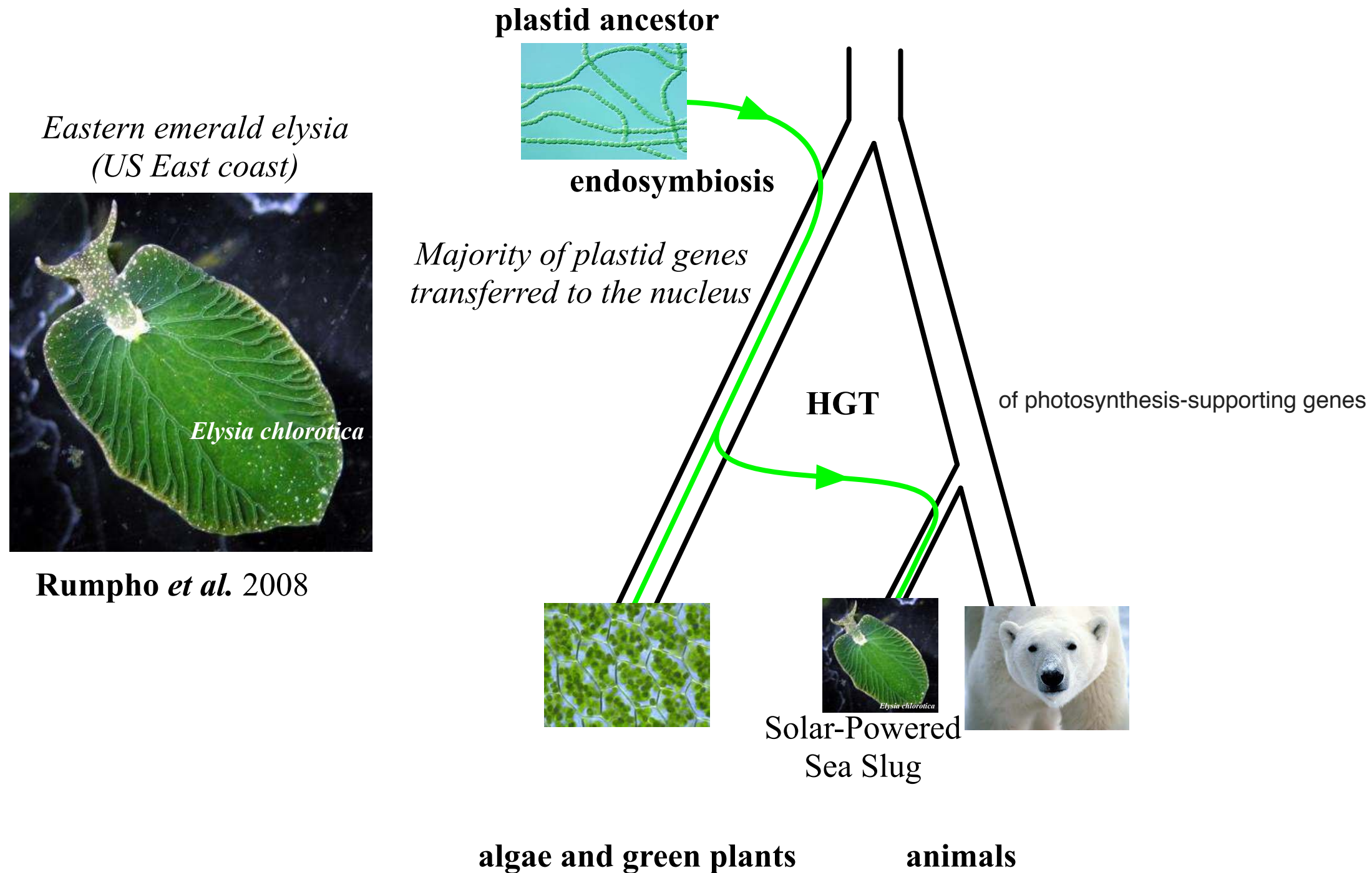


except!

Rumpho *et al.* 2008 PNAS

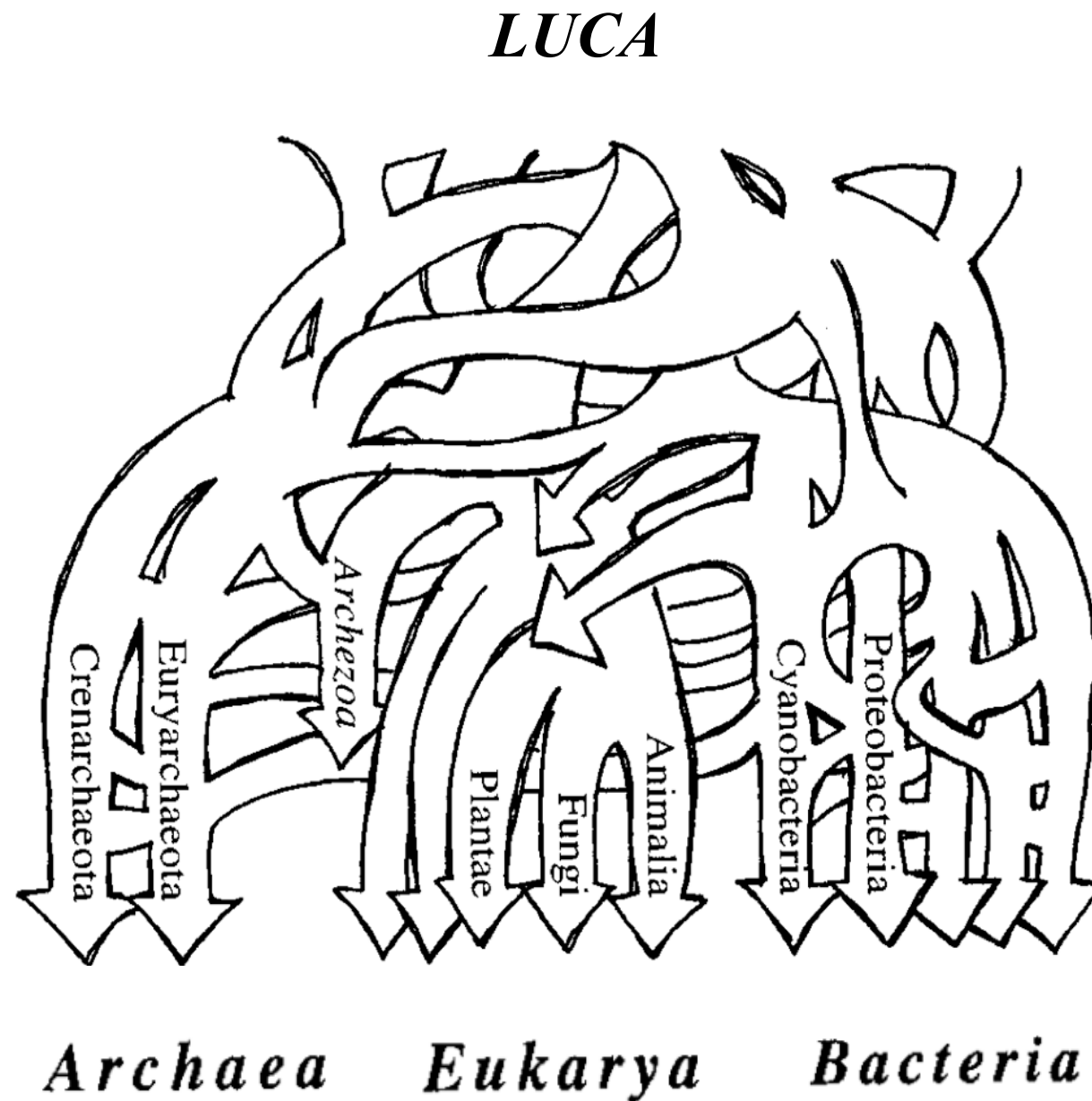
Horizontal gene transfer

Egysejtűek között gyakori a géntranszfer, de többsejtű élőlényeknél, köztük az állatok közt is ismertek példák.



Horizontal gene transfer as noise

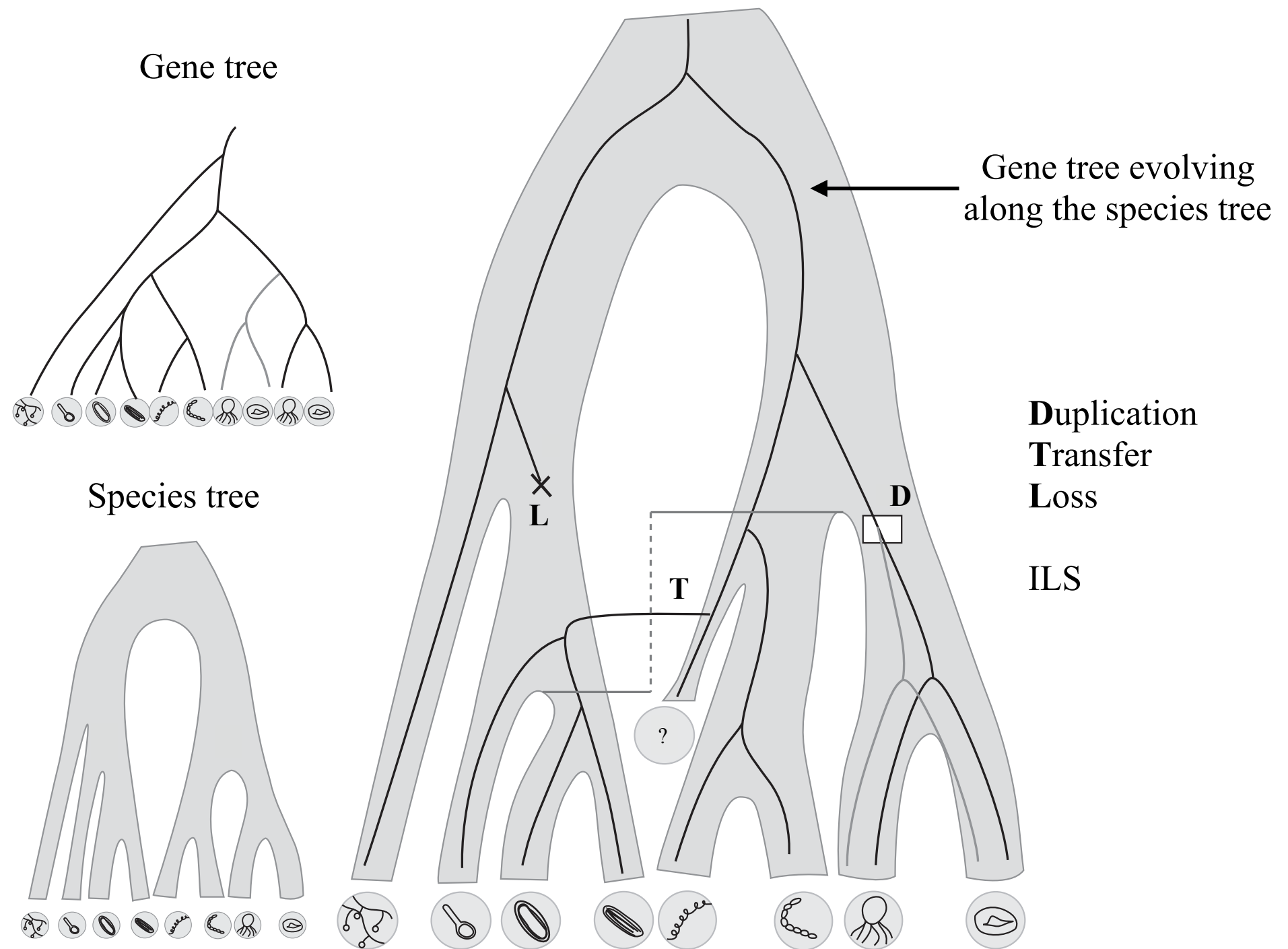
Gene transfers result in apparently contradicting gene phylogenies, fungi can seem closely related to aphids. A potentially high rate of transfer esp. early in the evolution of life, suggests that the vertical signal may be drowned in noise.



Doolittle 1999

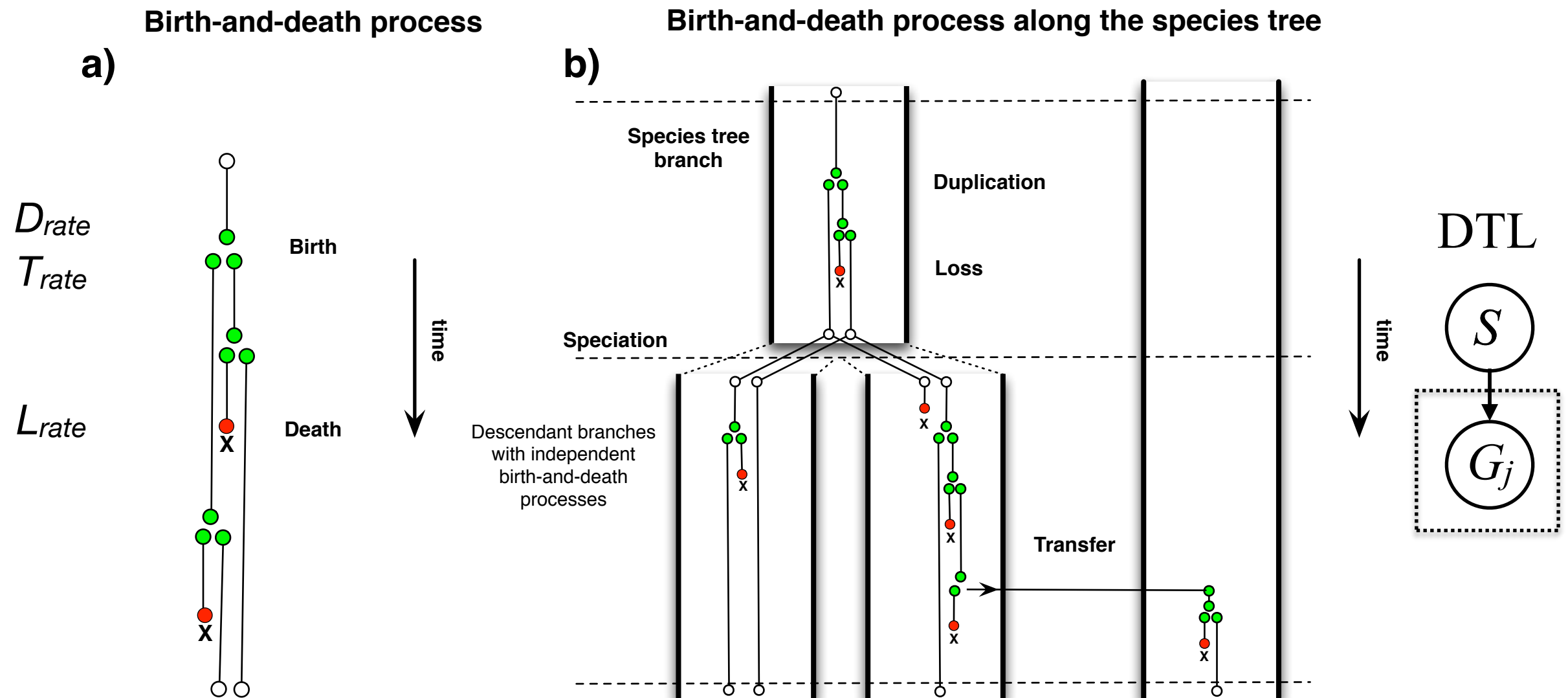
The problem is gene trees are not species trees

A gene tree is a deformation of the species tree through the prism of genome evolution and population genetics processes.



.. gene trees are generated along the species tree

Given a model of gene family evolution a species tree induces a probability distribution over gene trees. For the DTL process to calculate the likelihood of a *gene tree* we sum over all possible *gene birth and death events* along a given *species tree*.

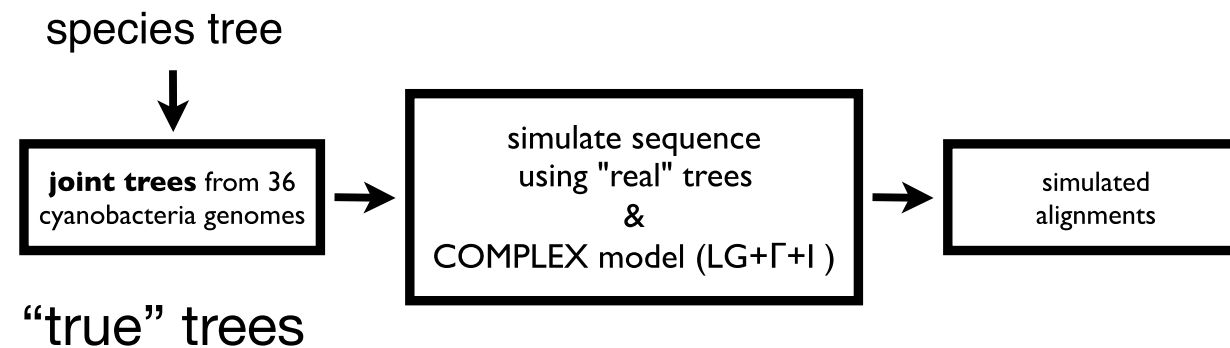


Tofigh PhD thesis 2009

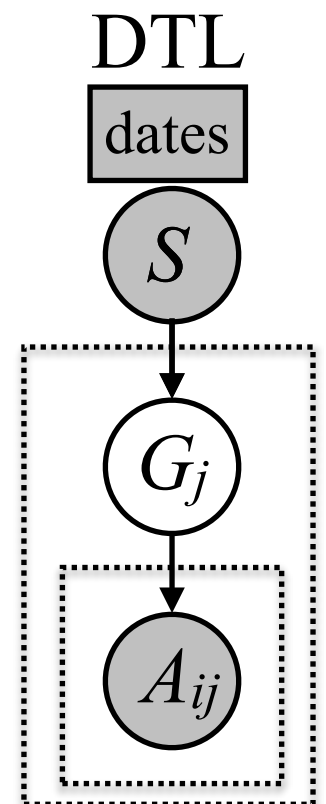
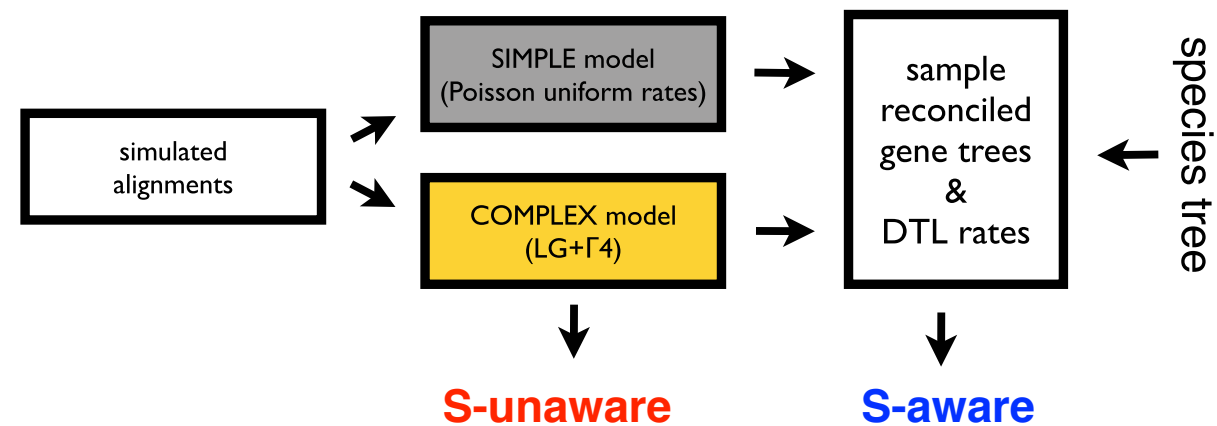
Szöllősi, Boussau, Abby, Tannier & Daubin *PNAS* (2012)
*Phylogenetic modeling of lateral gene transfer
reconstructs the pattern and relative timing of speciations*

“Realistic simulations” suggest S-aware methods are important

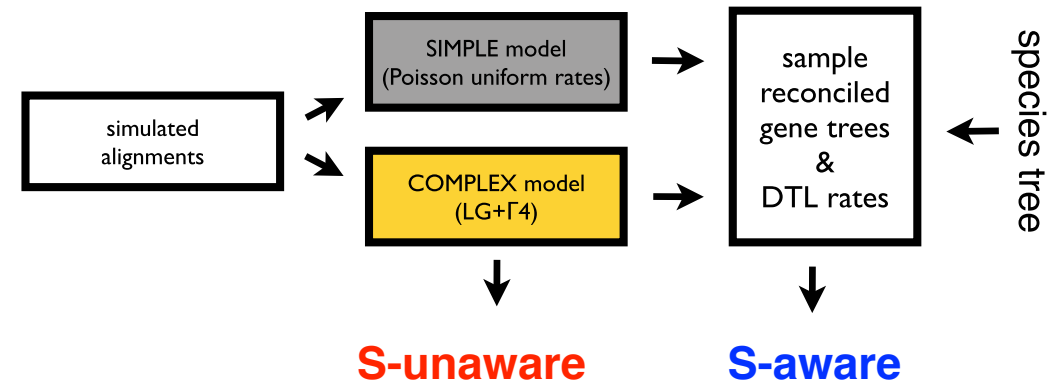
Simulations based on realistic trees



Inference using simulated sequences

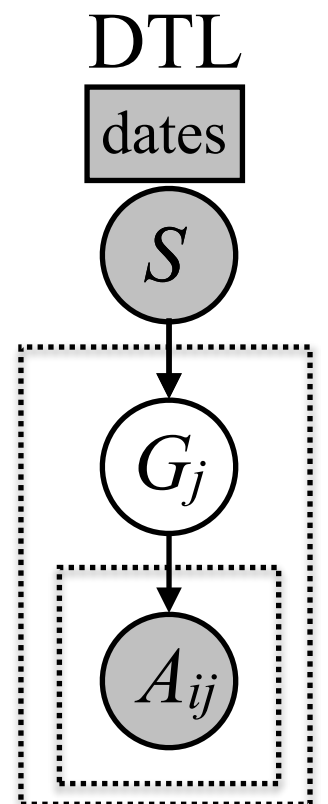
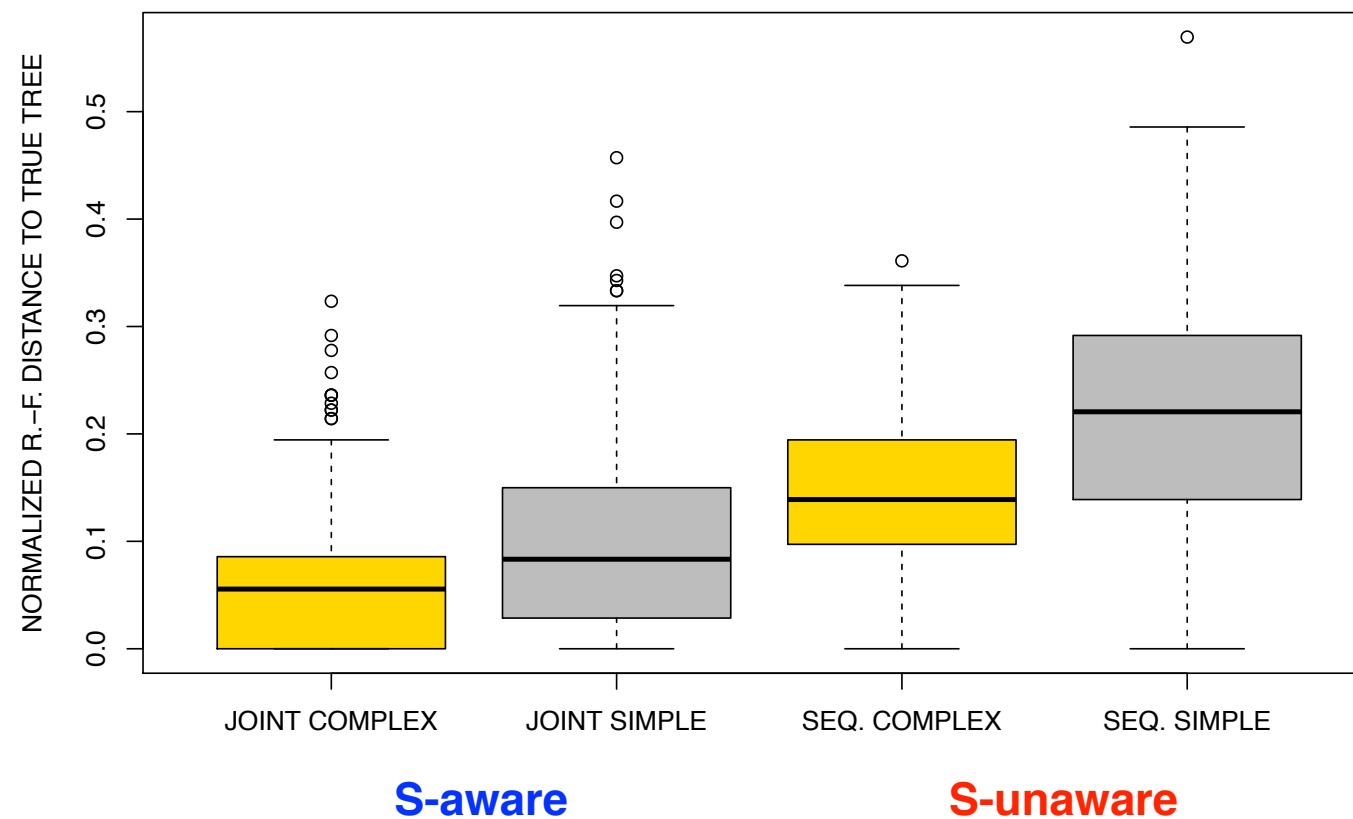


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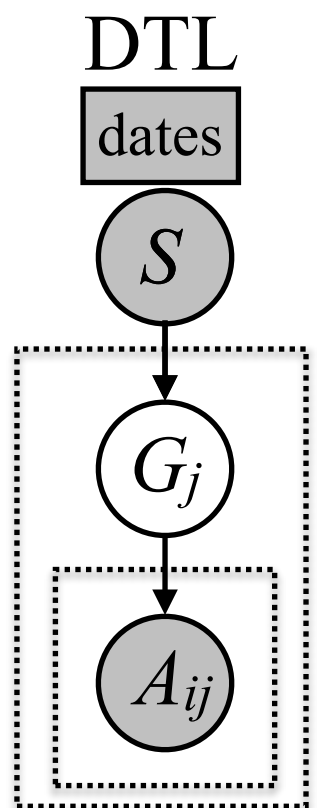
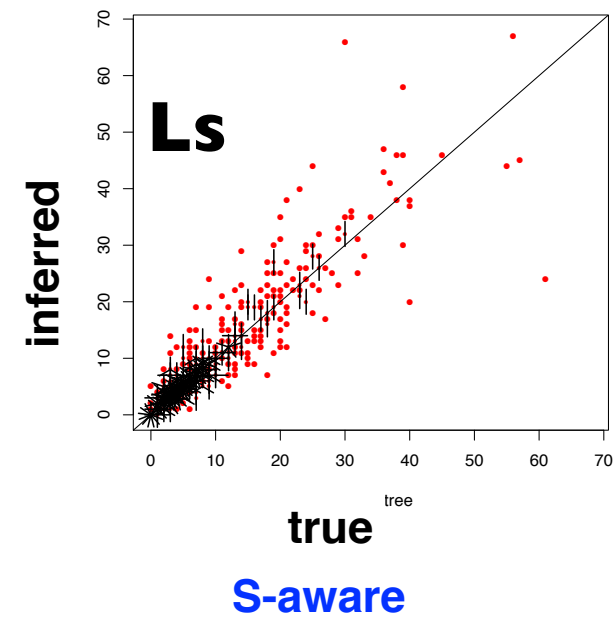
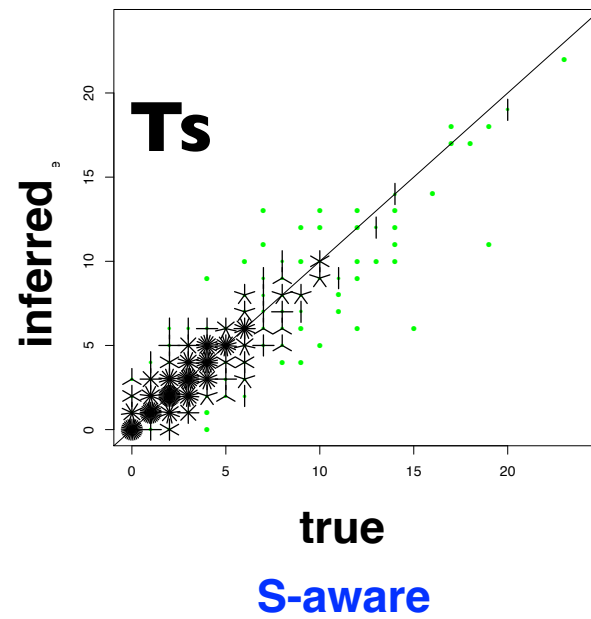
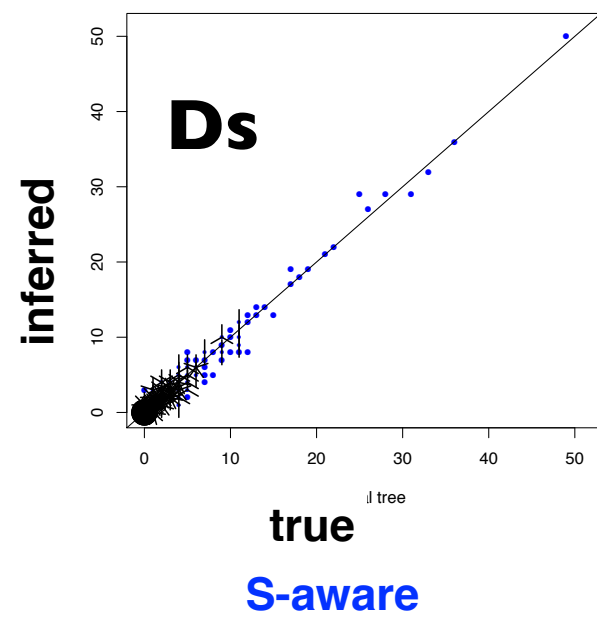
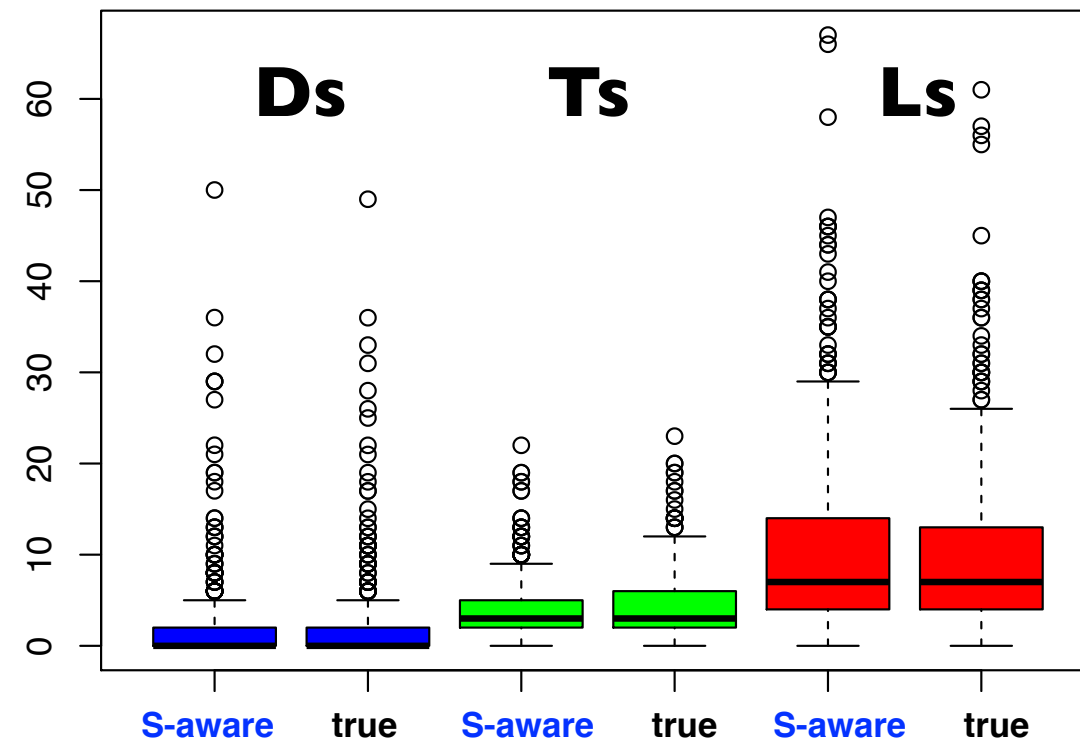


Distance to true tree

(based on 474 near universal single copy fams.)

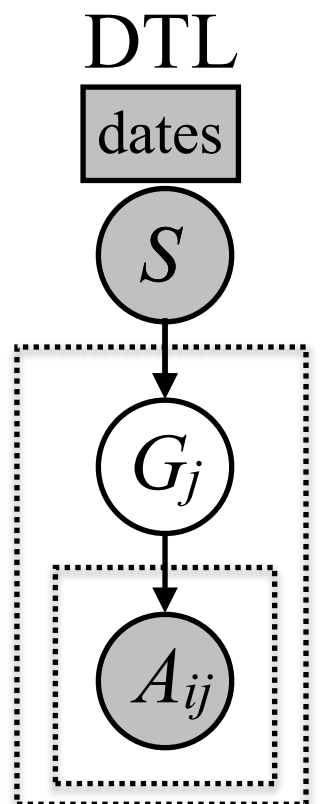
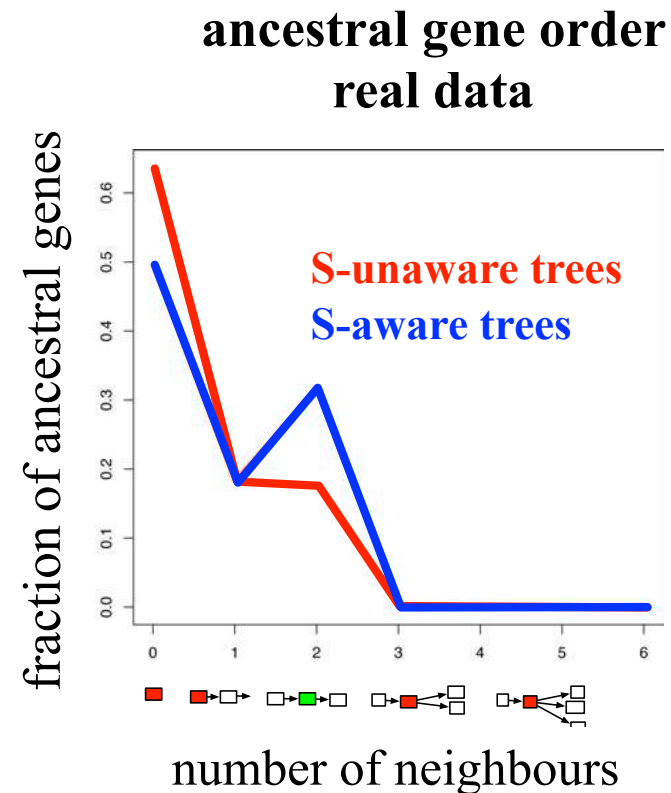


S-aware methods accurately recover number of DTL events



Real data and experiments suggest S-aware methods are important

More accurate gene trees, ancestral sequences (simulations & experiment) and chromosomes (synteny):



implemented in ALE:

<http://github.com/ssolo/ALE>

Groussin, Hobbs, Szöllősi, Gribaldo, Arcus & Gouy *Mol. Biol. Evol.* (2015)

Toward More Accurate Ancestral Protein Genotype–Phenotype Reconstructions with the Use of Species Tree-Aware Gene Trees

Szöllősi, Tannier, Lartillot & Daubin *Systematic Biology* (2013)

Lateral Gene Transfer from the Dead

Szöllősi, Rosikiewicz, Boussau, Tannier & Daubin *Systematic Biology* (2013)

Efficient exploration of the space of reconciled gene trees

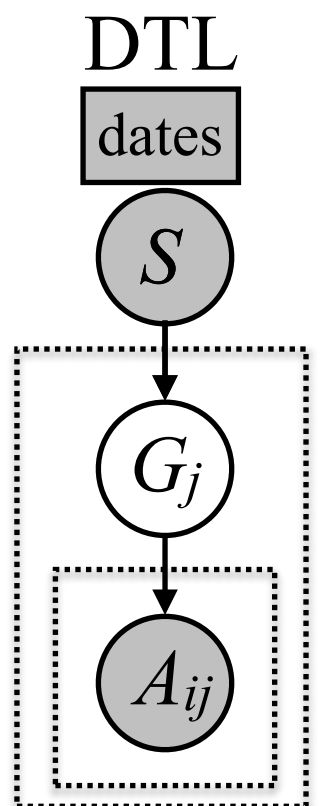
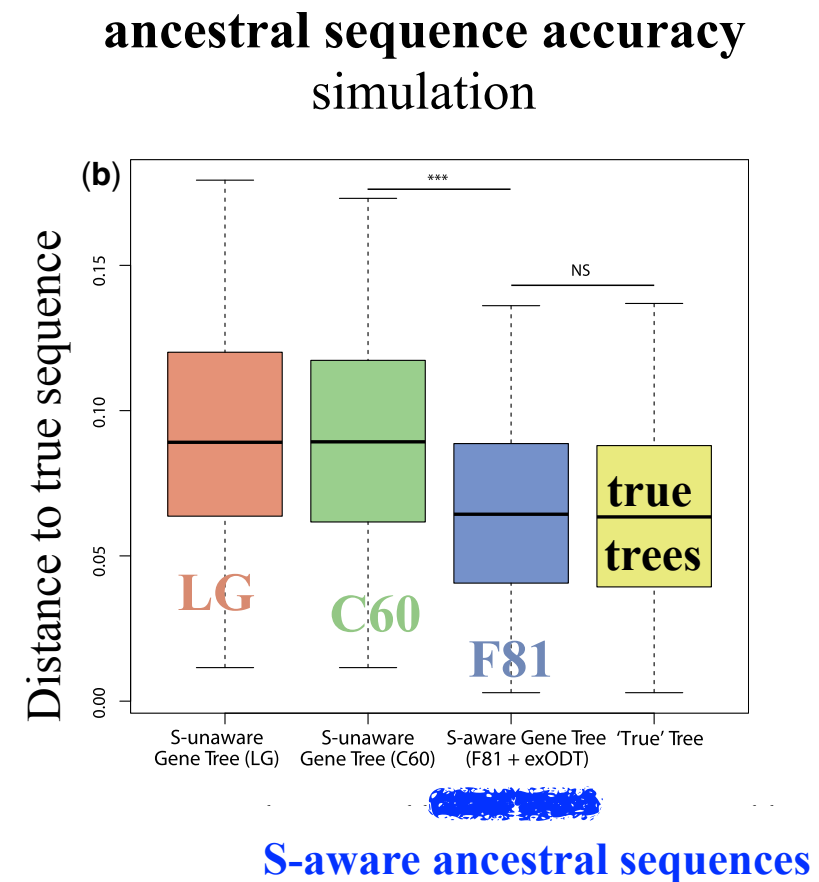
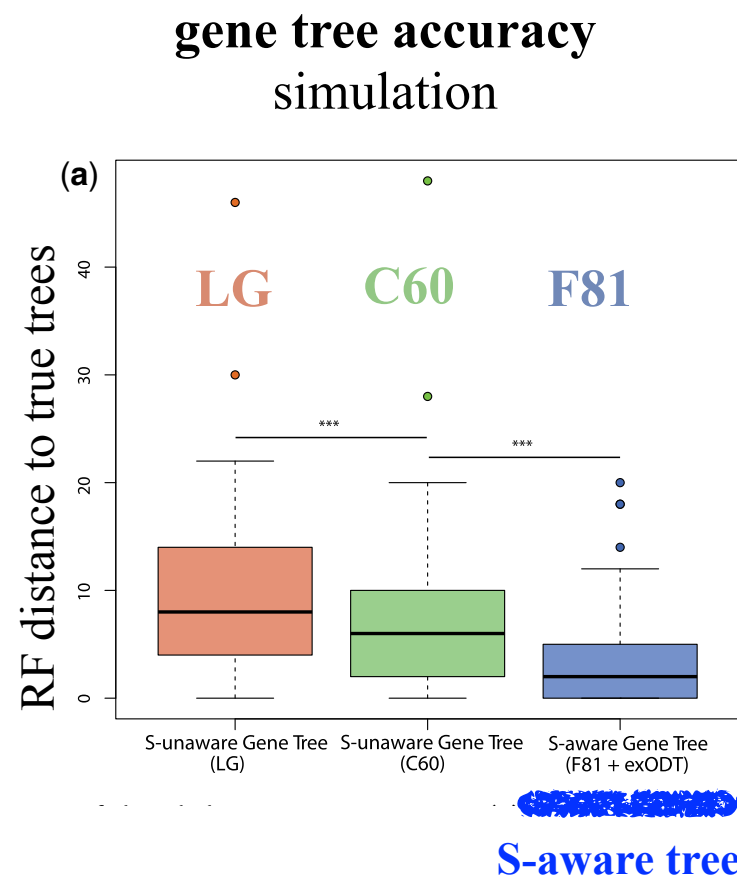
Real data and experiments suggest S-aware methods are important

More accurate gene trees, ancestral sequences (simulations & experiment) and chromosomes (synteny):

site heterogenous
empirical
exchangeabilities
uniform
exchangeabilities
model
complexity

C60
LG
F81

↑



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Real data and experiments suggest S-aware methods are important

in vitro biochemical essay

extant
LeuB
3-isopropylmalate
dehydrogenase
E. C. 1.1.1.85

resurrected
LeuB

Enzyme	$K_M^{(IPM)}$ (mM)	T_{opt} (°C)	$\Delta G_{N-U}^\ddagger$ (kJmol ⁻¹)
BPSYC	0.2	47	94.9
BSUB	0.7	53	95.9
BCVX	1.1	69	100.7
S-aware Tree			
+ LG	1.5	85	114.4
S-aware Tree			
+ EX_EHO	1.6	85	110.9
S-unaware Tree			
+ EX_EHO	6.8	78	91.4

Why and how?

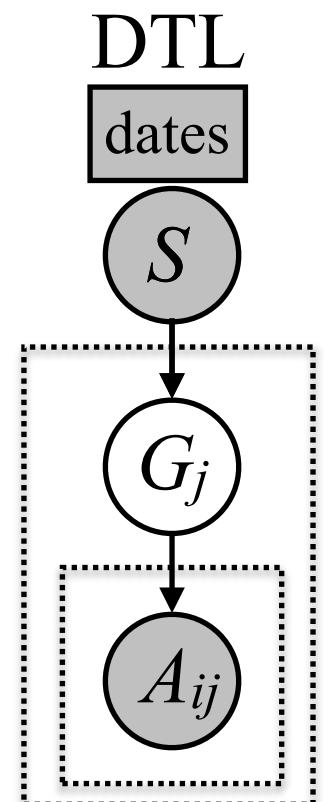
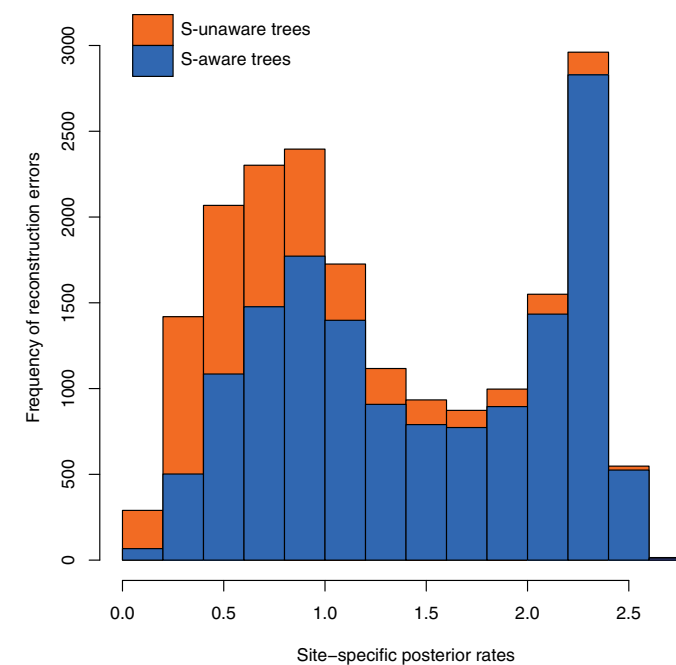


Table 1: **Biophysical parameters for the ancestral LeuB enzyme of the Firmicutes ancestor.** Values obtained in this study for the ancestor of Firmicutes (bold characters) were inferred using either the LeuB sequence tree or the LeuB reconciled tree and either with the site-homogeneous LG model or with the site-heterogeneous EX_EHO model. Data for contemporary (first three lines) are shown for comparison)



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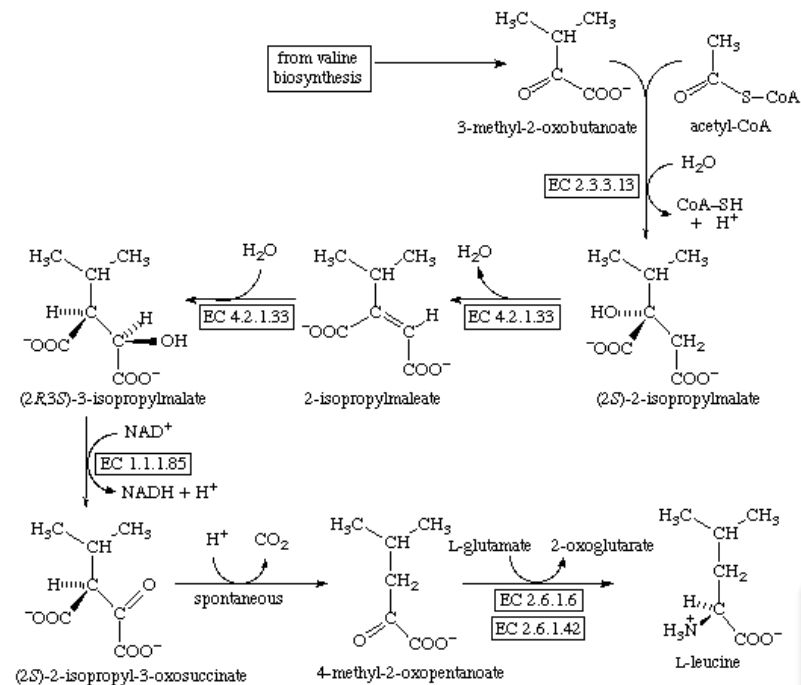
in vitro biochemical essay

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extant
LeuB
3-isopropylmalate
dehydrogenase
E. C. 1.1.1.85

resurrected
LeuB

Leucine Biosynthesis



DTL
dates

S

G_j

A_{ij}

Table 1: **Biophysical parameters for the ancestral LeuB enzyme of the Firmicutes ancestor.** Values obtained in this study for the ancestor of Firmicutes (bold characters) were inferred using either the LeuB sequence tree or the LeuB reconciled tree and either with the site-homogeneous LG model or with the site-heterogeneous EX_EHO model. Data for contemporary (first three lines) are shown for comparison)

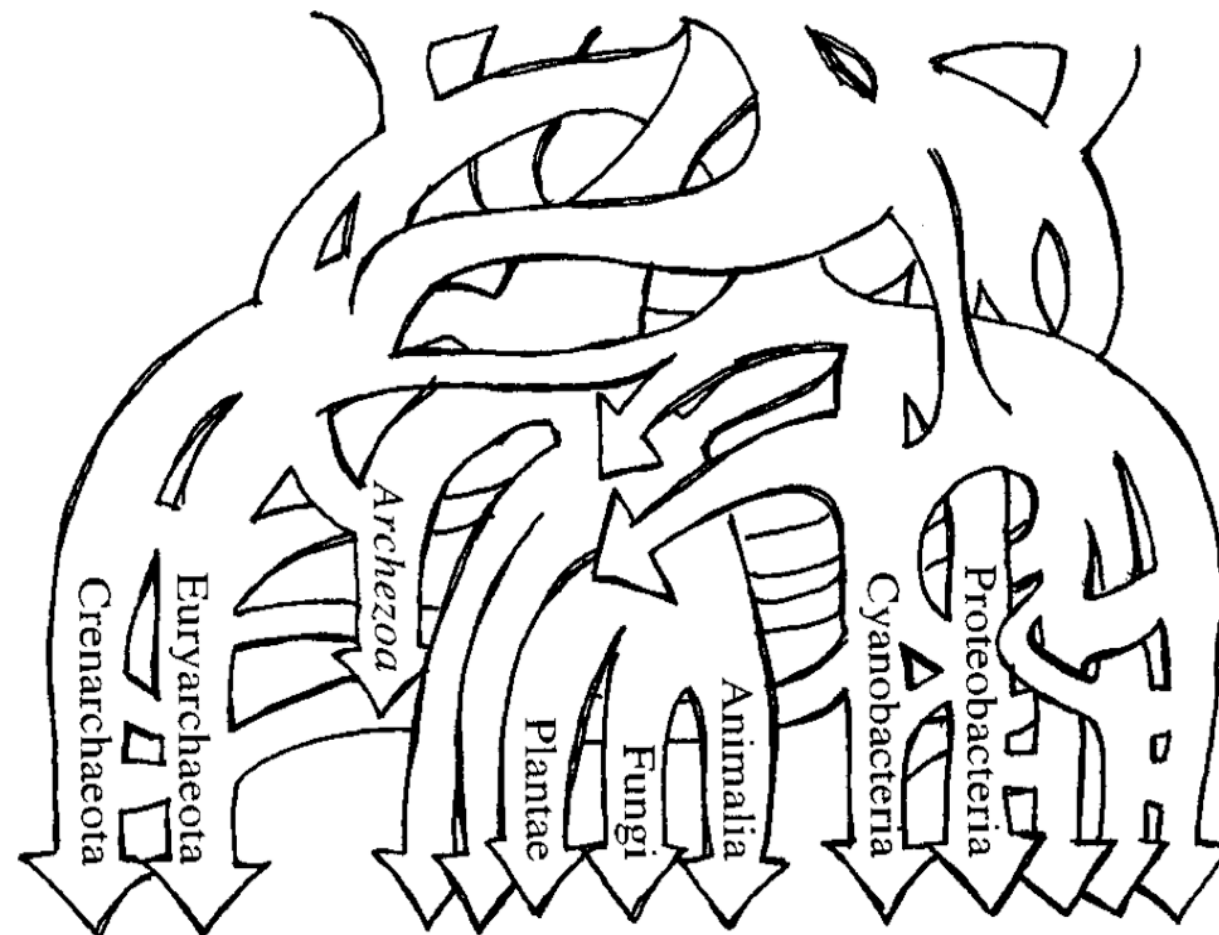


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Toward More Accurate Ancestral Protein Genotype–Phenotype
Reconstructions with the Use of Species Tree-Aware Gene Trees (2015)

just how much HGT is there?

LUCA



Archaea

Eukarya

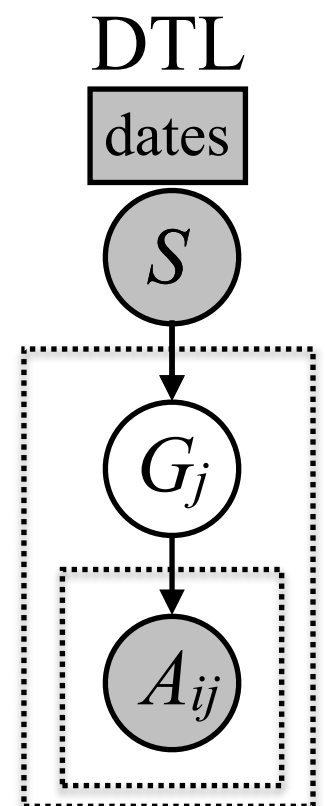
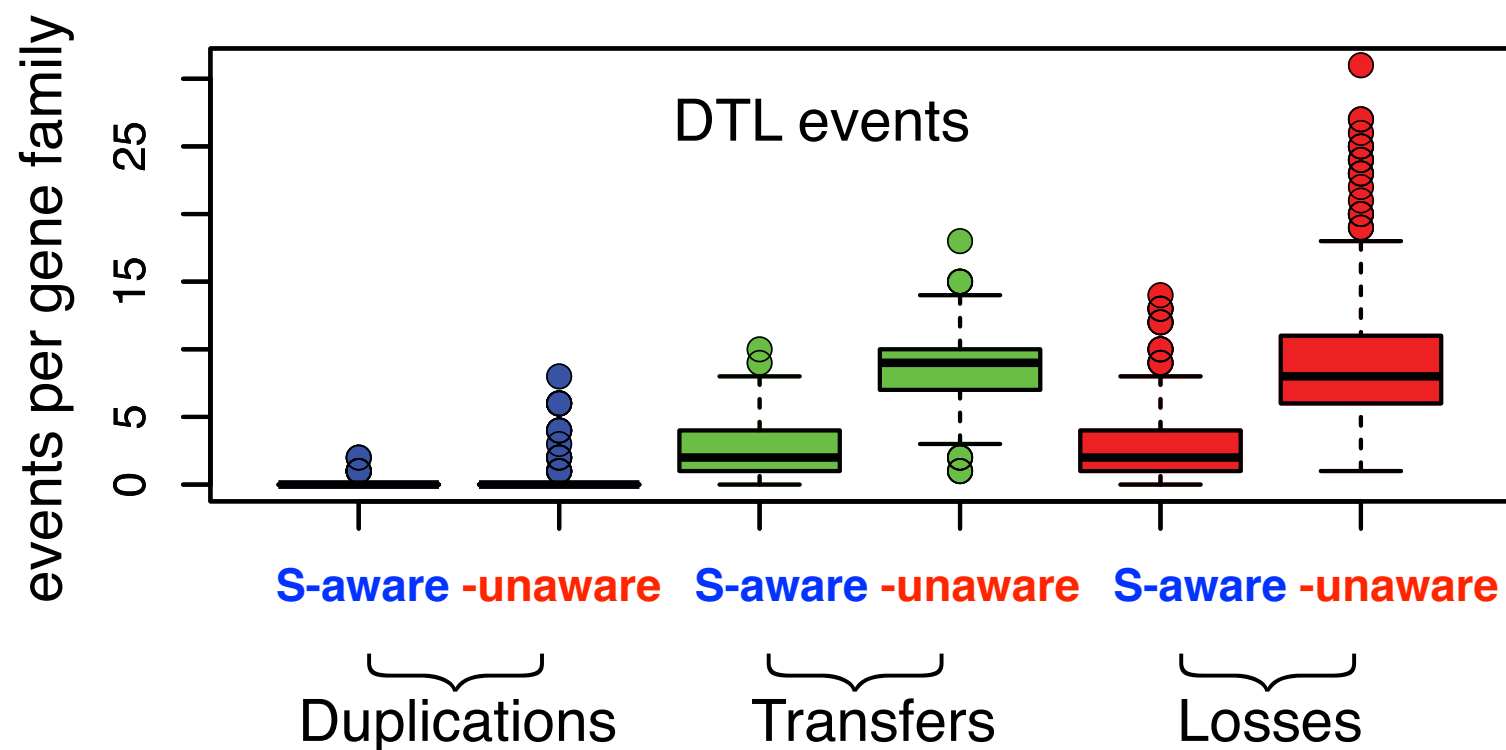
Bacteria

Doolittle 1999

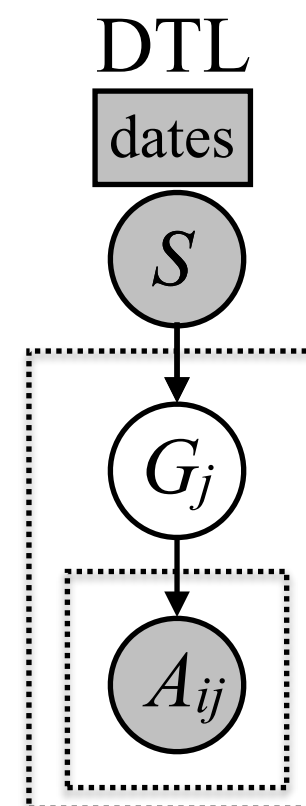
just how much HGT is there?

Two out of three transfers inferred based S-unaware gene trees are the result phylogenetic errors.

Two out of three losses inferred based S-unaware gene trees are the result phylogenetic errors.



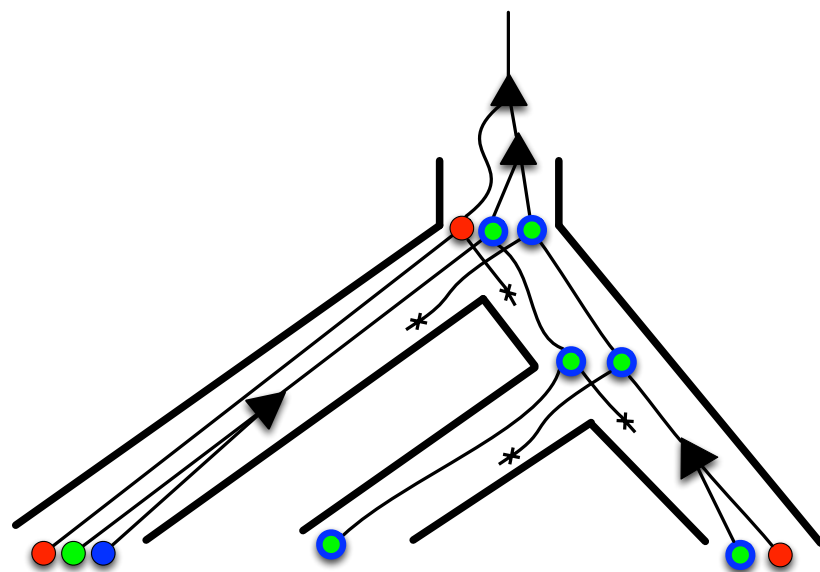
what about ancestral gene content?



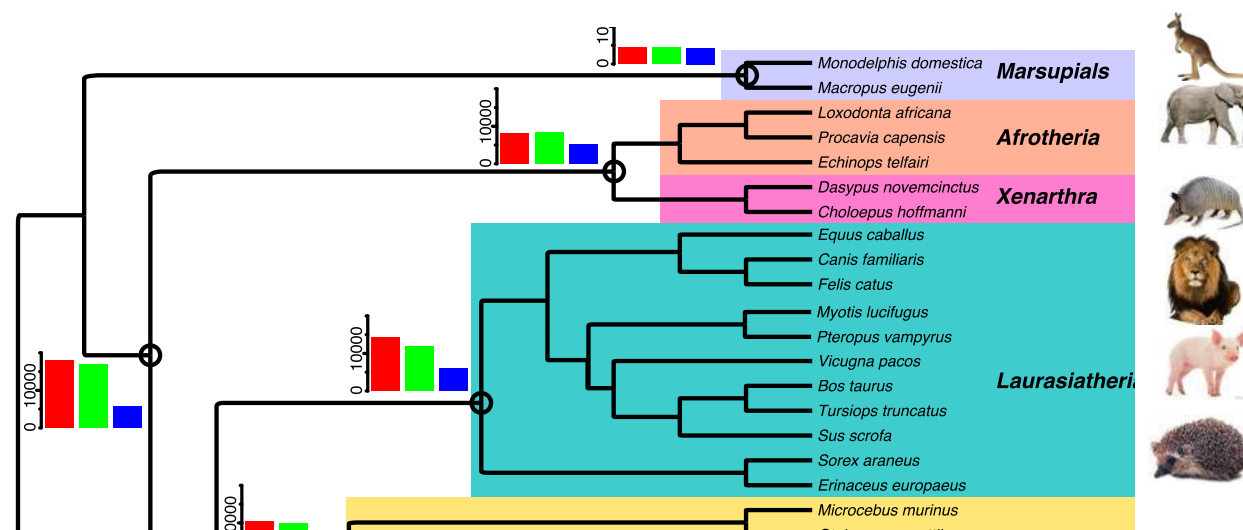
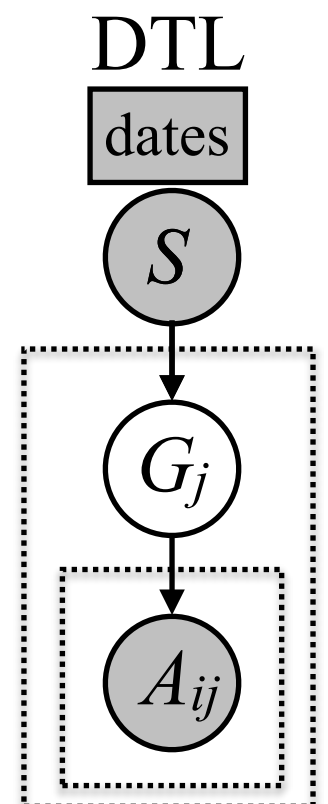
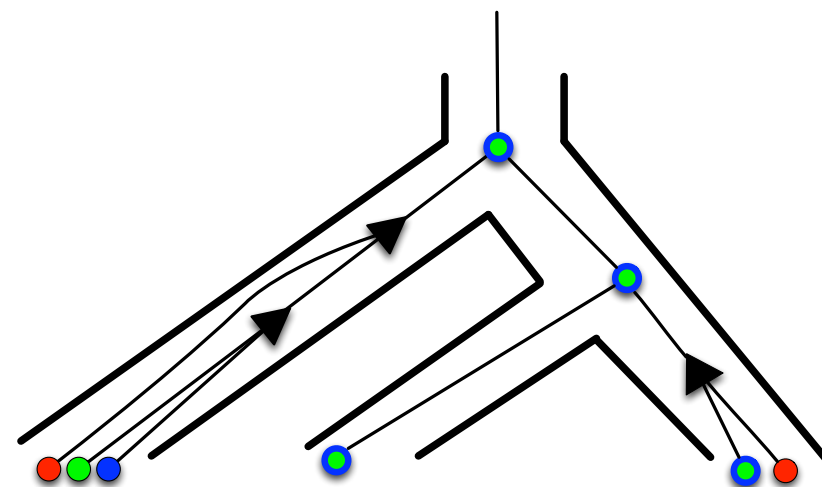
what about ancestral gene content?

Spurious (**false positives**) Ds lead to an **overestimation** of ancestral genome contents, missing Ds (**false negatives**) lead to an **underestimation**.

gene tree with errors



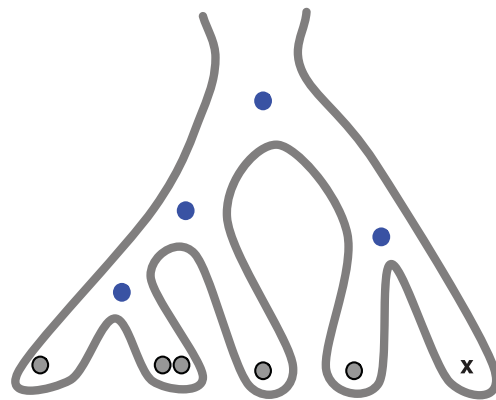
correct gene tree



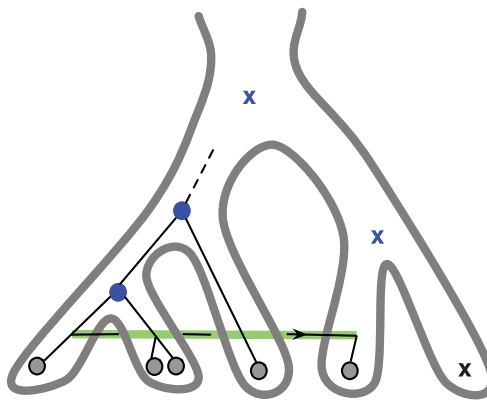
what about ancestral gene content?

Spurious (**false positives**) Ts lead to an **underestimation** of ancestral genome contents, missing Ts (**false negatives**) lead to an **overestimation** at deep nodes.

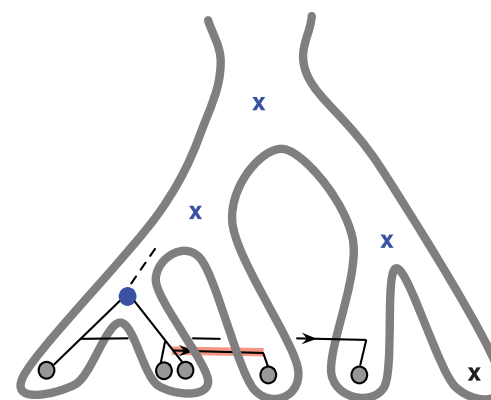
A only phylogenetic profile



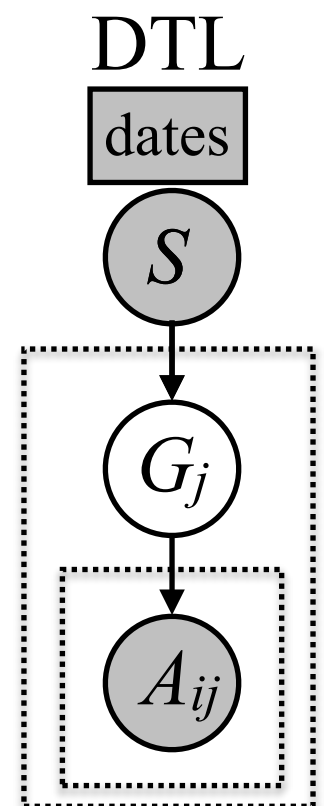
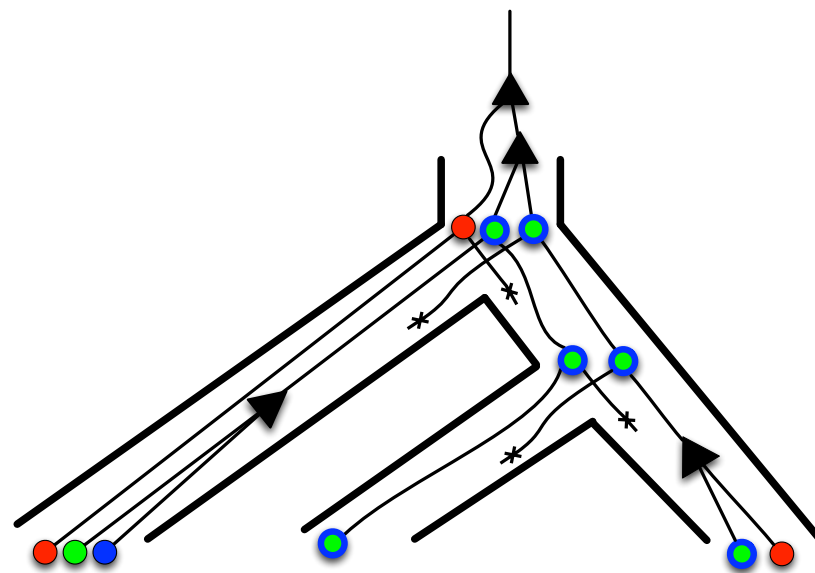
B including gene phylogeny



C gene tree errors



Ignoring transfer, i.e. **considering only DL** also leads to an **overestimate**.



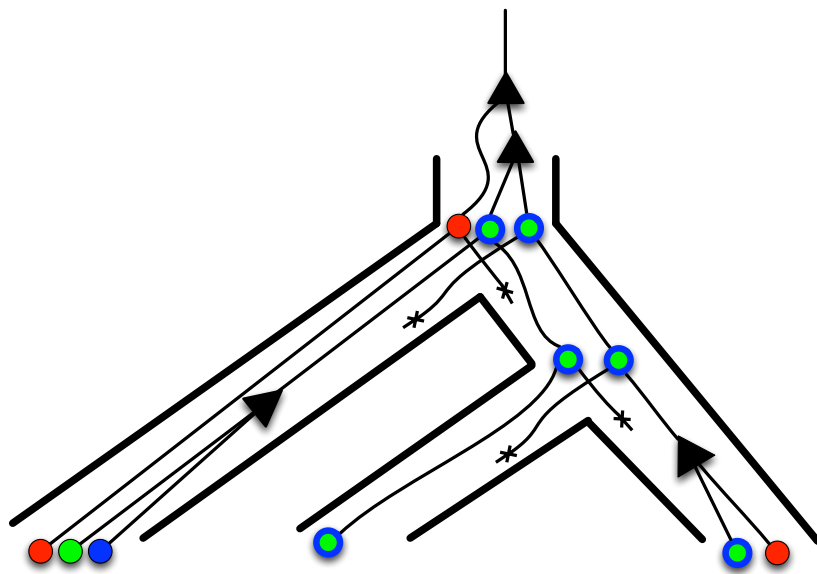
what is the effect of spurious HGTs on ancestral gene content?

Spurious (**false positives**) Ds lead to an **overestimation** of ancestral genome contents, missing Ds (**false negatives**) lead to an **underestimation**.

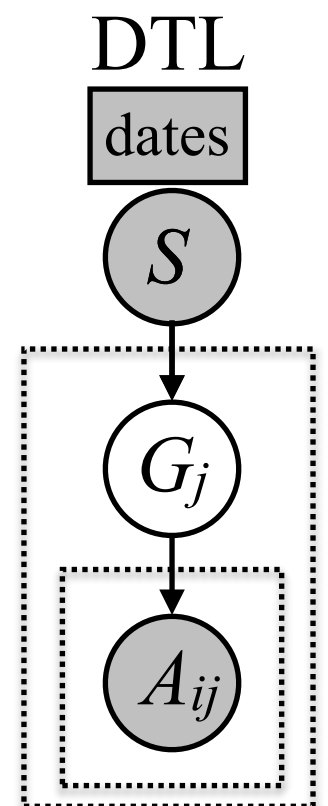
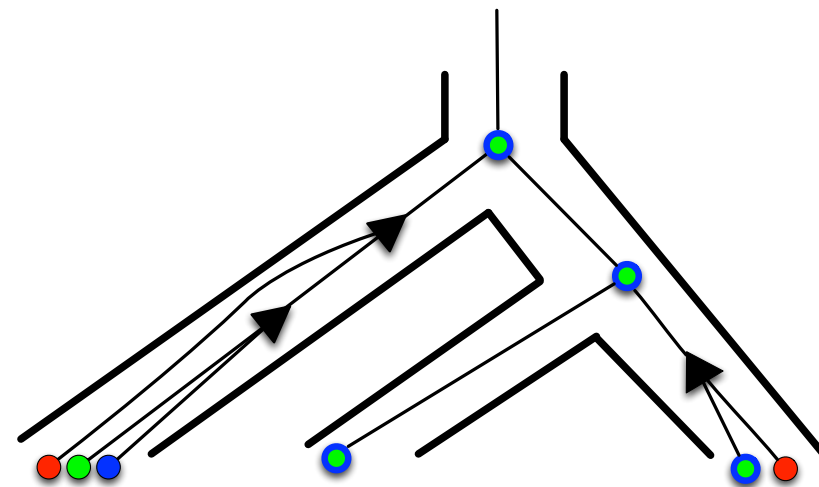
But, ignoring transfer, i.e. considering only DL also leads to an overestimate!

gene tree with errors

gene tree with “unmodelled” transfers

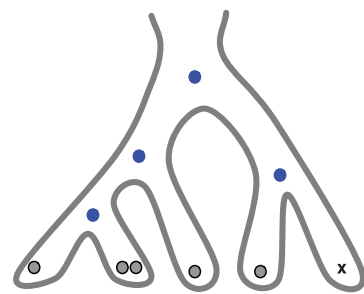


correct gene tree



Is HGT frequent only in prokaryotes?

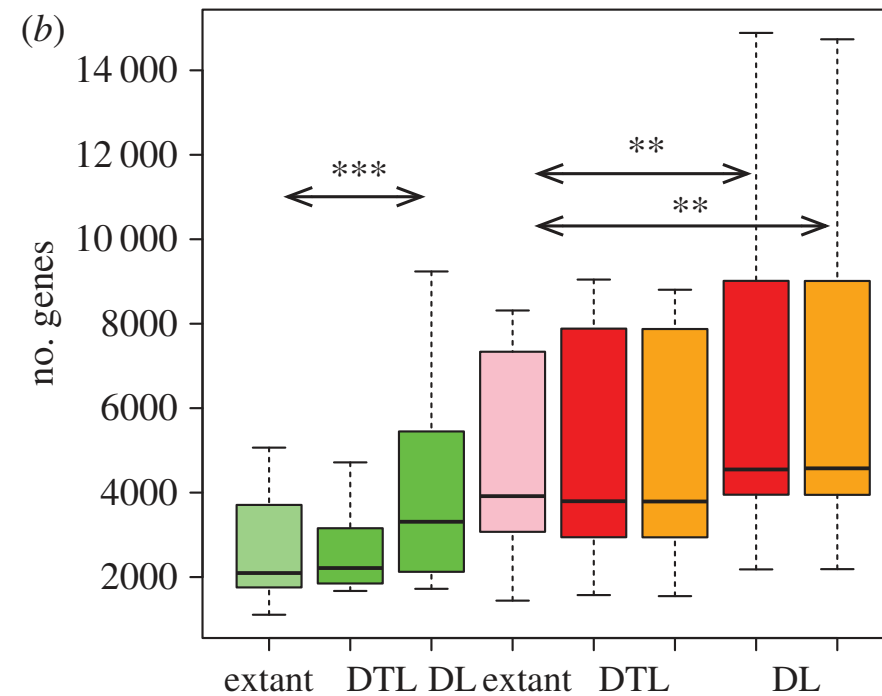
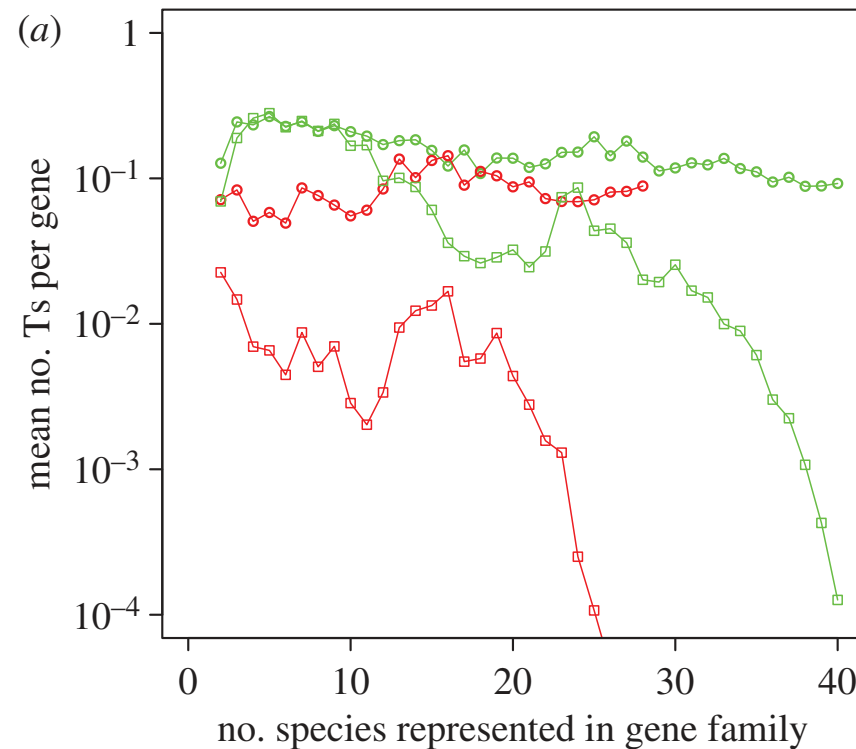
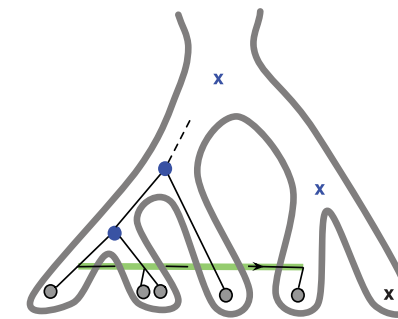
A only phylogenetic profile



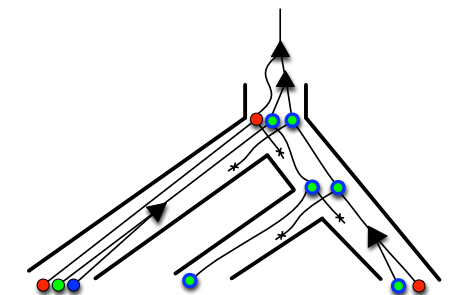
28 fungi genomes

40 cyano genomes

B including gene phylogeny

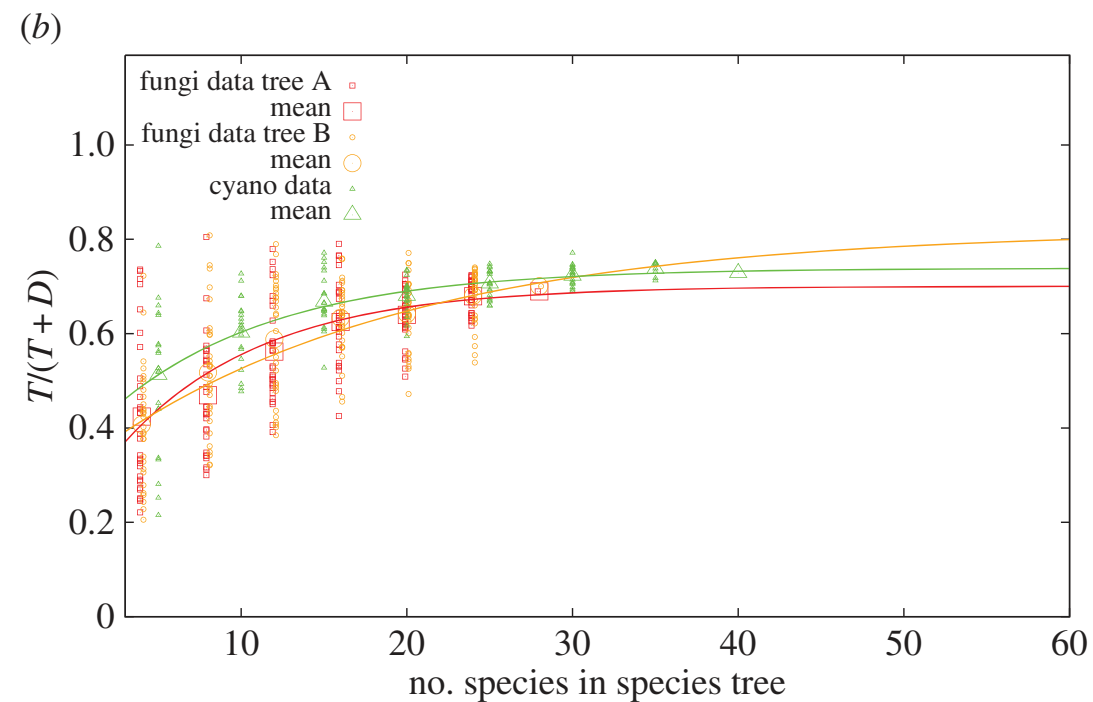
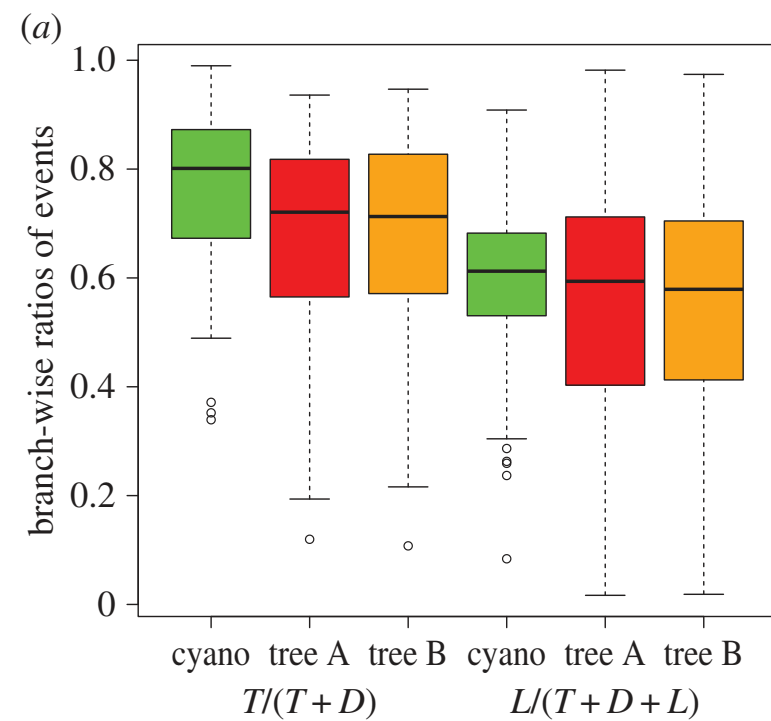
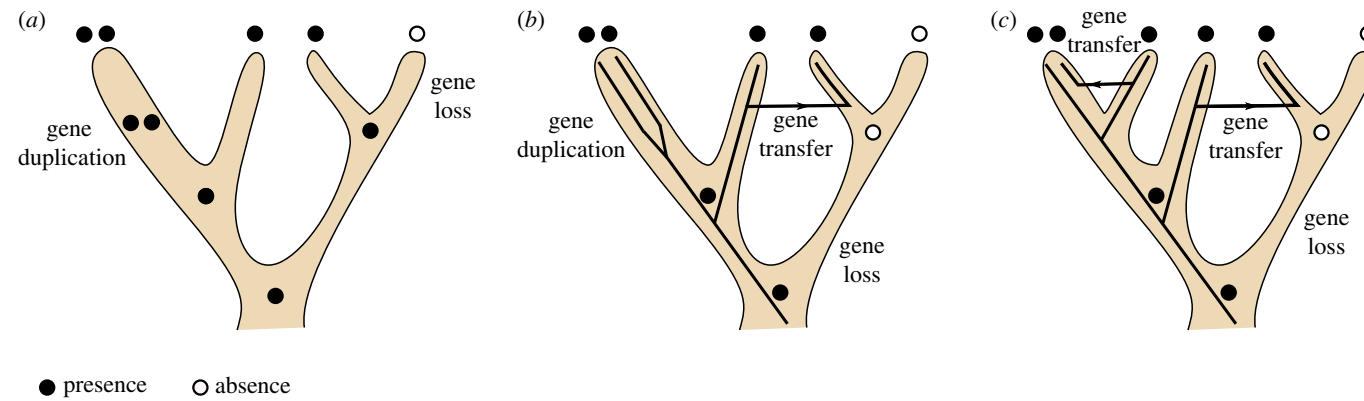


“unmodelled” transfers



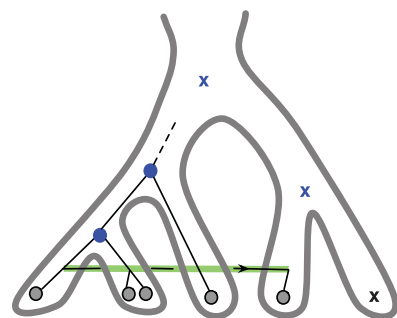
Ignoring transfer, i.e. considering only DL leads to an overestimate..

There is extensive gene transfer among fungi

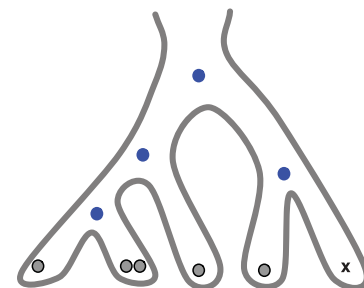


There is extensive gene transfer among fungi

B including gene phylogeny



A only phylogenetic profile



28 fungi genomes

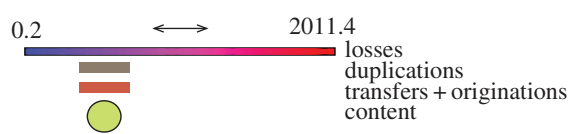
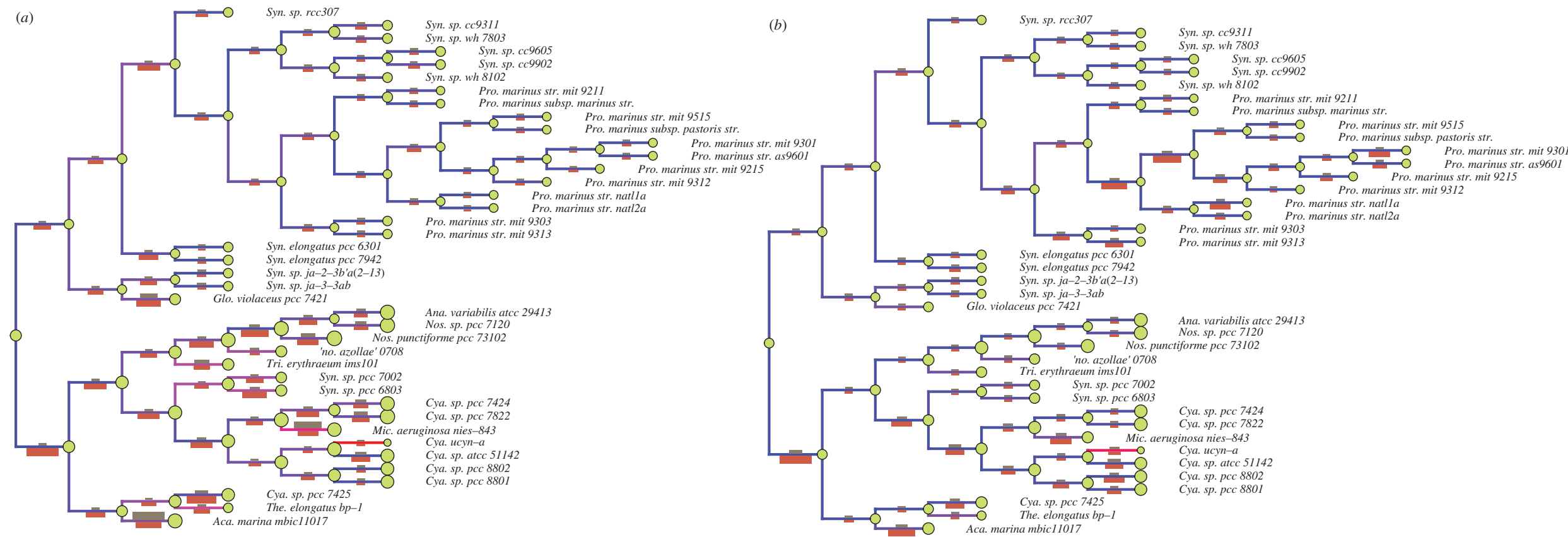


Figure 3. Genome evolution in cyanobacteria. Edges are colour-coded according to the inferred numbers of losses along the branches. Crimson bars represent numbers of gene gains (transfers + originations) arriving on the branch; taupe bars represent numbers of duplications happening on the branch. At each node, genome content size is represented as a green disc. (a) Inferences from *ALEml_undated*. (b) Inferences from *Count*.

Stronger transfer highways in fungi

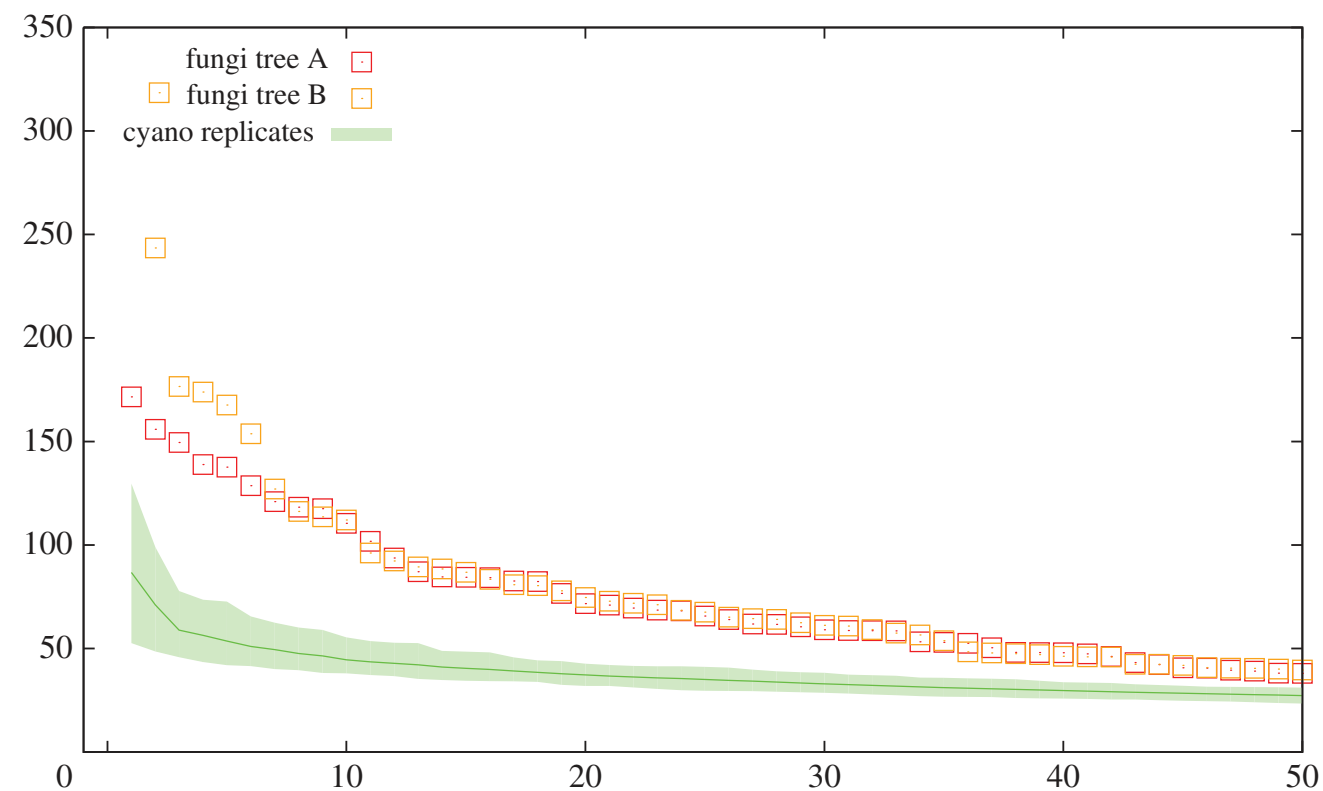
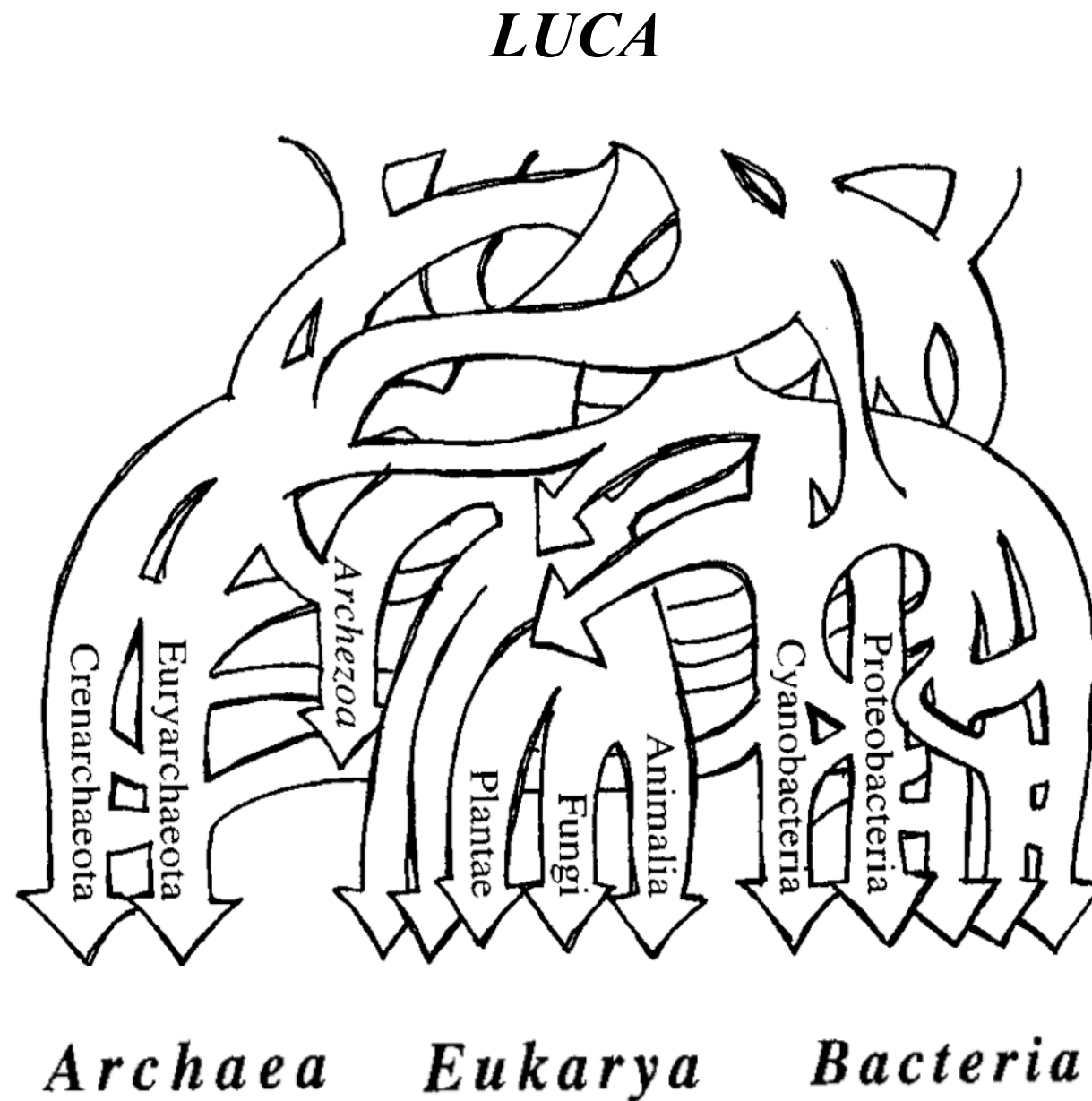


Figure 6. Stronger highways in fungi. Data points, red for tree A and orange for tree B, correspond to numbers of transfers between pairs of branches ('highways of transfers') in either fungi phylogeny plotted in decreasing order. The continuous line (green online) shows the mean number of transfers between pairs of branches among 25 replicates where a random set of 28 cyanobacterial genomes were chosen as in figure 5b. The shaded area shows the 95% CI. Fungi are in red and cyanobacteria in green throughout.

Horizontal gene transfer as noise

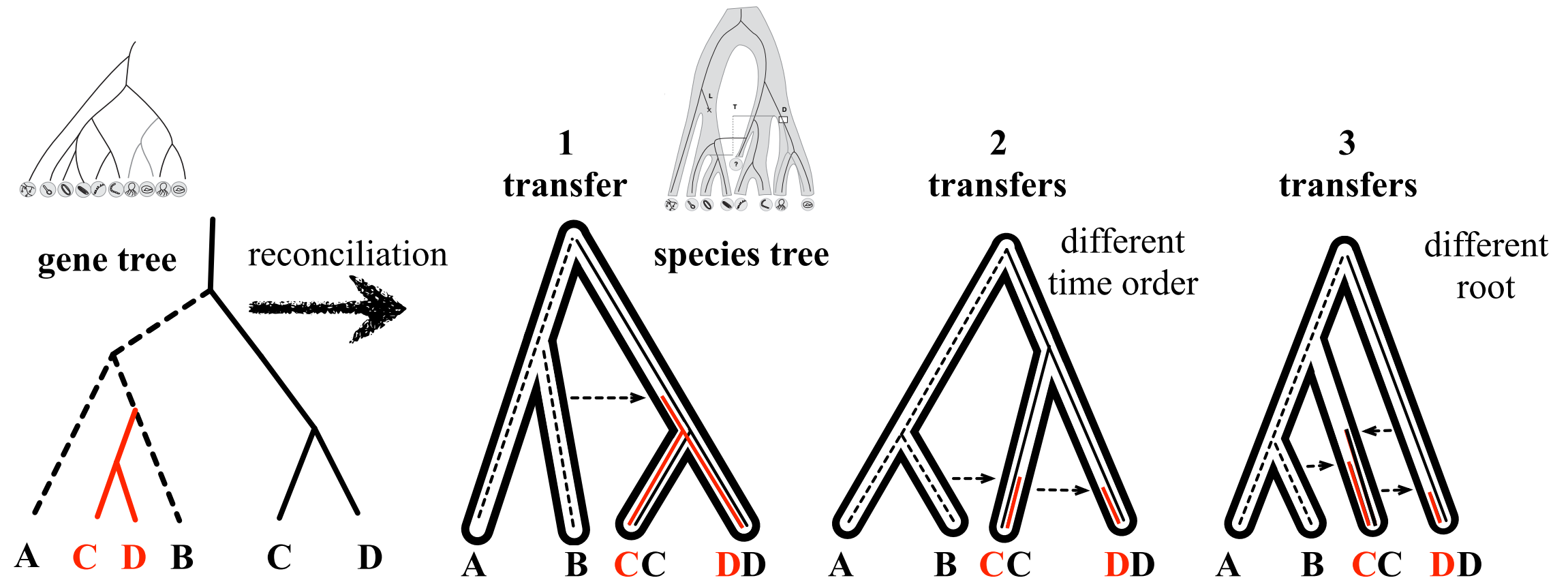
Gene transfers result in apparently contradicting gene phylogenies, fungi can seem closely related to aphids. A potentially high rate of transfer esp. early in the evolution of life, suggests that the vertical signal may be drowned in noise.



Doolittle 1999

Horizontal gene transfer as information

Transfer events, encoded in the topologies of gene trees can be thought of as “*molecular fossils*” that record the order of speciation events.



Szöllősi, Boussau, Abby, Tannier & Daubin *PNAS* (2012)
*Phylogenetic modeling of lateral gene transfer
 reconstructs the pattern and relative timing of speciations*

Gene trees and species trees can be jointly reconstructed

Estimating genes and species history can be achieved through a hierarchical structure, on top of which a species tree is inferred from gene trees through models of gene family evolution, themselves inferred from sequence alignments through models of sequence evolution.

parallel computation scheme

$$\mathcal{L}(\{G_j\}, S, \text{rates} | \{A_{ij}\}) :$$

server:
calculate

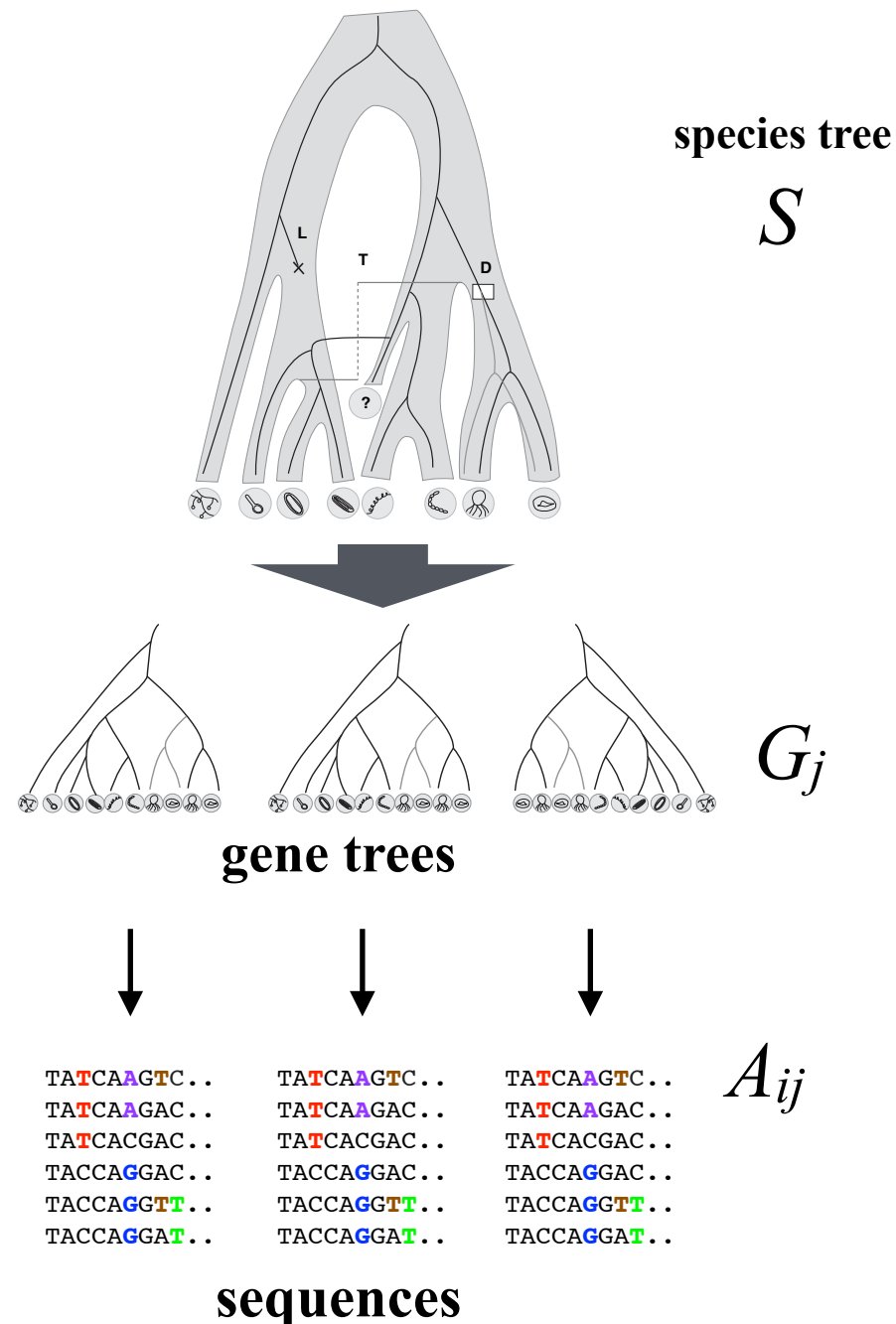
$$\prod_j$$

optimise S
and estimate rates

clients:
calculate

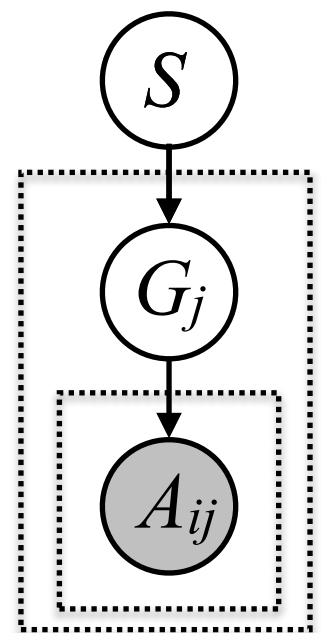
$$\prod_i p(A_{ij} | G_j) \times p(G_j | S, \text{rates})$$

optimise (or integrate over) G_j



Daubin & Boussau 2011

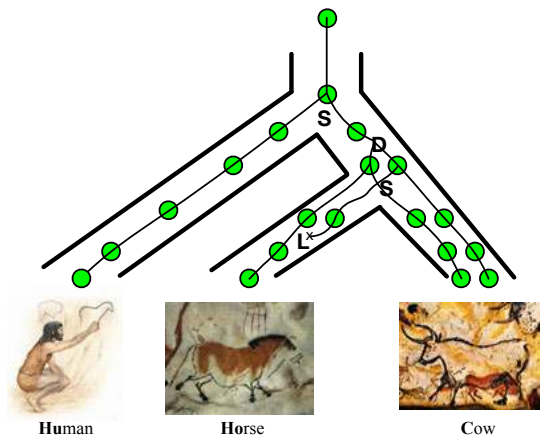
DL



Boussau, Szöllősi, Duret, Gouy, Tannier & Daubin *Genome Res.* (2013)
Genome-scale coestimation of species and gene trees

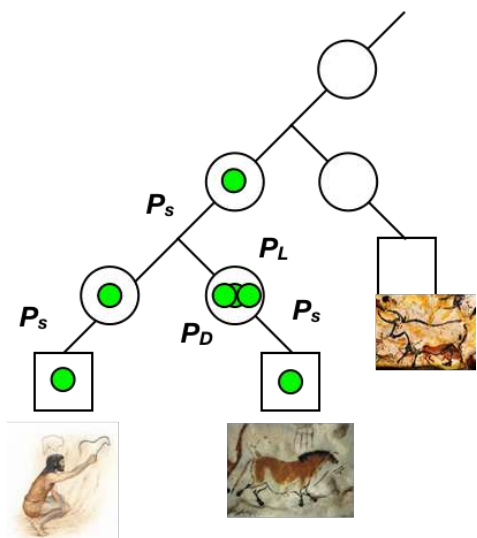
.. but gene trees are generated along the species tree

If we model the process generating gene trees along the species tree we can hope to infer better gene trees and species trees. To calculate the likelihood of a *gene tree* we sum over all possible *gene birth and death events* along a given *species tree*.



DL
 $2 \text{ to } 10 \times \log(\# \text{species}) \times \# \text{genes}$

DTL
 $2 \text{ to } 10 \times \# \text{species}^2 \times \# \text{genes}$



DL
 $\log(\# \text{species}) \times \# \text{genes}$

“undated”
 DTL
 $\# \text{species} \times \log(\# \text{species}) \times \# \text{genes}$

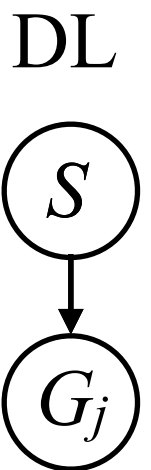
parameters
 (ML or Bayes)

DL
 D&L rates
 branch lengths, root

DTL
 D,T&L rates
 dated tree

DL
 D&L rates, root

“undated”
 DTL
 D,T&L rates, root



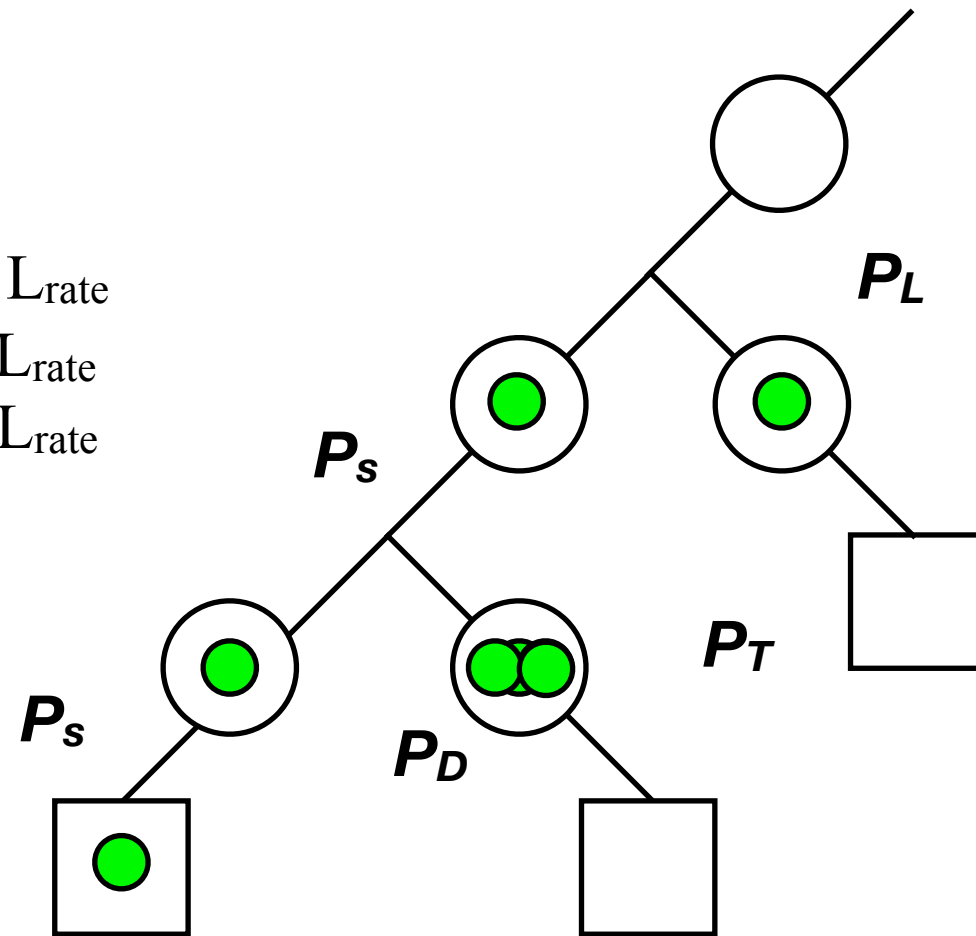
“undated” DTL

$$P_S + P_D + P_T + P_L = 1$$

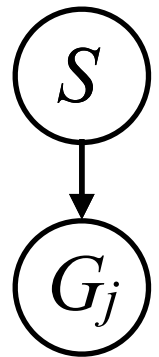
$$P_D = D_{\text{rate}} / (D_{\text{rate}} + T_{\text{rate}} + L_{\text{rate}})$$

$$P_T = T_{\text{rate}} / (D_{\text{rate}} + T_{\text{rate}} + L_{\text{rate}})$$

$$P_L = L_{\text{rate}} / (D_{\text{rate}} + T_{\text{rate}} + L_{\text{rate}})$$



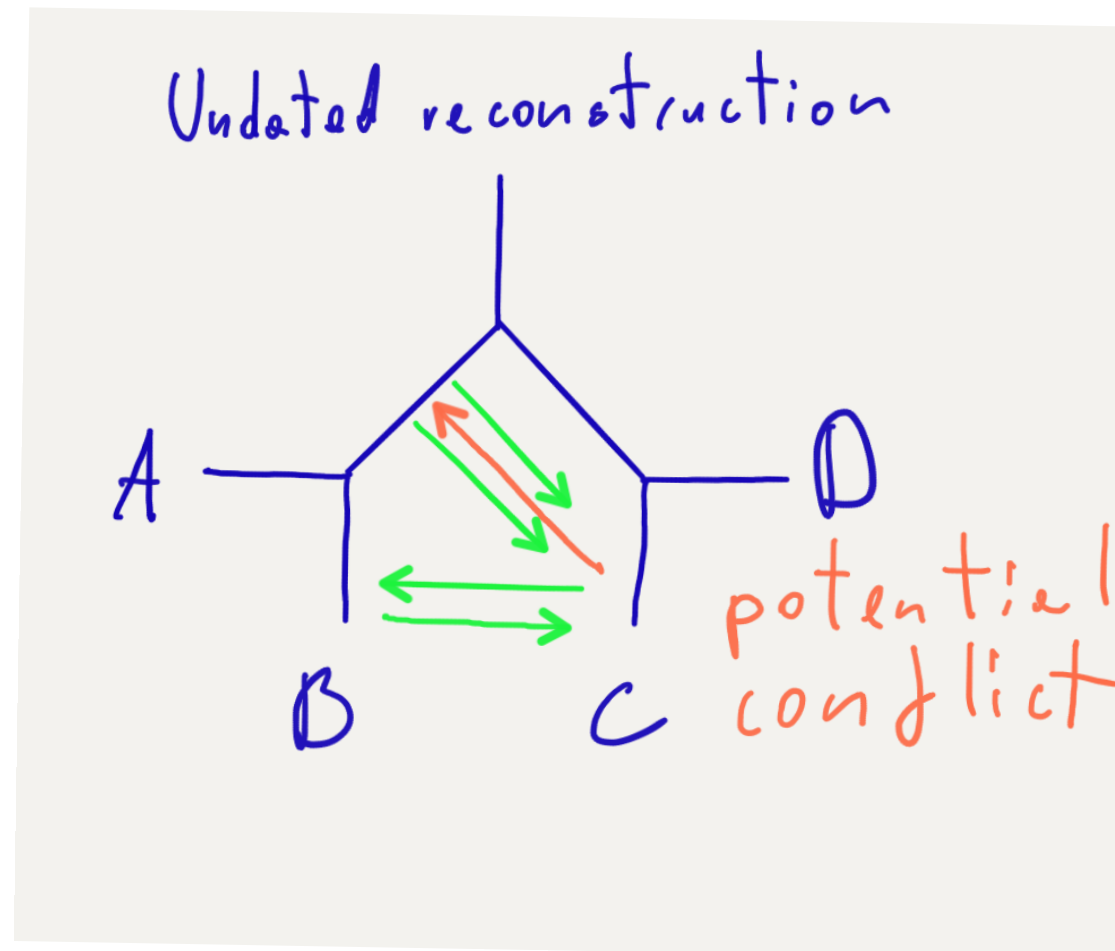
undated DTL



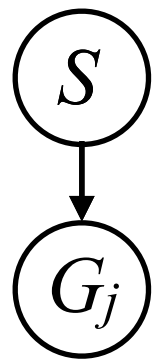
implemented in ALE:

<http://github.com/ssolo/ALE>

“undated” DTL



undated
DTL



DTL



“undated” DTL



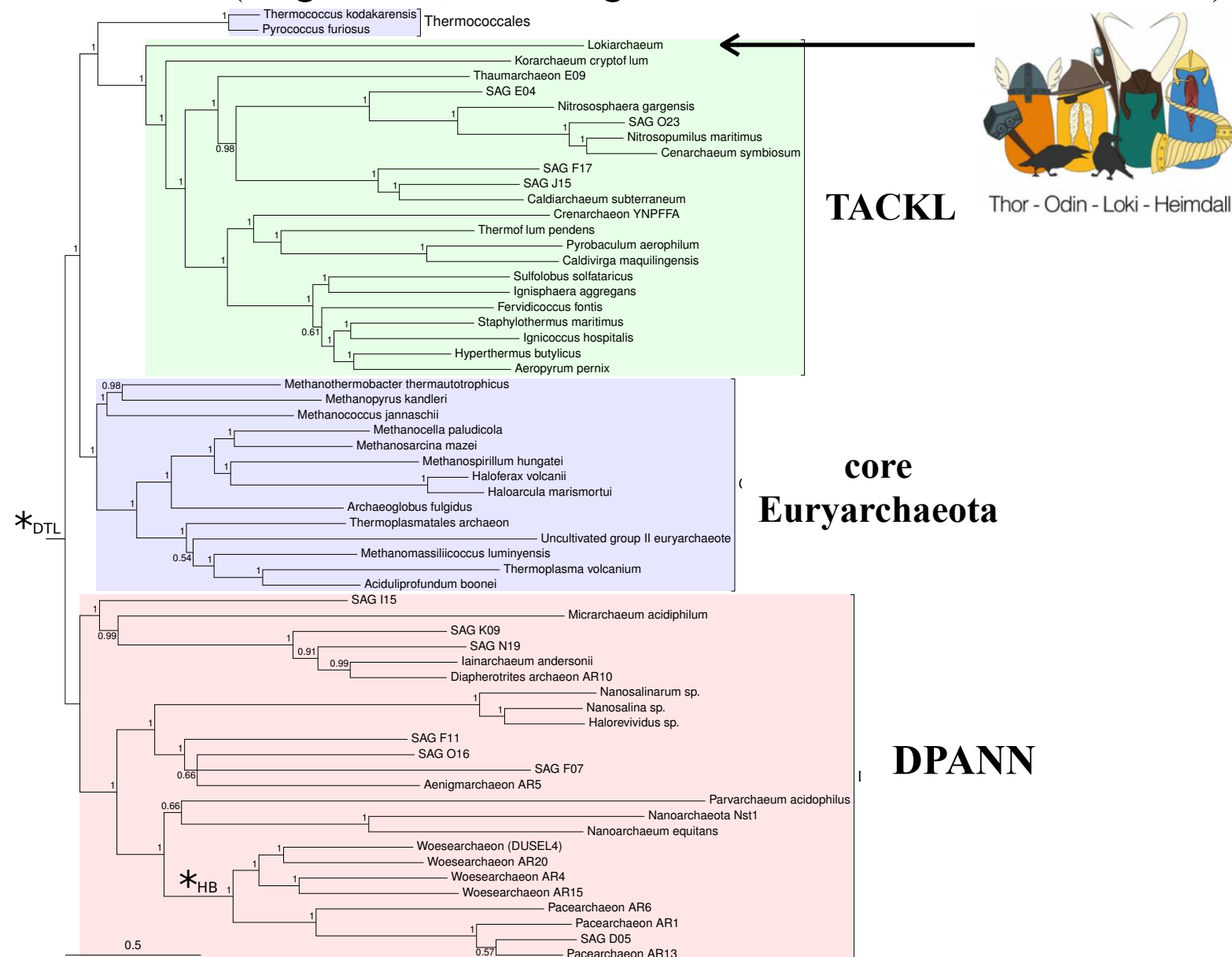
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Transfers can root the archaeal tree of life

Using ALE on gene families from 60 genomes allowed us to **root the Archaeal tree without an out-group** while at the same time reconstructing ancestral gene contents.

Archaea (60 genomes, including recent DPANN and Lokiarchaeum)



undated
DTL

root

S

G_j

A_{ij}

The predominant mode of genome evolution is not gene loss, but ongoing lineage specific innovation.

Williams, Szöllősi, .. & Embley *PNAS* (2017)

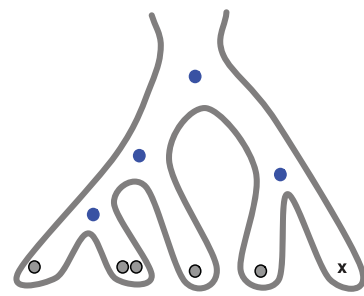
Integrative modelling of gene and genome evolution roots the archaeal tree of life



Transfers can root the archaeal tree of life

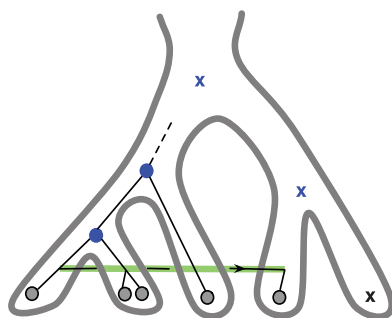
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A only phylogenetic profile



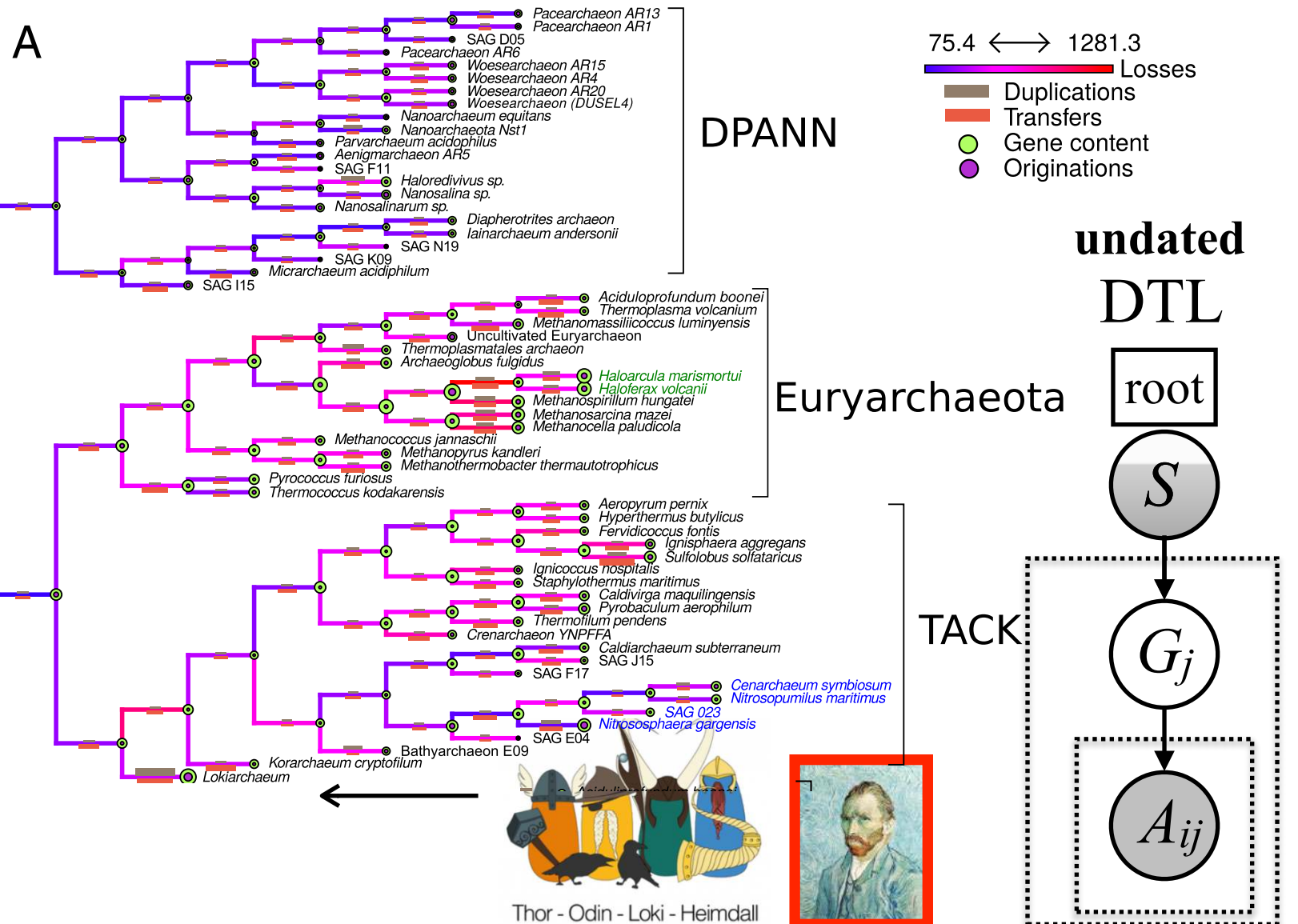
Streamlining and large ancestral genomes in Archaea inferred with a phylogenetic birth-and-death model.
COUNT Csürös & Miklós 2009 *MBE*

B including gene phylogeny



implemented in ALE:

<http://github.com/ssolo/ALE>



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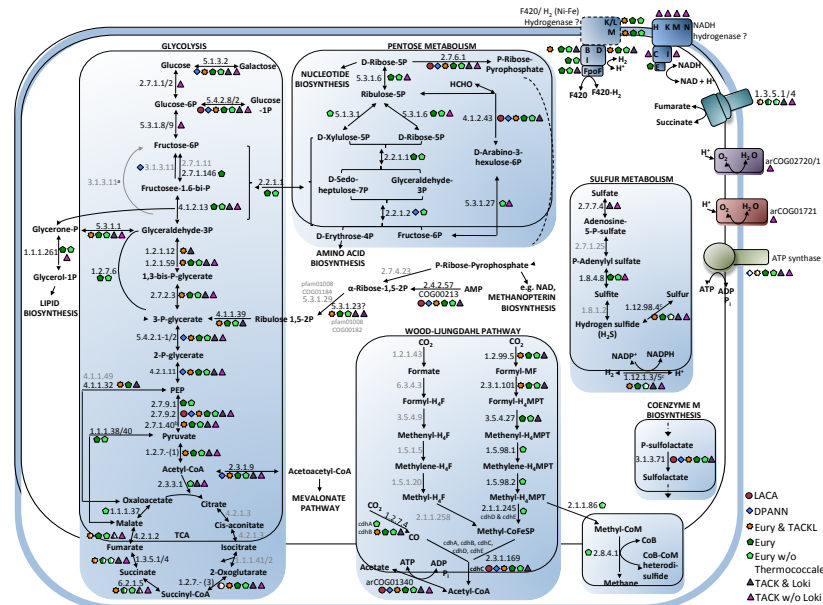
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LACA's metabolism

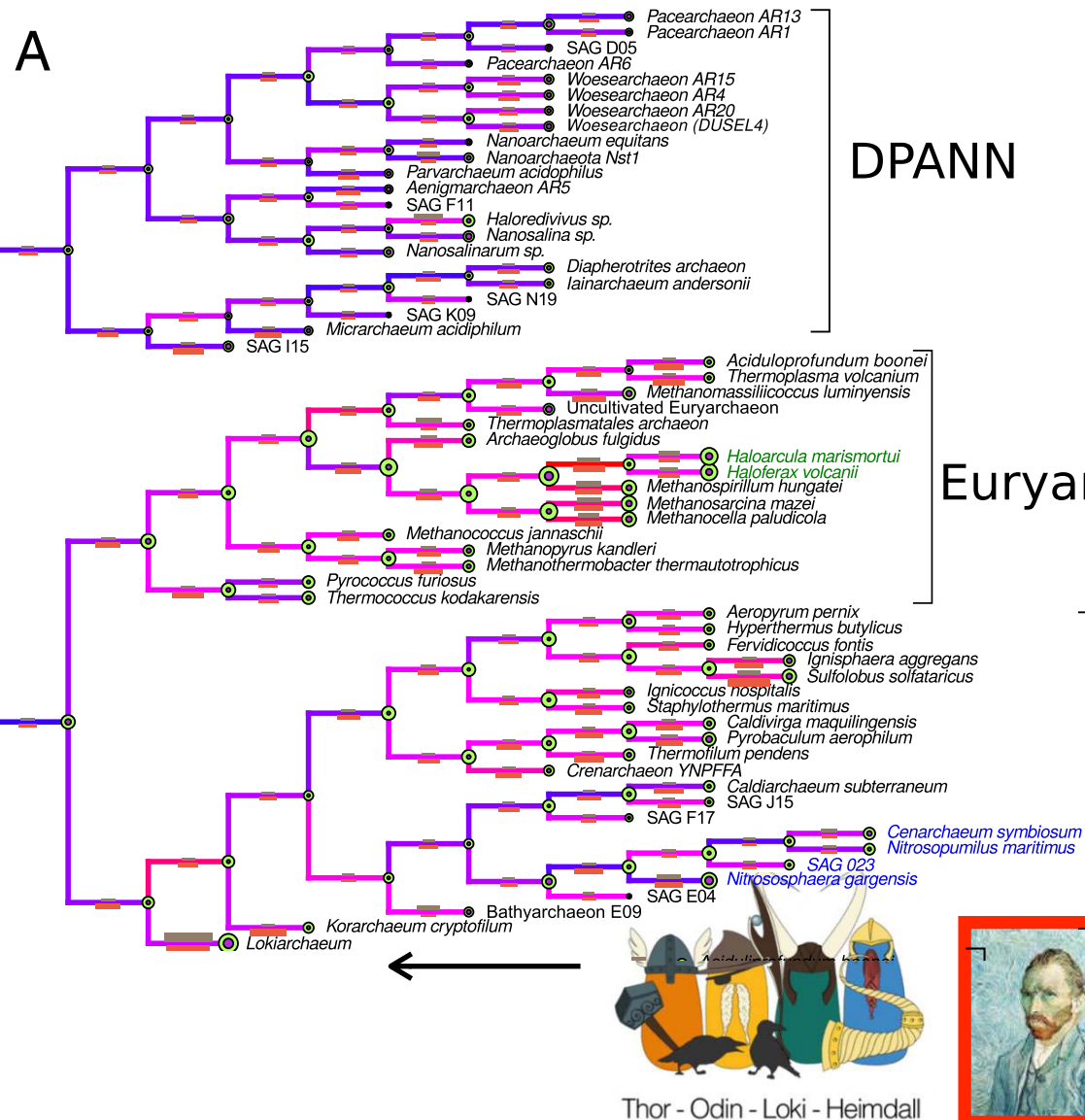


An **anaerobe** that could fix CO₂ to acetyl-CoA and generate acetate and ATP from it.



implemented in ALE:

<http://github.com/ssolo/ALE>



75.4 $\longleftrightarrow$ 1281.3
Losses
Duplications
Transfers
Gene content
Originations

undated
DTL

root

S

TACK

G_j

A_{ij}

Thor - Odin - Loki - Heimdall



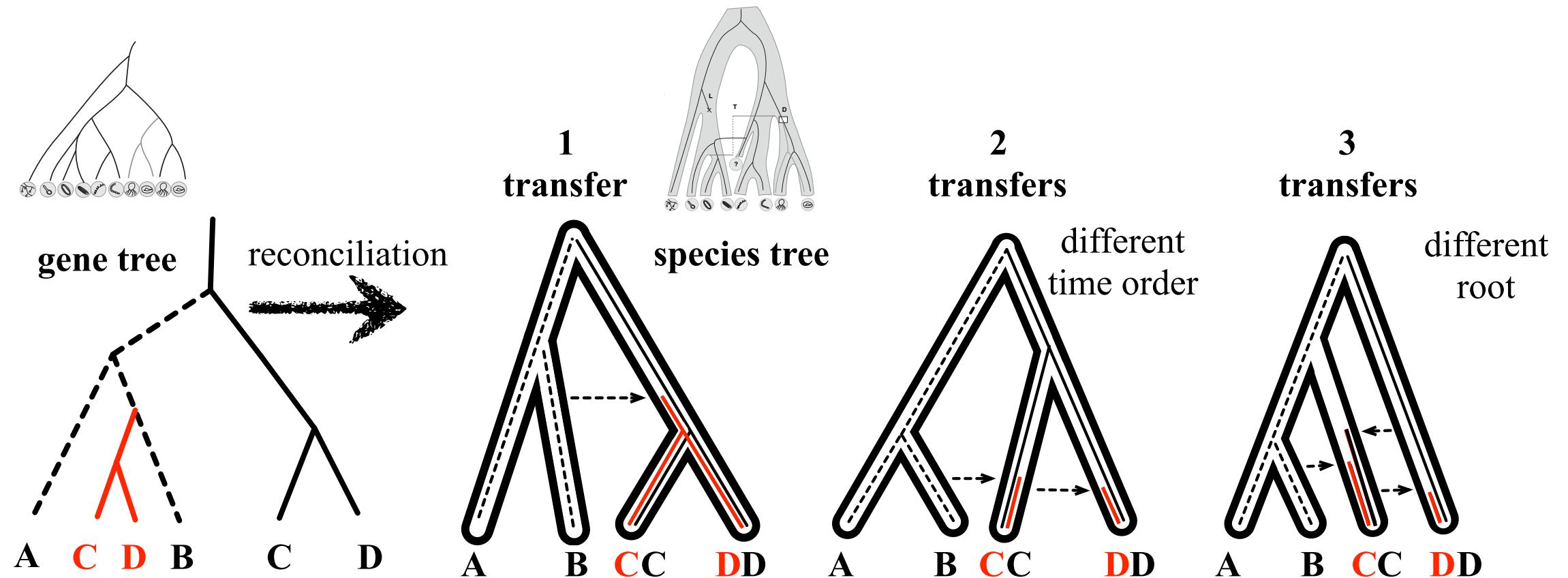
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Horizontal gene transfer as information

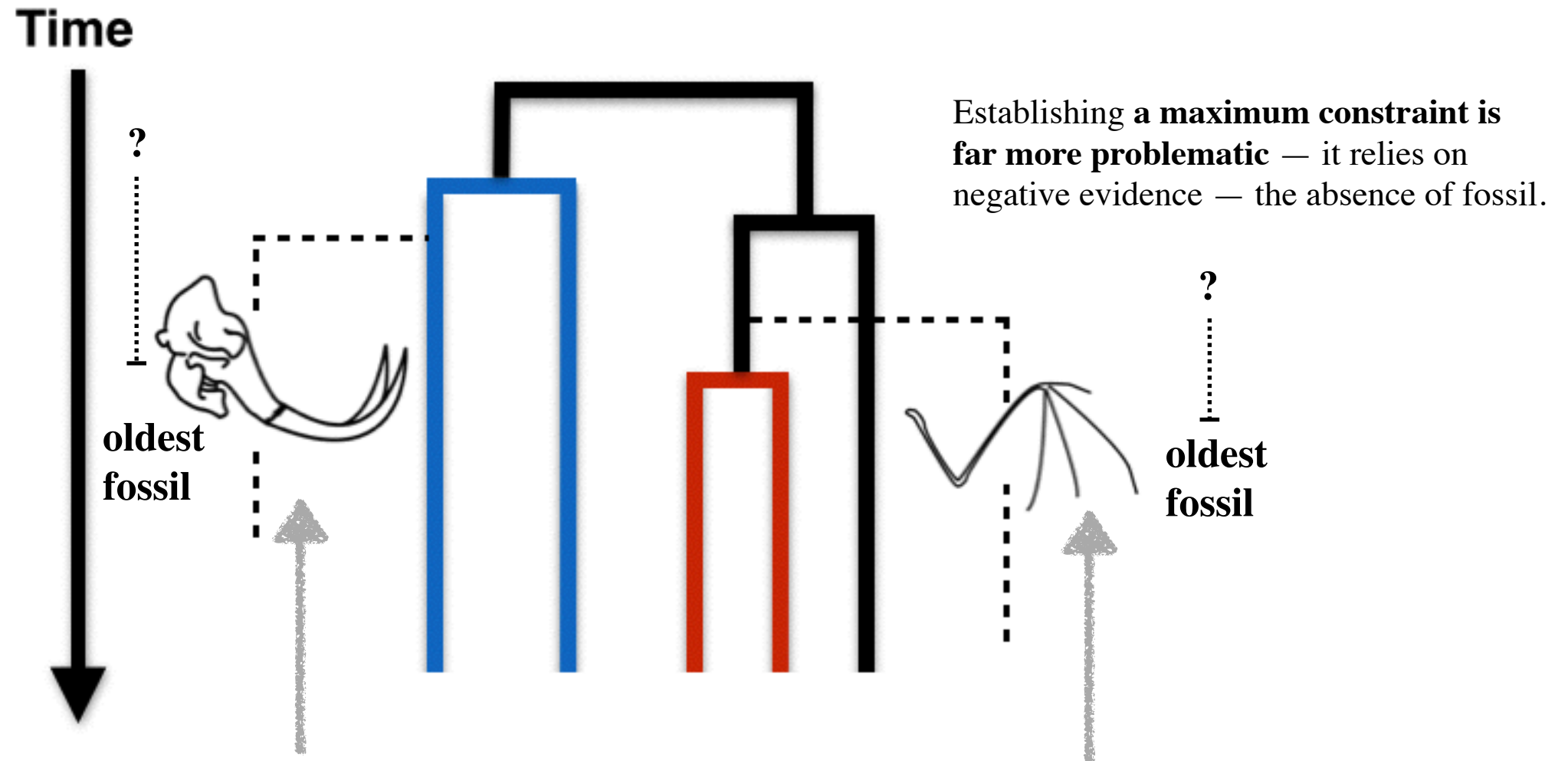
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Szöllősi, Boussau, Abby, Tannier & Daubin *PNAS* (2012)
*Phylogenetic modeling of lateral gene transfer
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Rocks

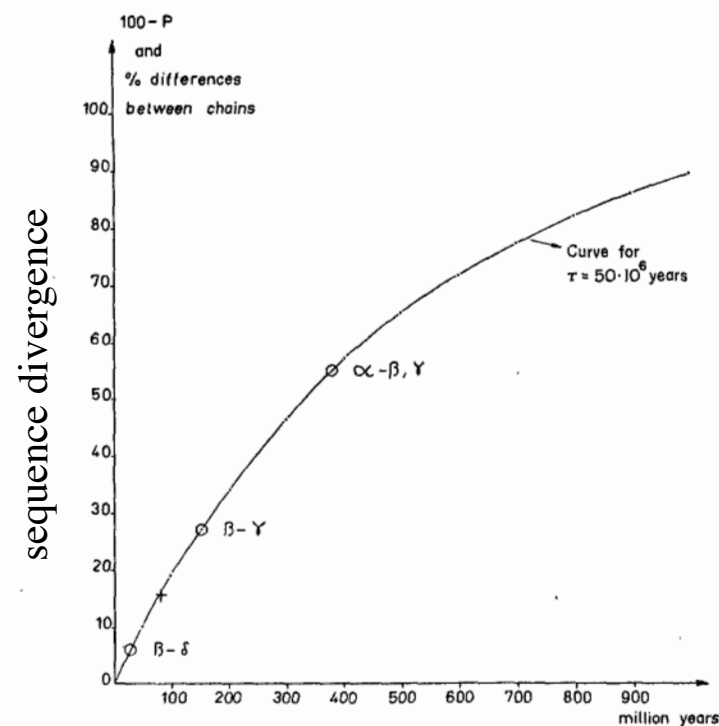
The geological record is the only source of information concerning absolute time



The fossil record is **directly informative on the minimum ages** of clades based on the age of their oldest fossil representative

Molecular Clocks

The molecular clock hypothesis reflects the observation that the **differences between homologous amino acid sequences** from different mammals **are roughly proportional to their time of divergence**.

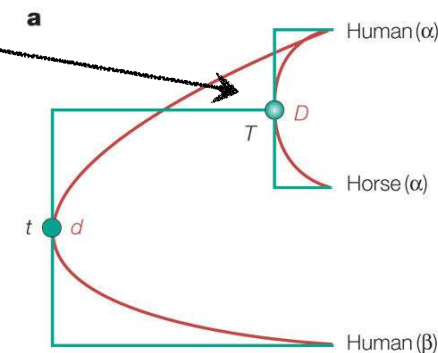


divergence time according to fossils

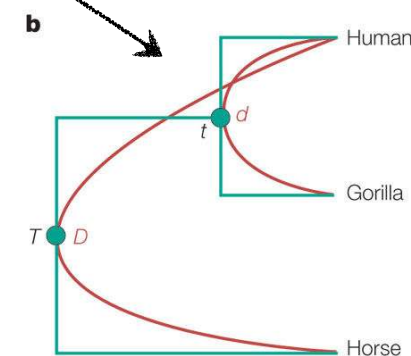


Zukerkandl and Pauling 1965

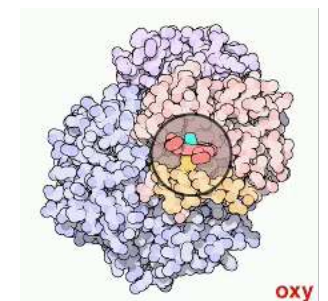
~130 million years
18 aa substitutions



1 & 2 substitutions
~11 million years



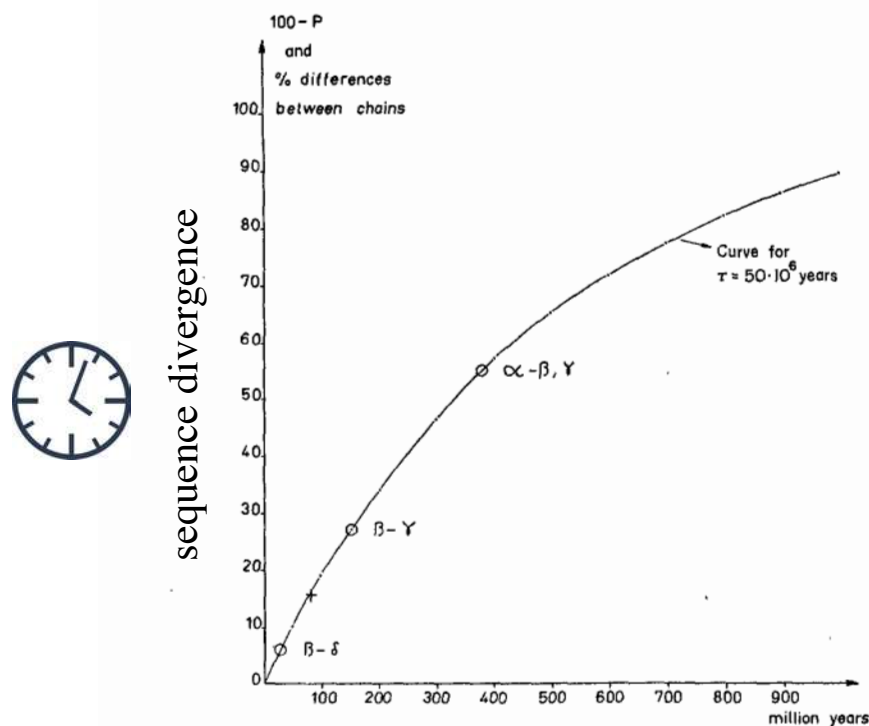
Nature Reviews | Genetics



$$2\alpha + 2\beta$$

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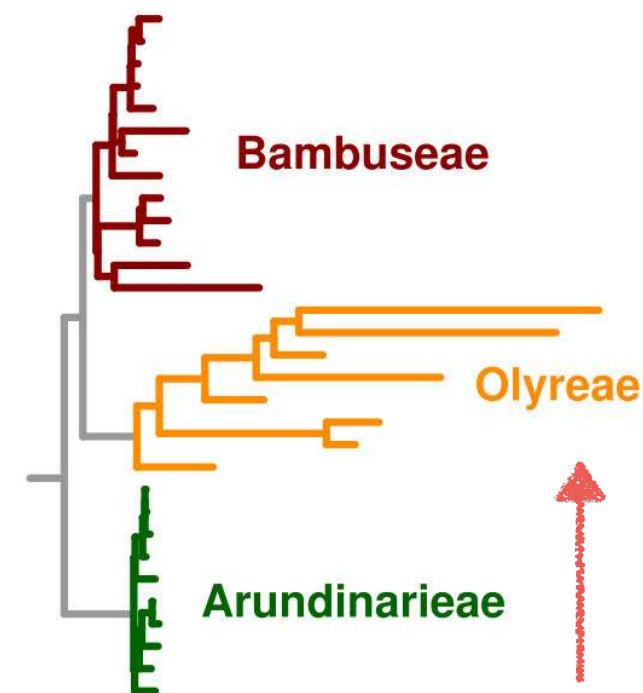


divergence time according to fossils



Zukerkandl and Pauling 1965

evolutionary rates vary
molecular clocks are local

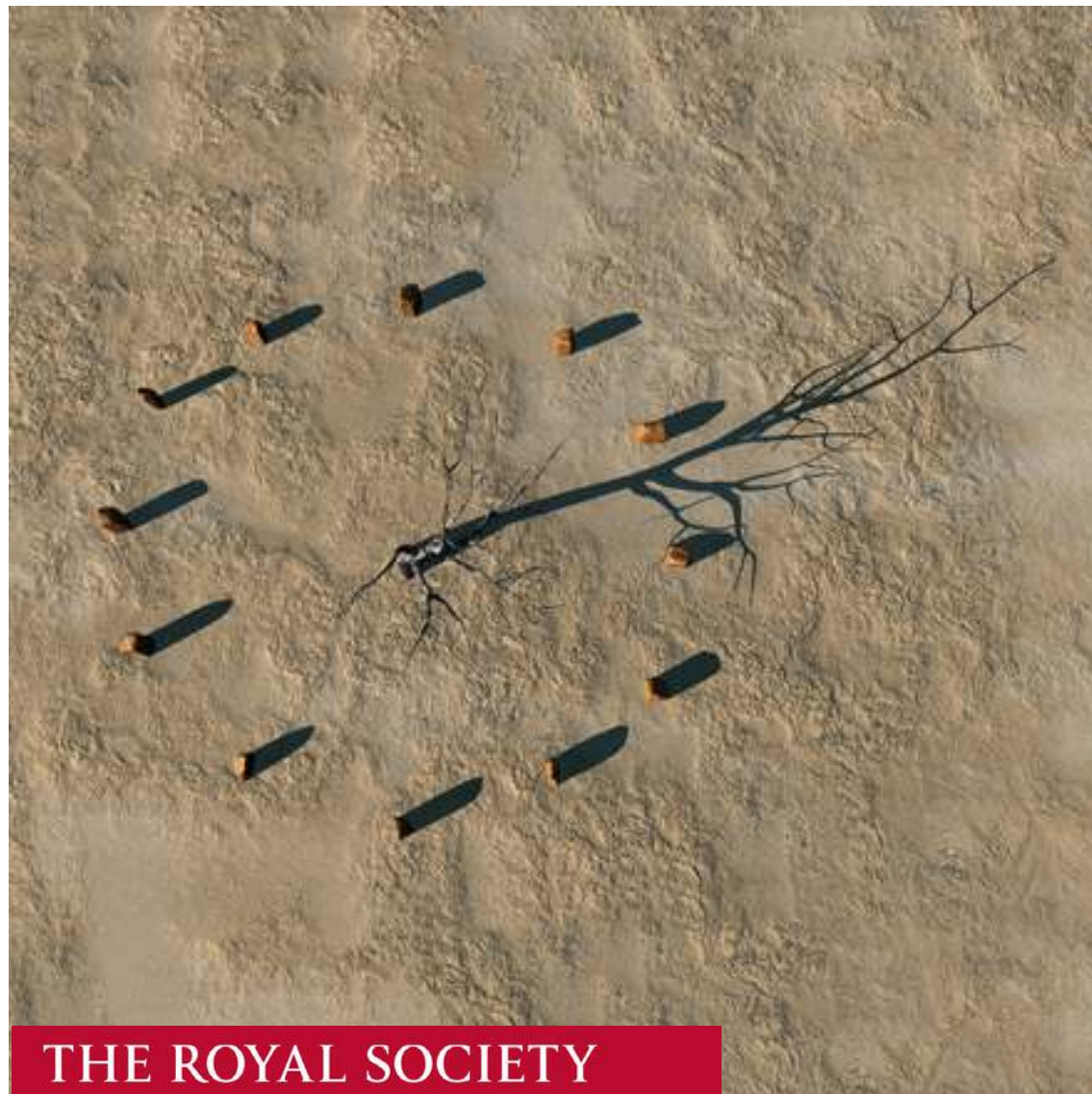


faster local
clock

Wysocki et al. 2014 & Wikipedia

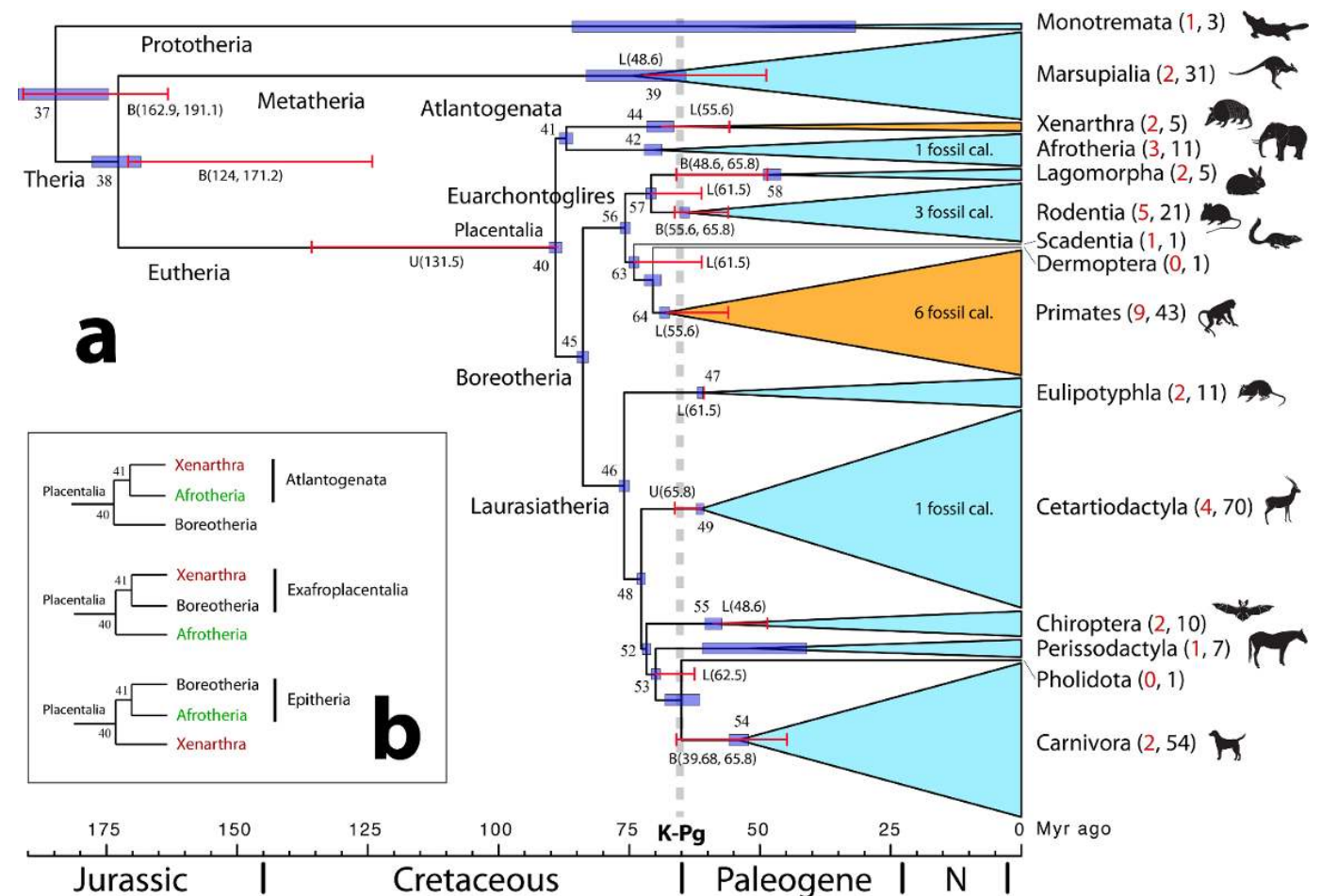
Rocks & Clocks

Inadequate modelling of the global violation of the molecular clock historically lead to great controversies..



Donoghue and Yang 2015

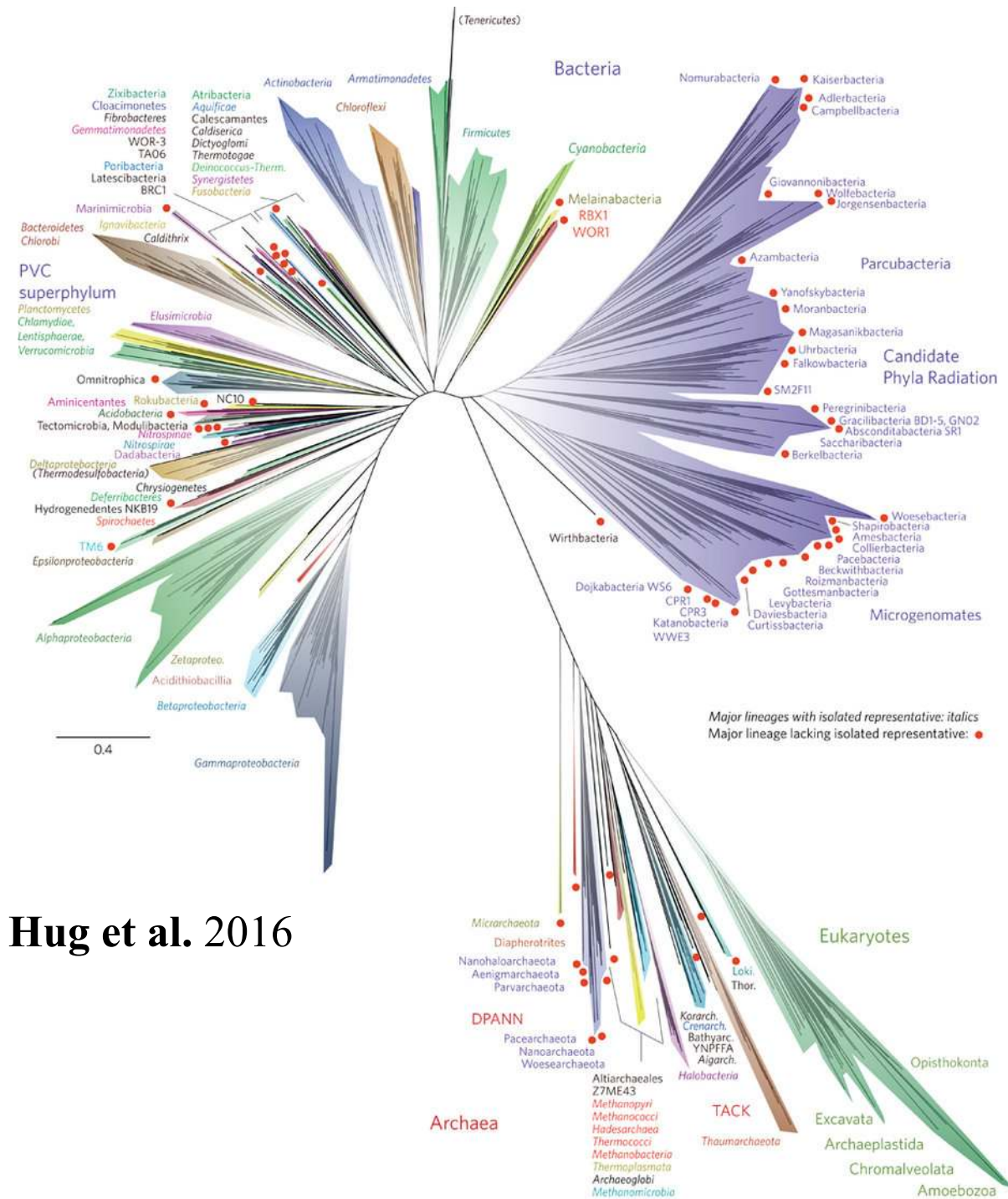
... today, Bayesian RMC methods have resolved most, but not all controversies, using **sequence based local molecular clocks anchored by multiple fossil calibrations**.



dos Reis et al. 2012



Rocks & Clocks



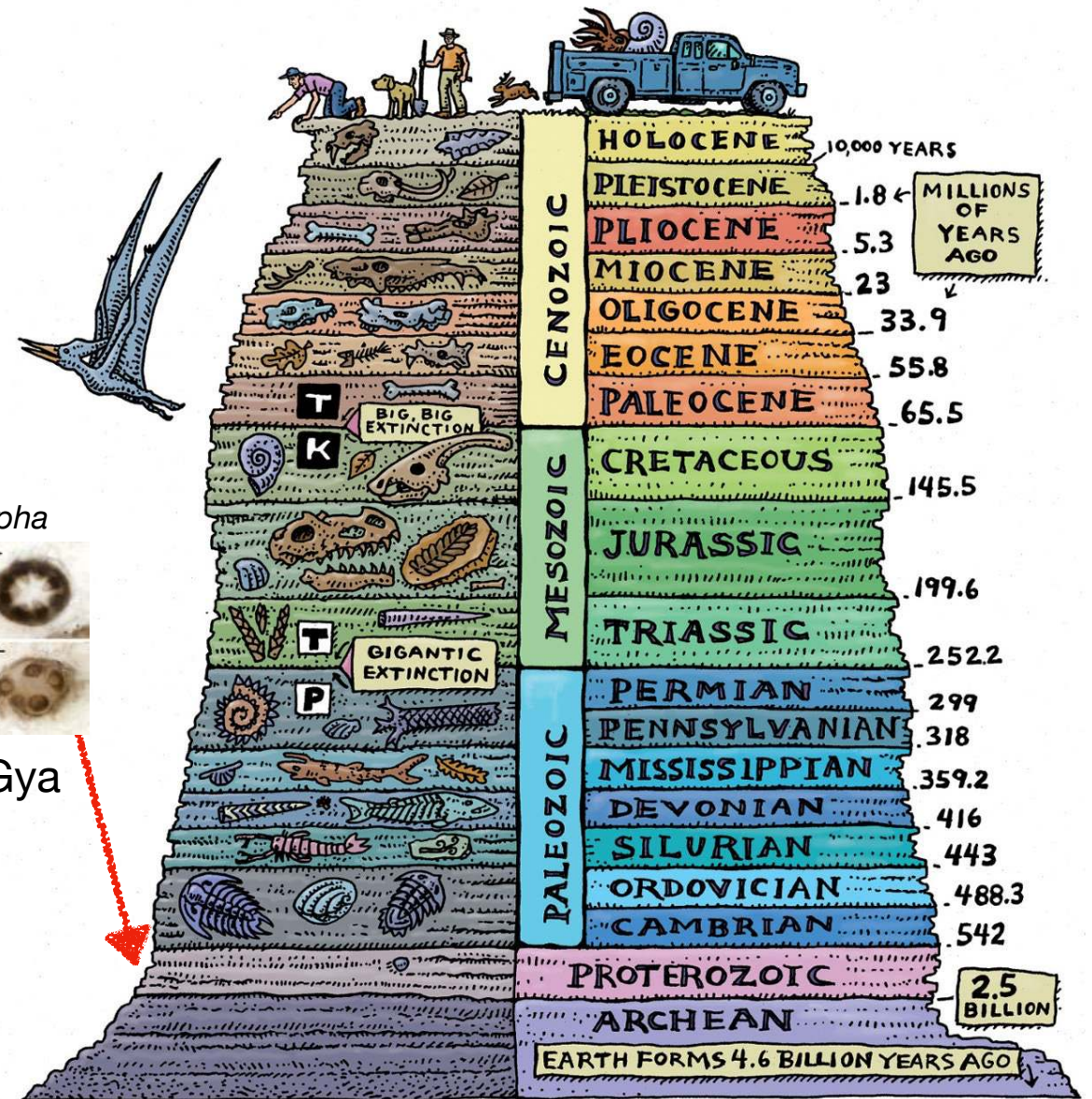
Hug et al. 2016



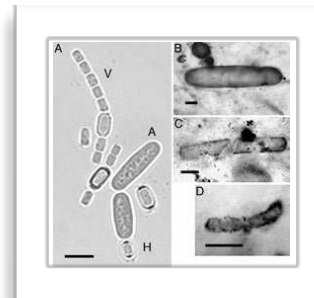
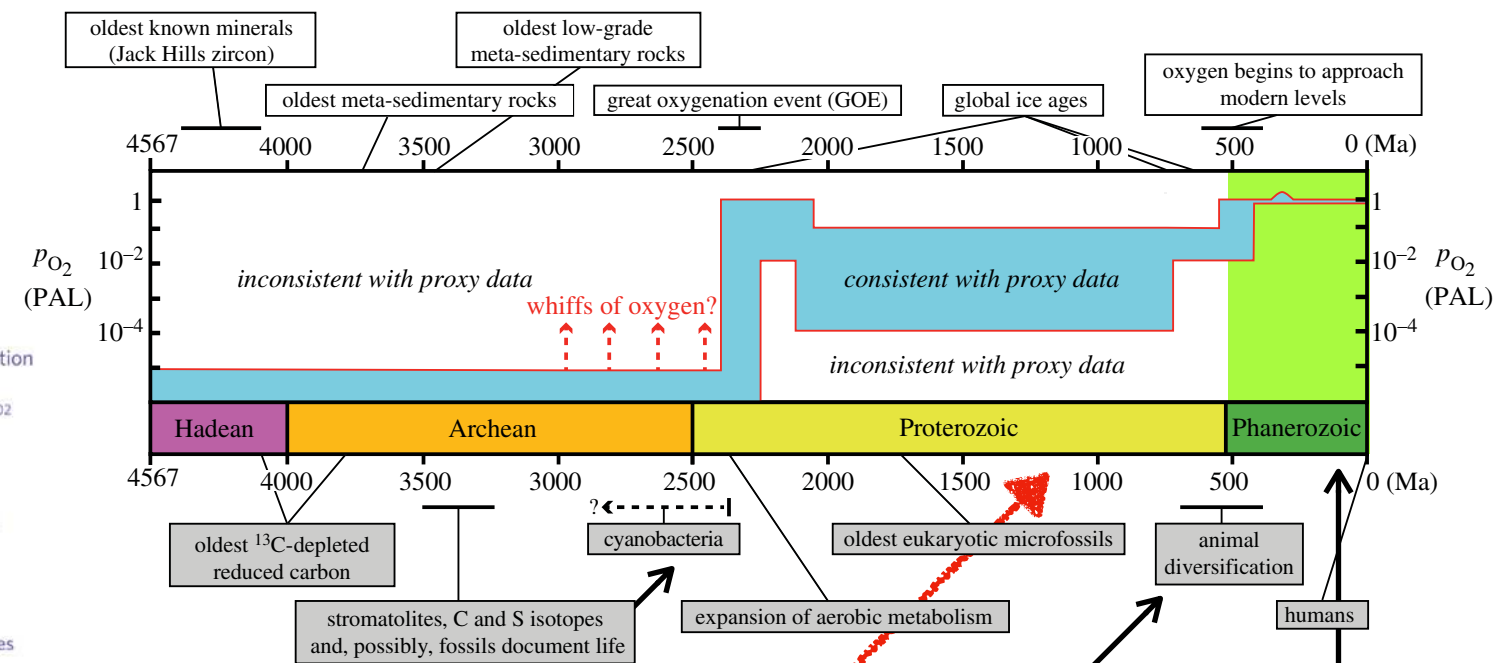
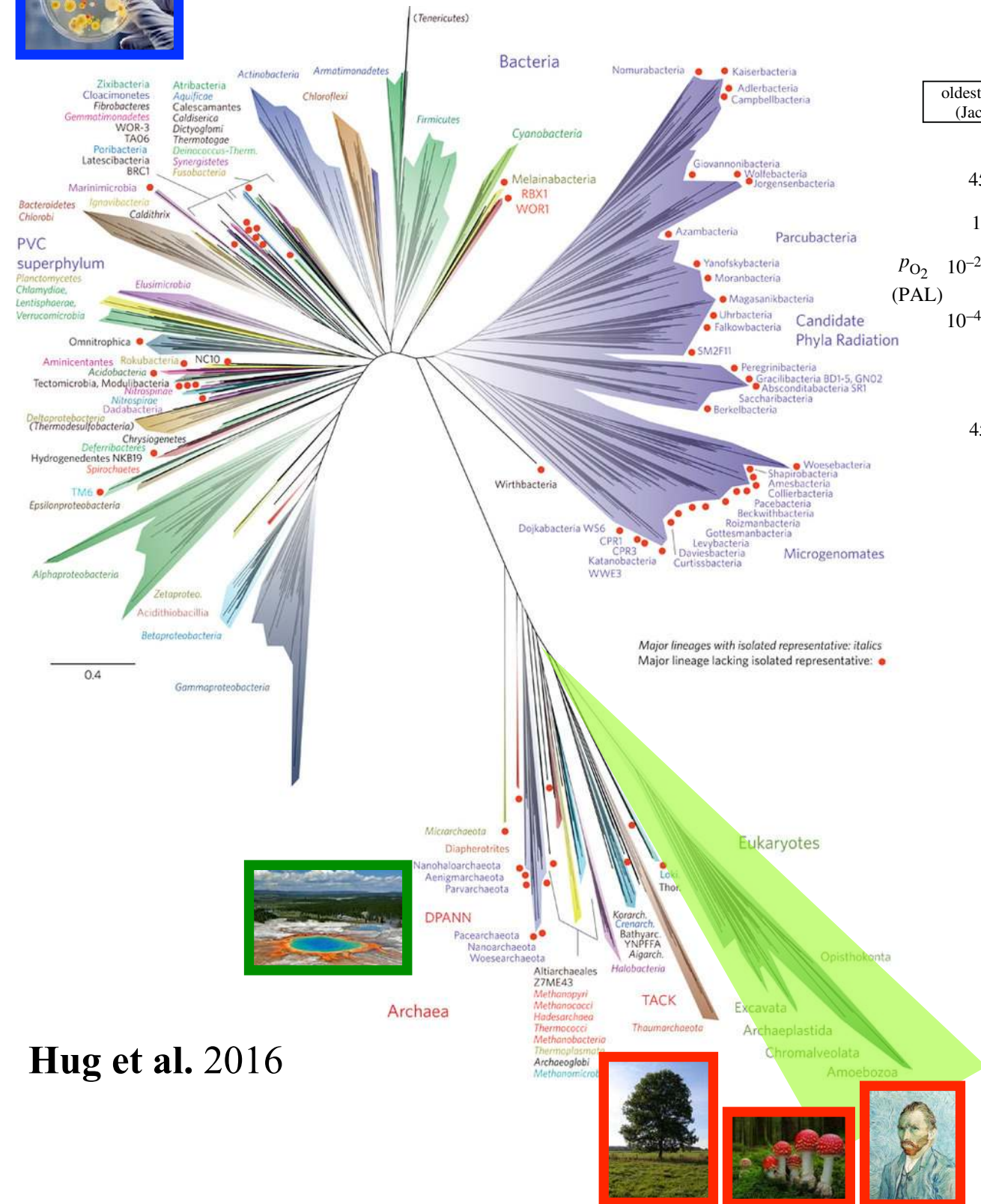
Bangiomorpha



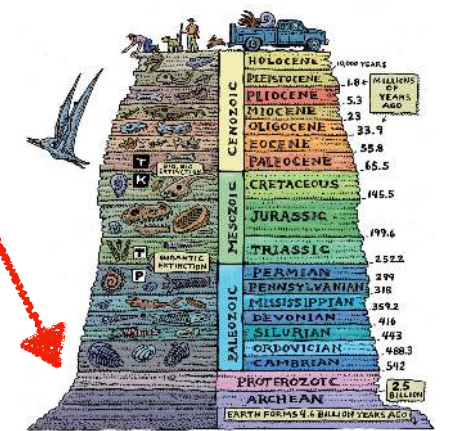
~ 1-1.5 Gya



For majority of life and most it's history we lack sufficient fossils to anchor local clocks.



Knoll et al. 2016

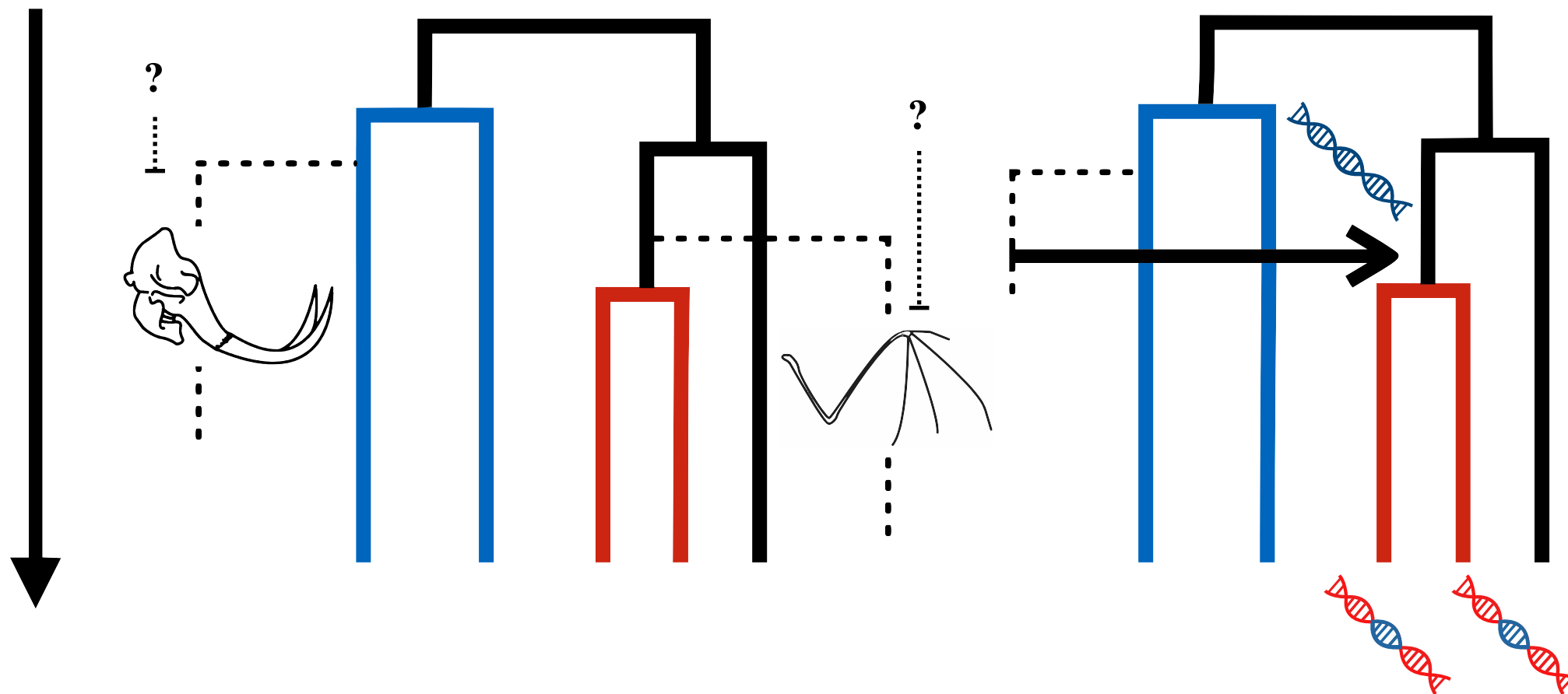


Hug et al. 2016

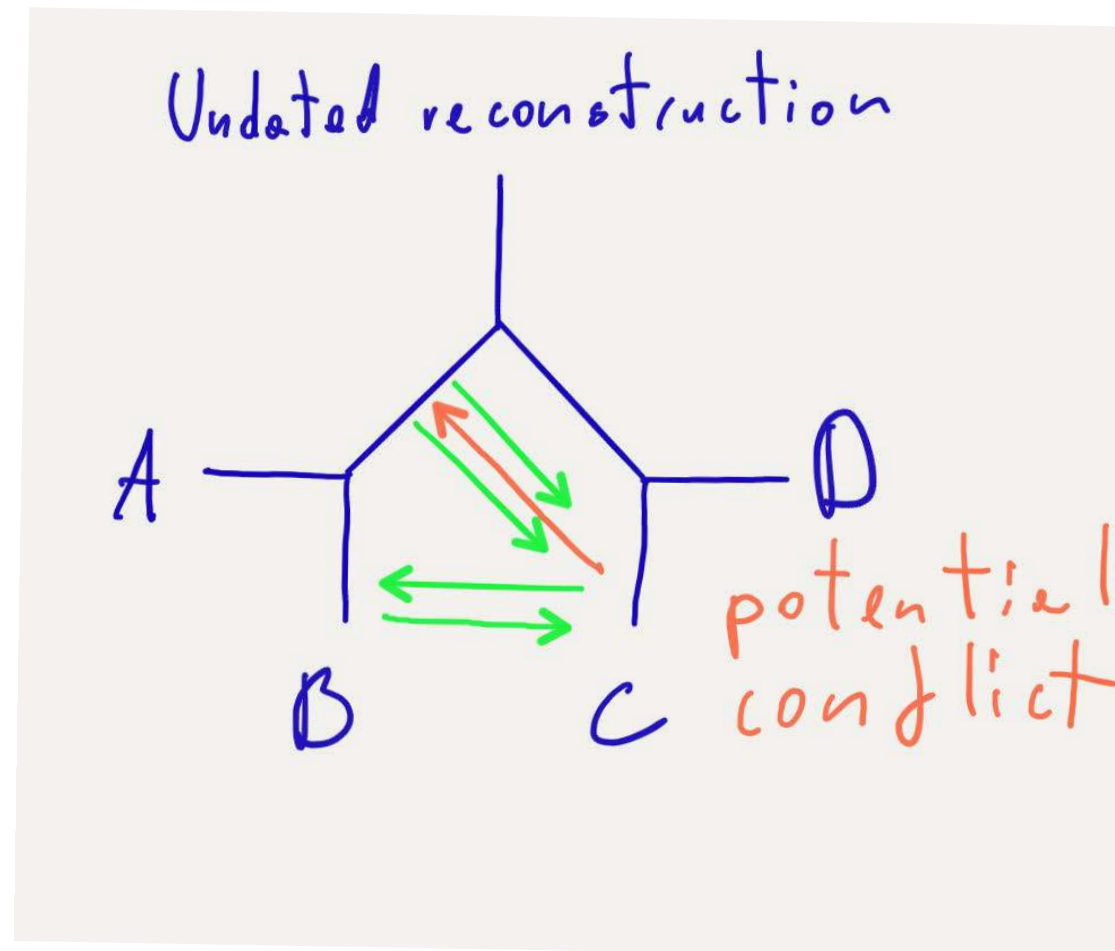
... and genes from other species!

Fossils provide **direct evidence on minimum age**, but only **indirect evidence on maximum and relative ages**.

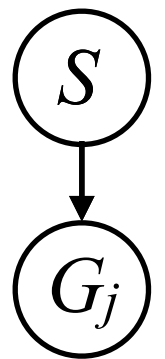
Transfers are not informative on absolute age, but do provide **direct evidence on relative ages**.



“undated” DTL



undated
DTL



DTL



“undated” DTL

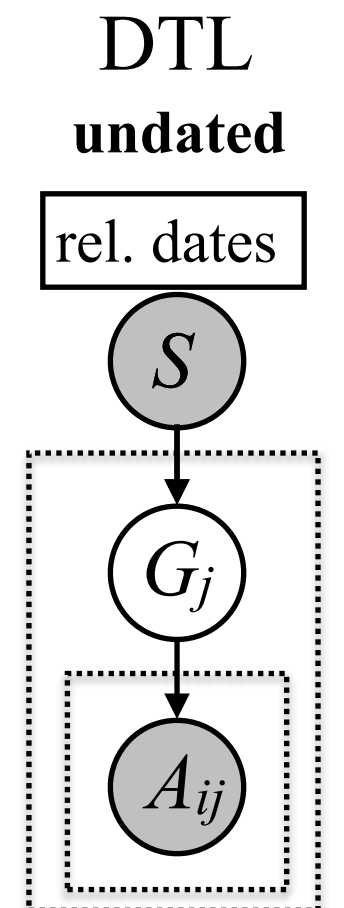
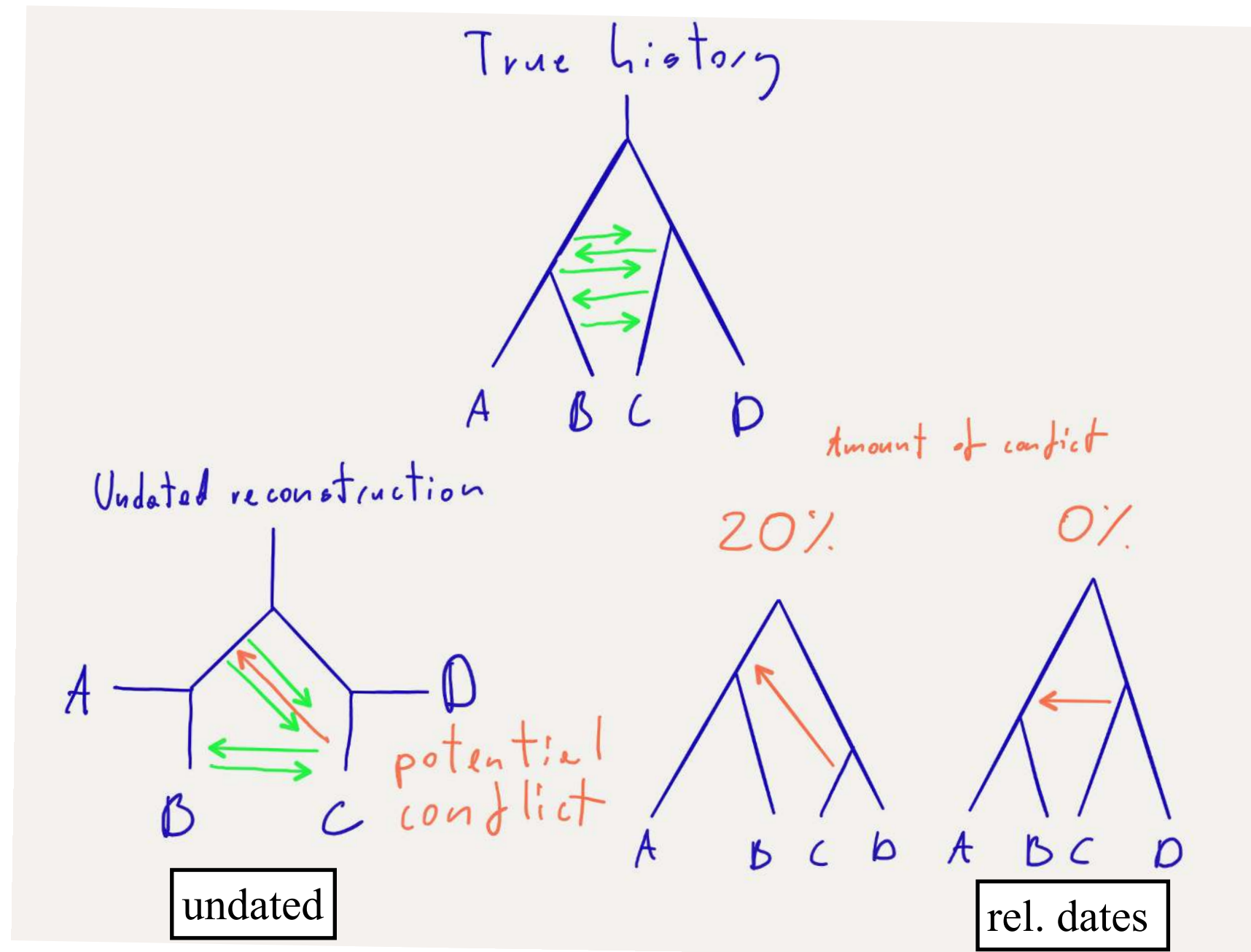


implemented in ALE:

<http://github.com/ssolo/ALE>

Relative age constrains from transfers

HGT events inferred by an “undated” version of the species tree-aware method ALE were input into the MaxTiC (**m**aximal **t**ime **c**onsistency) optimisation method to obtain relative age constrains.

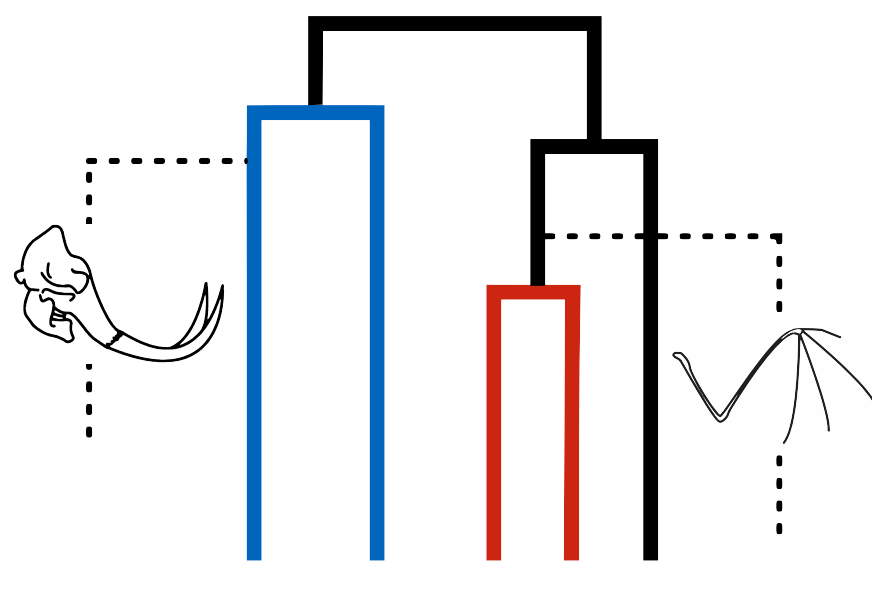


Eric
Tannier

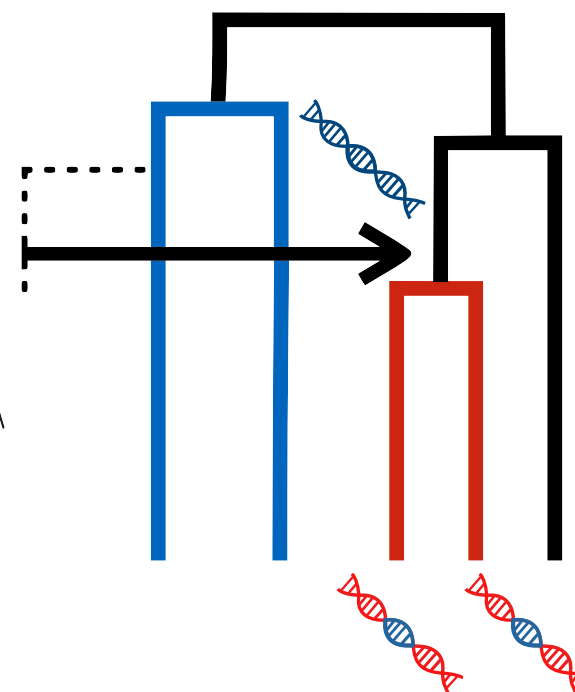
Rocks, clocks and genes from other species

A direct comparison between fossils and transfers is not possible. Following Zuckerkandl and Pauling, we correlated both fossil and transfer based age estimates with sequence divergence:

Time



Fossils in mammals



Gene transfers in Cyanobacteria

40 genomes

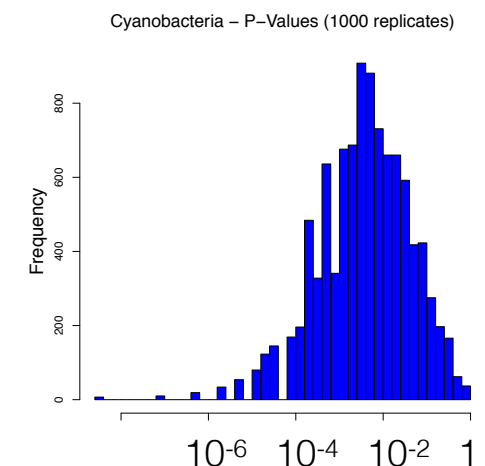
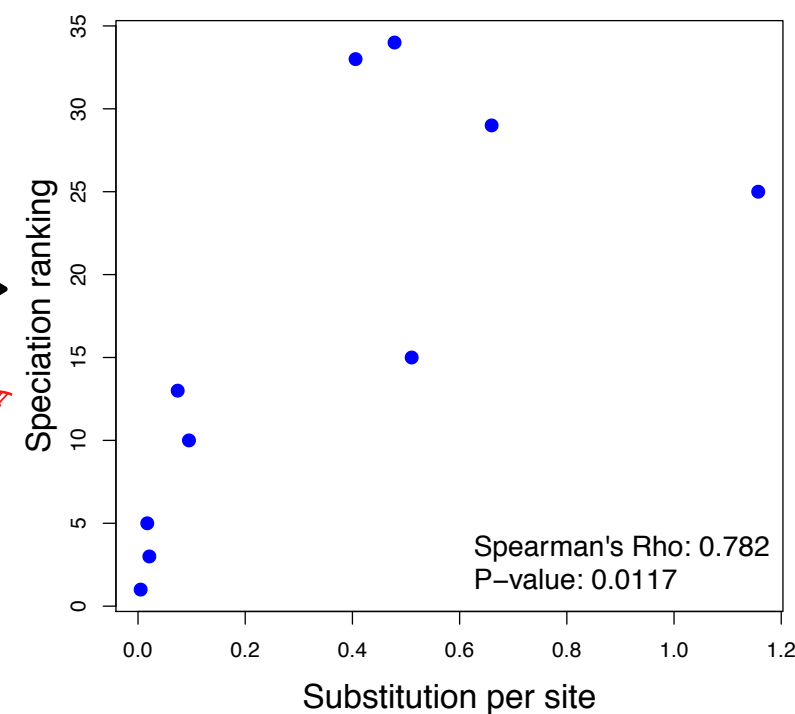
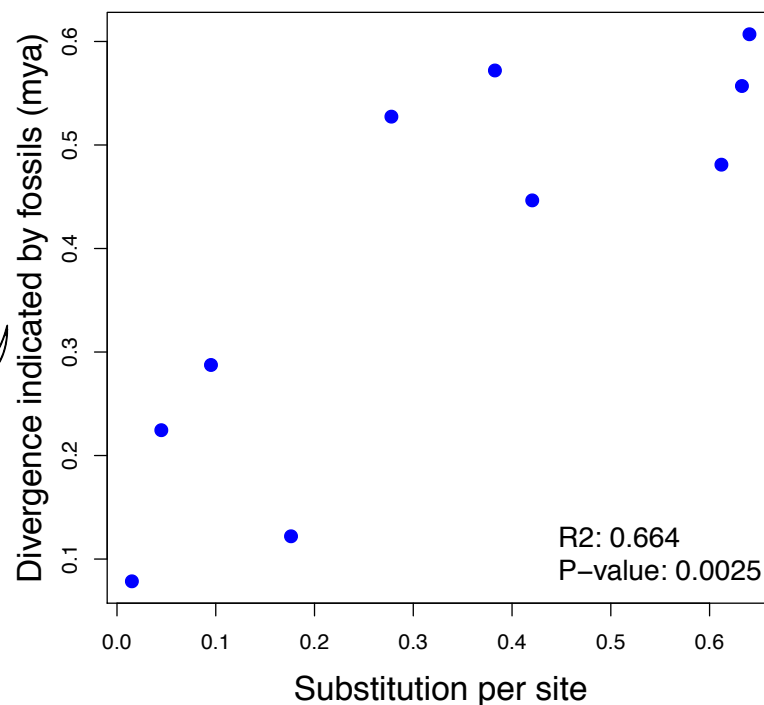
ALE

+

MaxTiC

3322 HGT events
informative constraints

12 calibrations
with maximum age
dos Reis et al. 2012

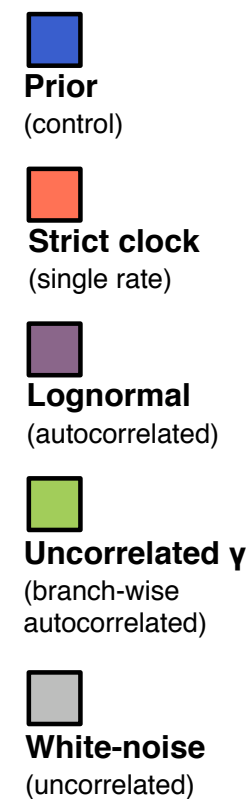
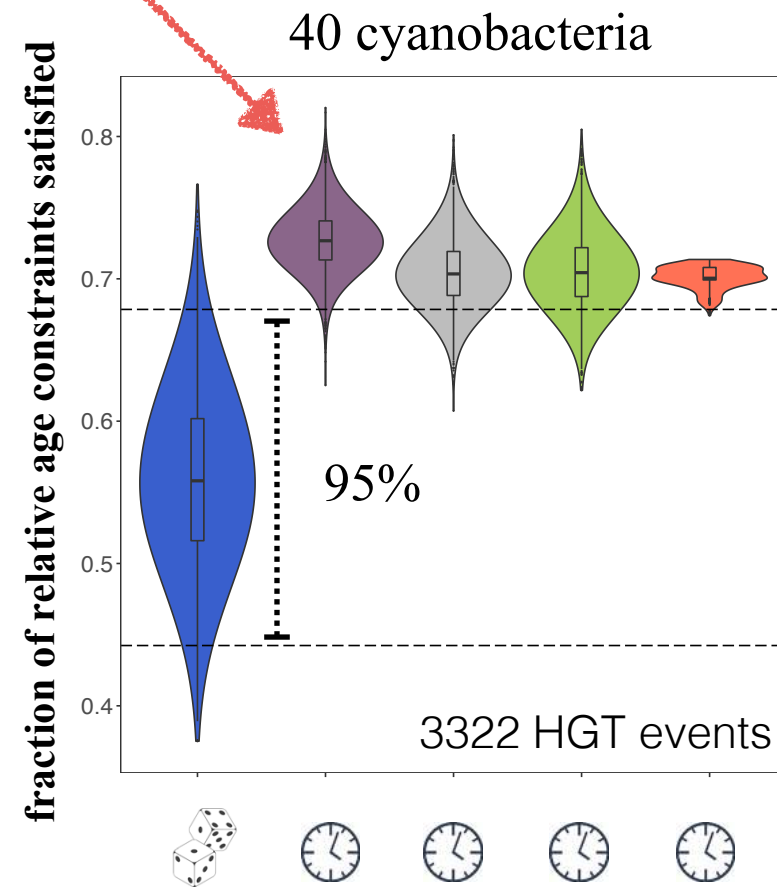
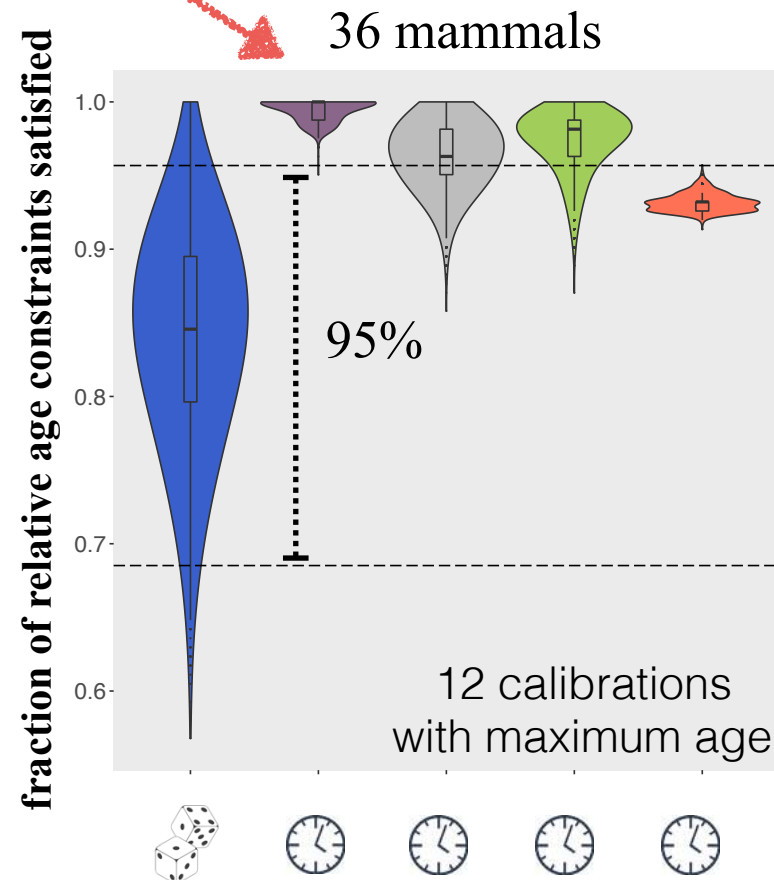


Rocks, clocks and genes from other species

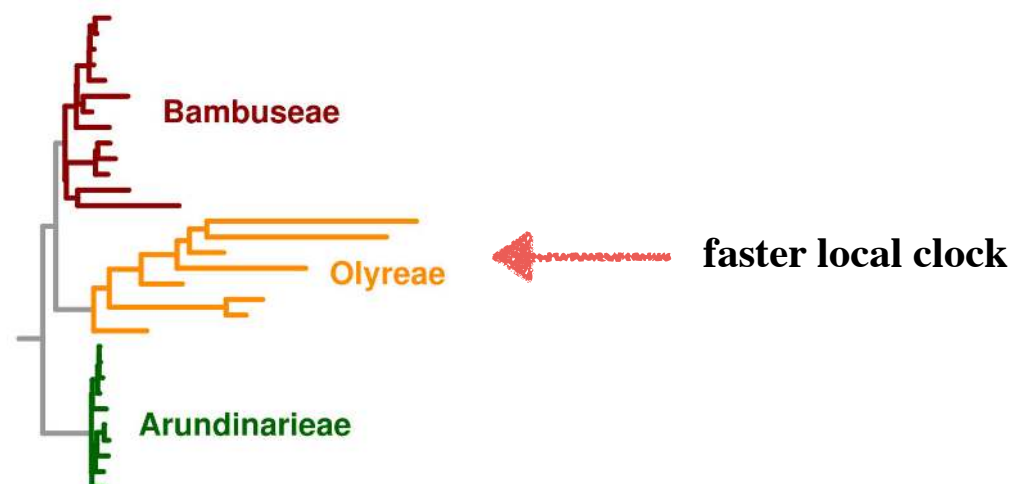
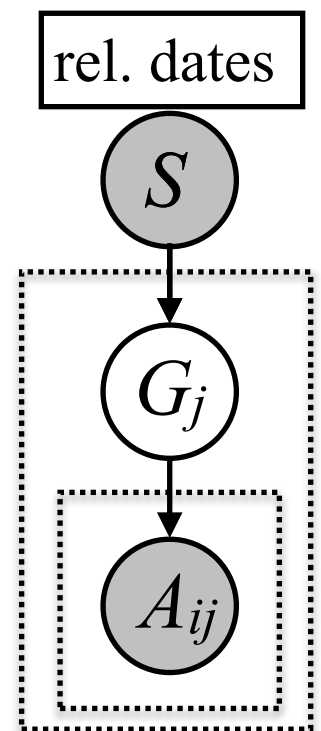
To directly compare relative age constraints, we measured how different relaxed molecular clock models, without fossil calibrations, are able to predict the relative timing of speciations implied by fossils and by transfers.

faster local clock
in rodents

faster local clock in
prochlorococcus



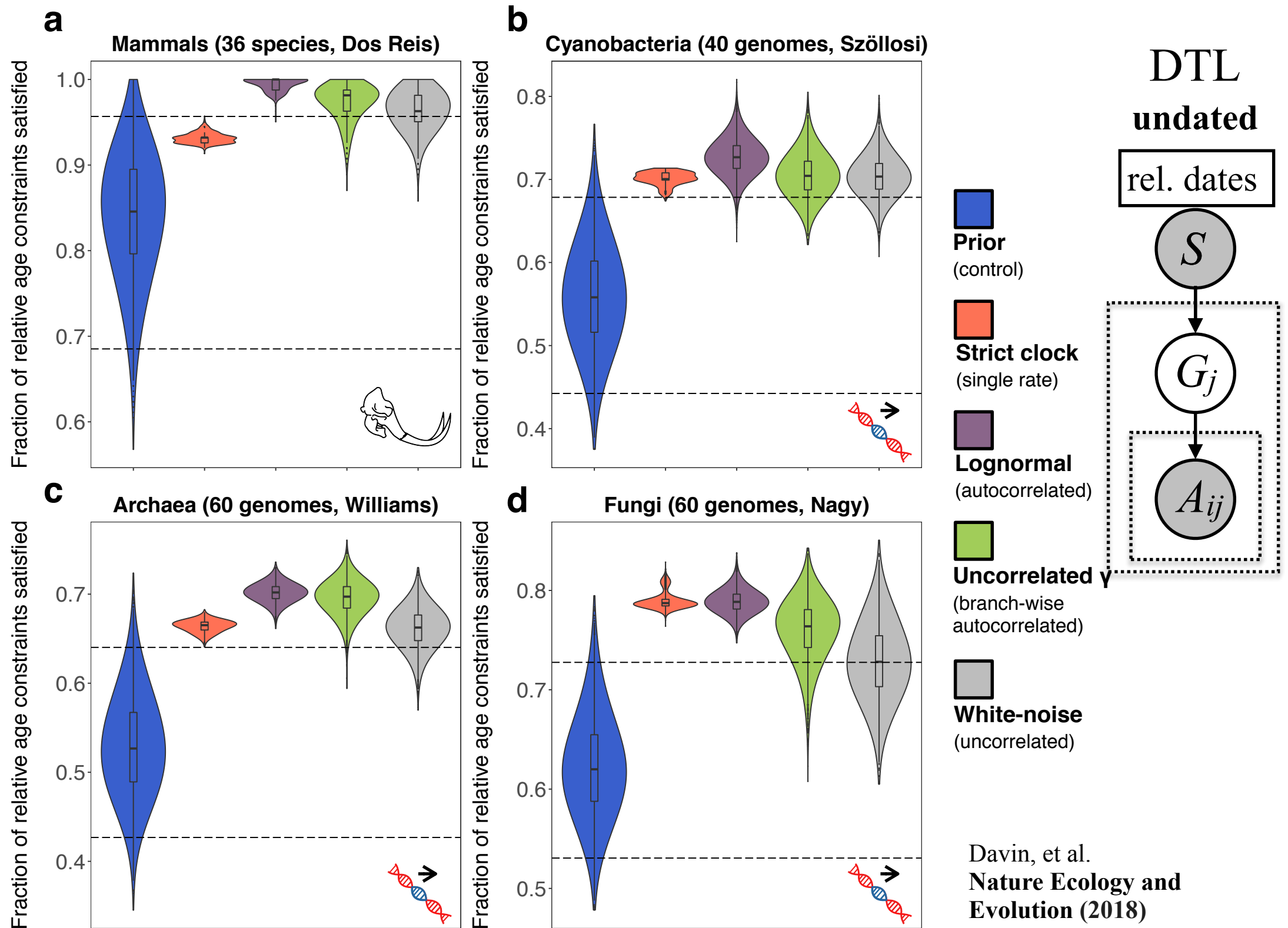
DTL
undated



Davin, Tannier, Williams, Boussau, Daubin & Szöllősi,
Nature Ecology and Evolution (2018)
Gene Transfers Can Dated the Tree of Life

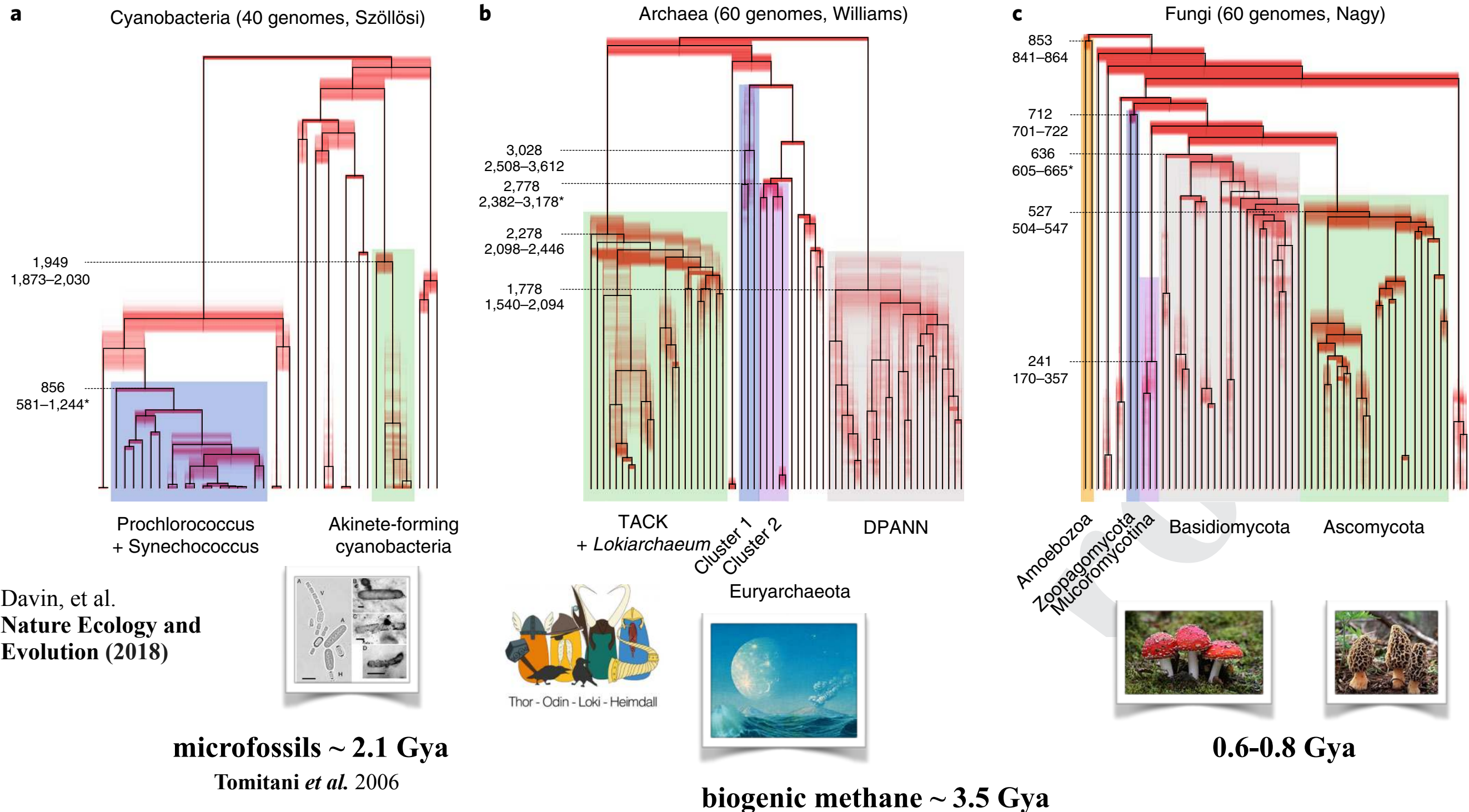
Transfer based relative dates correlate with molecular clocks

To directly compare relative ages, we measured how different relaxed molecular clock models, without fossil calibrations, are able to predict the relative timing of speciations implied by fossils and by transfers.



Rocks, clocks and genes from other species

The order of speciations according to LGT. 5000 chronograms with a speciation time order compatible with LGT-based constraints were sampled per data set.



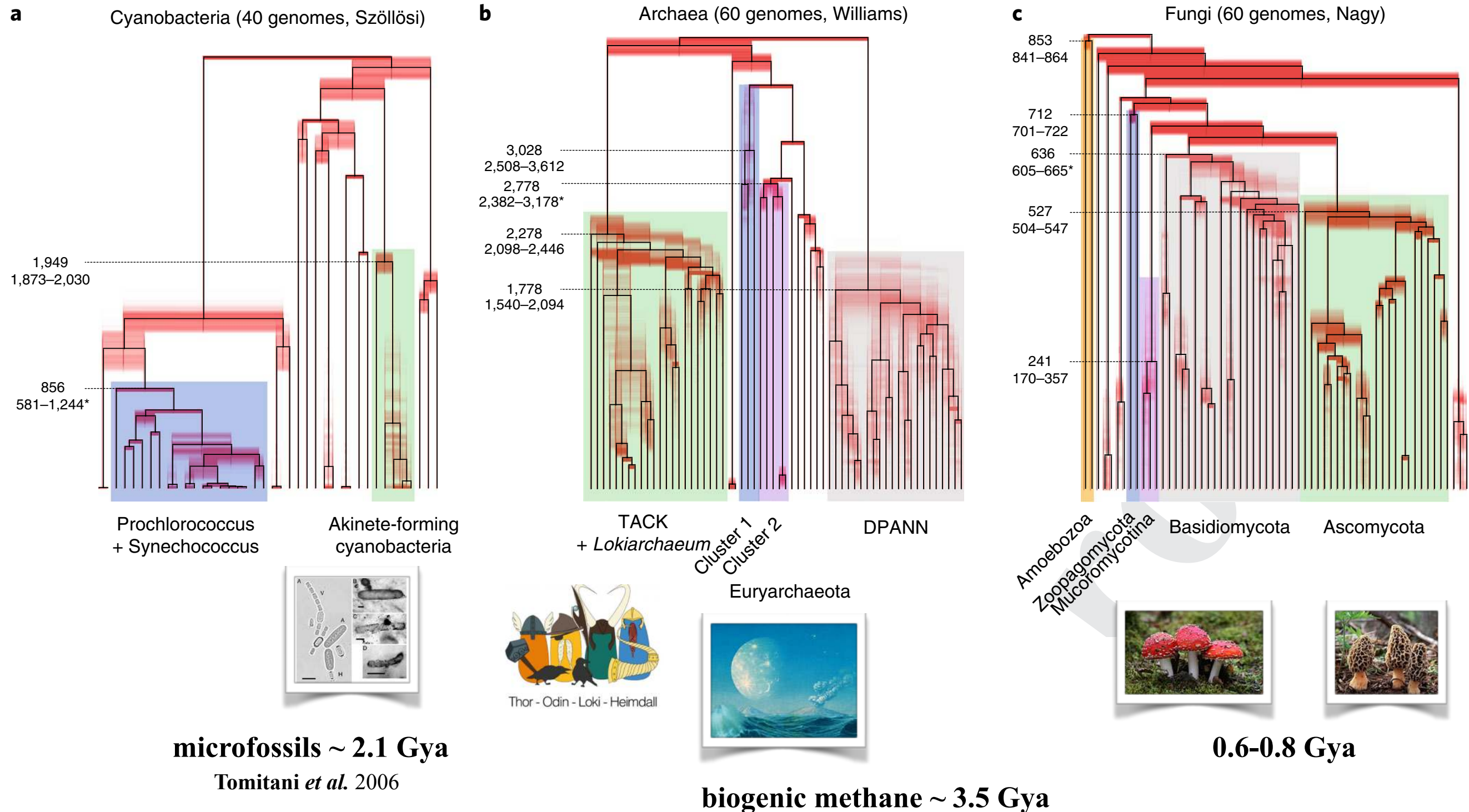
Davin, et al.
**Nature Ecology and
Evolution (2018)**



Gene transfers can date the tree of life

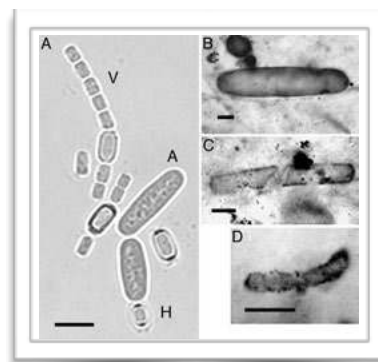
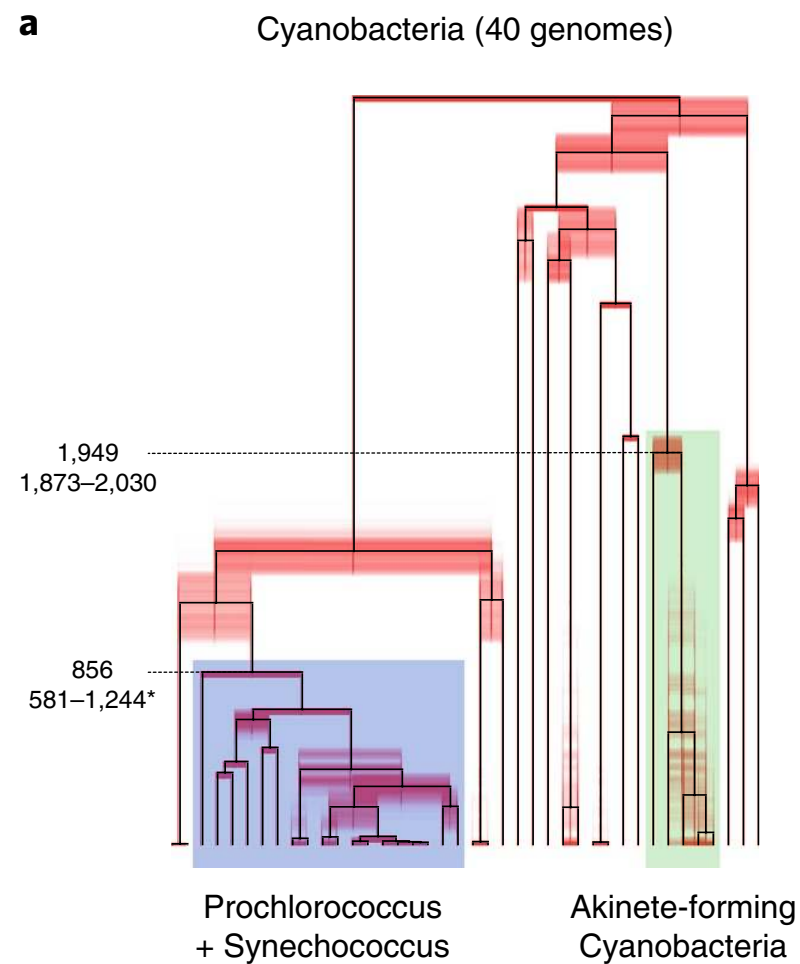
<http://rdcu.be/KrjA>

Adrián A. Davín¹, Eric Tannier^{1,2}, Tom A. Williams³, Bastien Boussau¹, Vincent Daubin^{1*}
and Gergely J. Szöllősi^{1,4,5*}



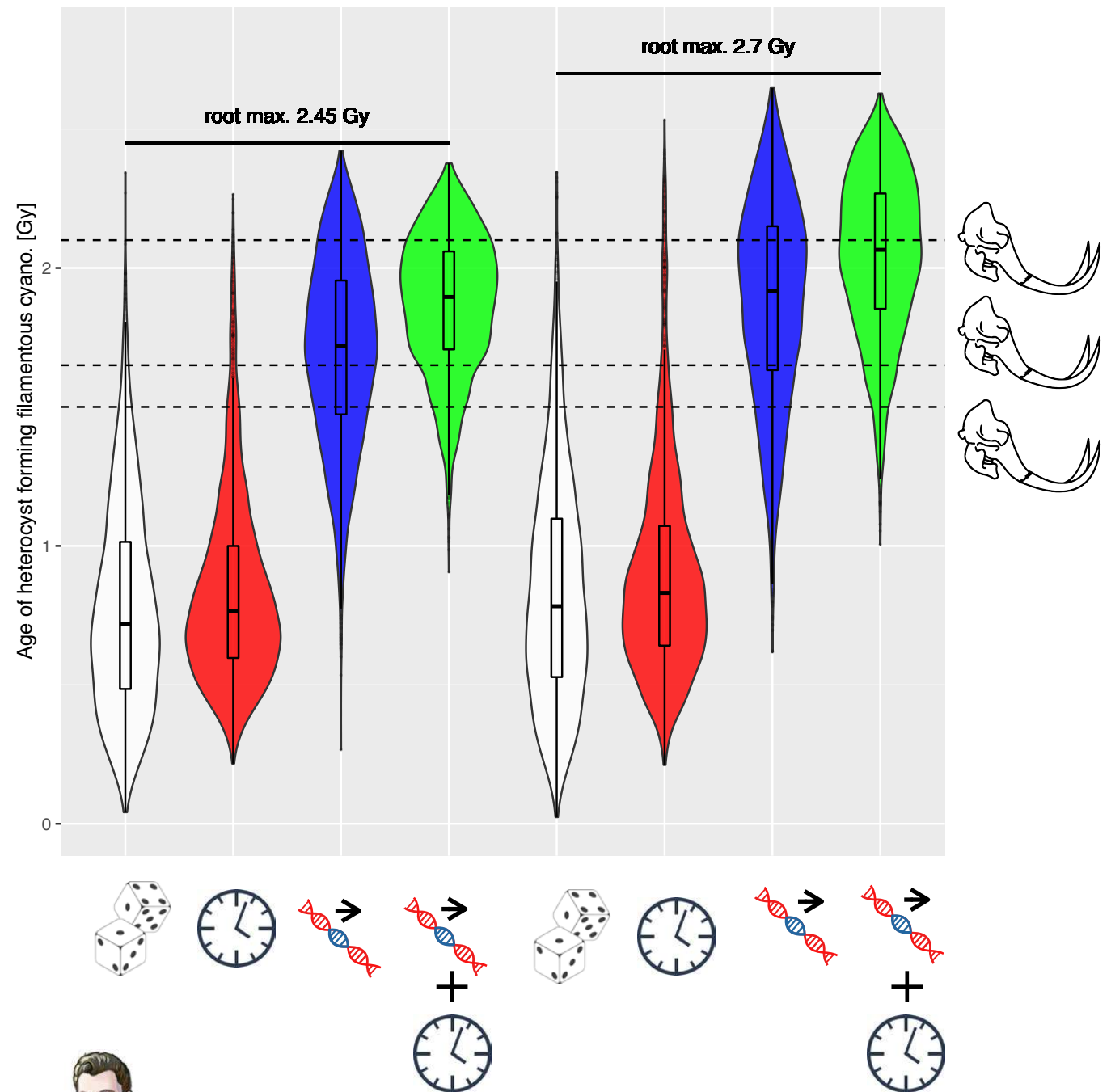
Do clocks & transfers predict rocks?

Combining **RMCs** with **relative constraints** and **fossil calibrations** we can infer dated trees in RevBayes



microfossils

Tomitani *et al.* 2006

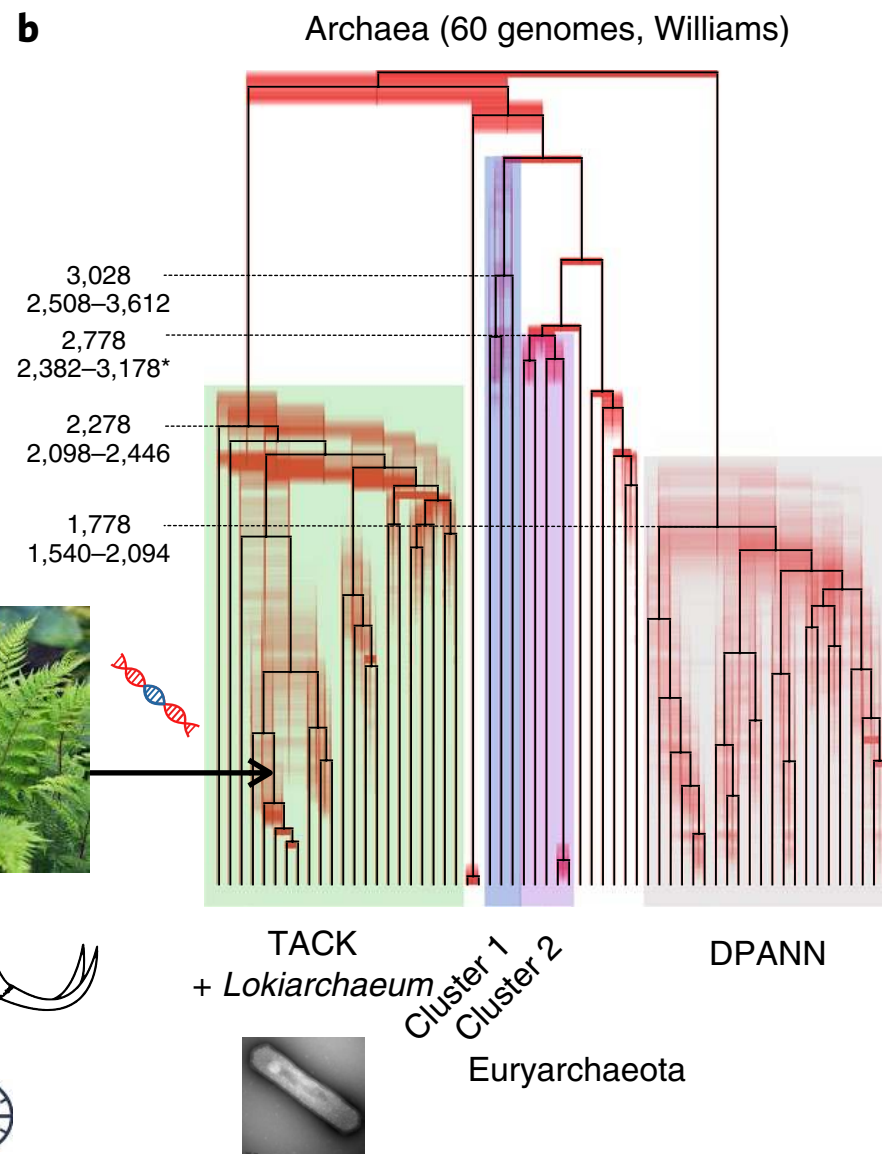


implemented in **RevBayes**

<https://revbayes.github.io>

Do clocks & transfers predict rocks?

Combining **RMCs** with **relative constraints** and **fossil calibrations** we can infer dated trees in RevBayes



Thaumarchaeota are the dominant archaea in most soil systems where they constitute up to 5% of all prokaryotes

HGT of DnaJ-Fer protein

Viridiplantae

C. reinhardtii: CDJ5 XP_001700843: 383 aa



C. reinhardtii: CDJ4 XP_001699768: 358 aa



C. reinhardtii: CDJ3 XP_001700257: 325 aa



Thaumarchaeota

Group I.1a: *N. maritimus*: XP_001582358: 223 aa



Group I.1b: *N. gargensis*: (unpublished) 193 aa



50 aa

■ J-domain

□ Ferredoxin domain

▬ N-terminal chloroplast-targeting signal

Petitjean et al. *BMC Evol Biol.* (2012)

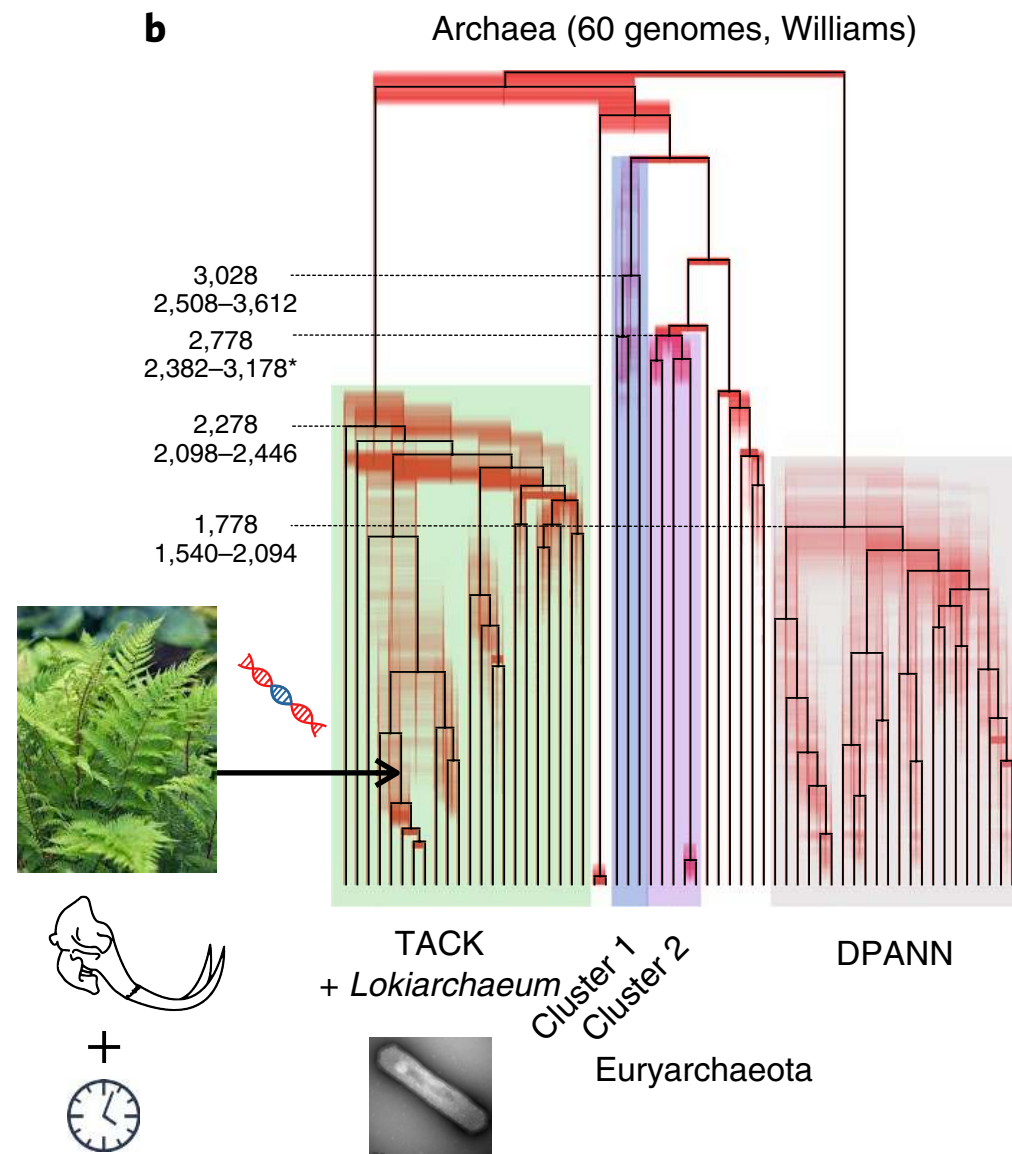


implemented in **RevBayes**

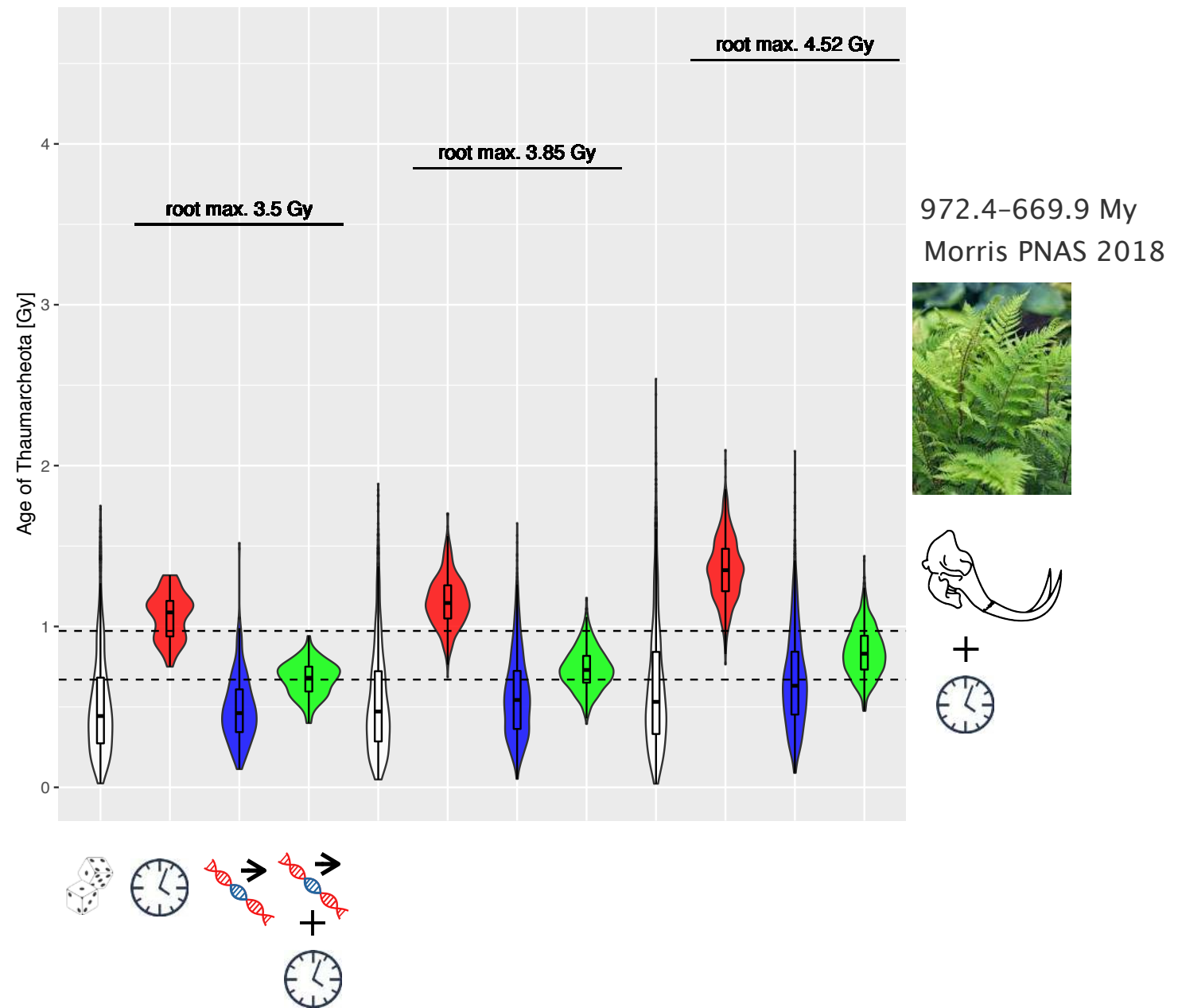
<https://revbayes.github.io>

Do clocks & transfers predict rocks?

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Thaumarchaeota are the dominant archaea in most soil systems where they constitute up to 5% of all prokaryotes

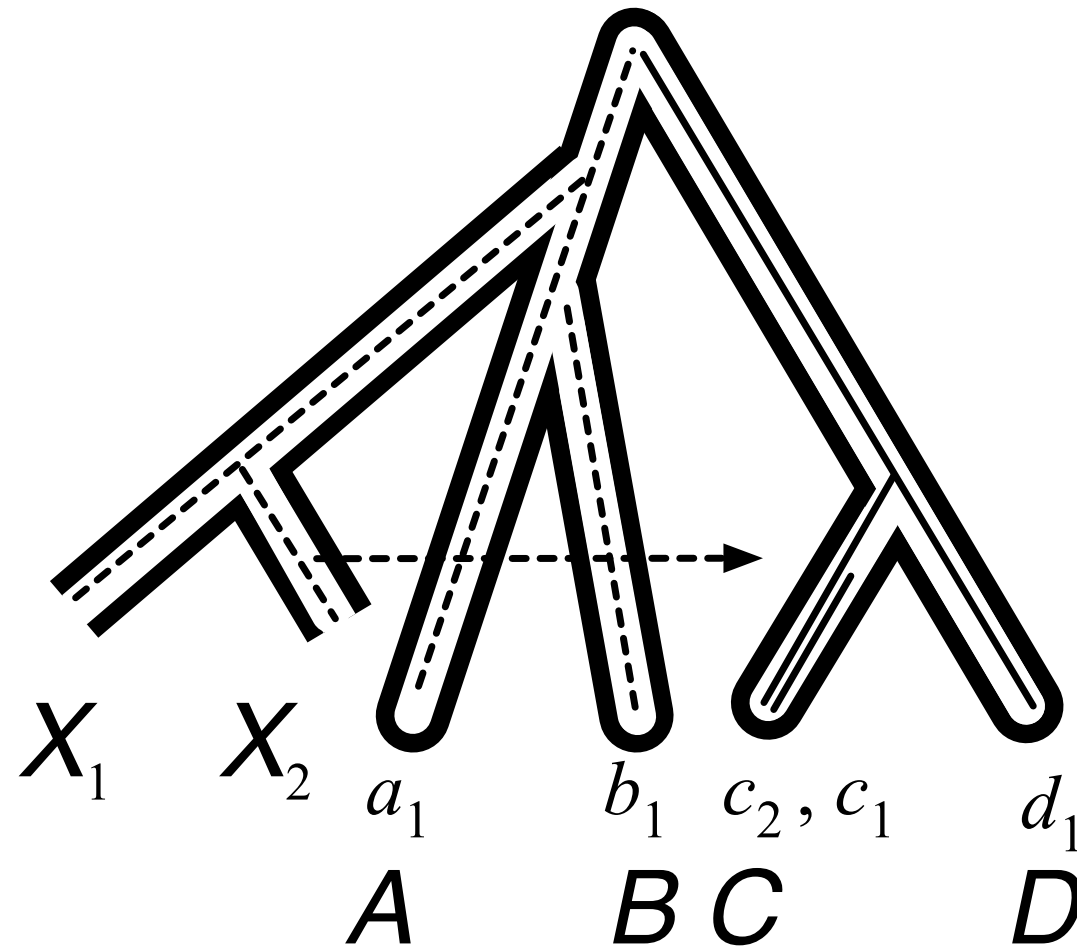


implemented in **RevBayes**

<https://revbayes.github.io>

Lateral gene transfer from the dead

.. but the species lineage from which a gene was transferred **may have gone extinct** or not have been sampled.

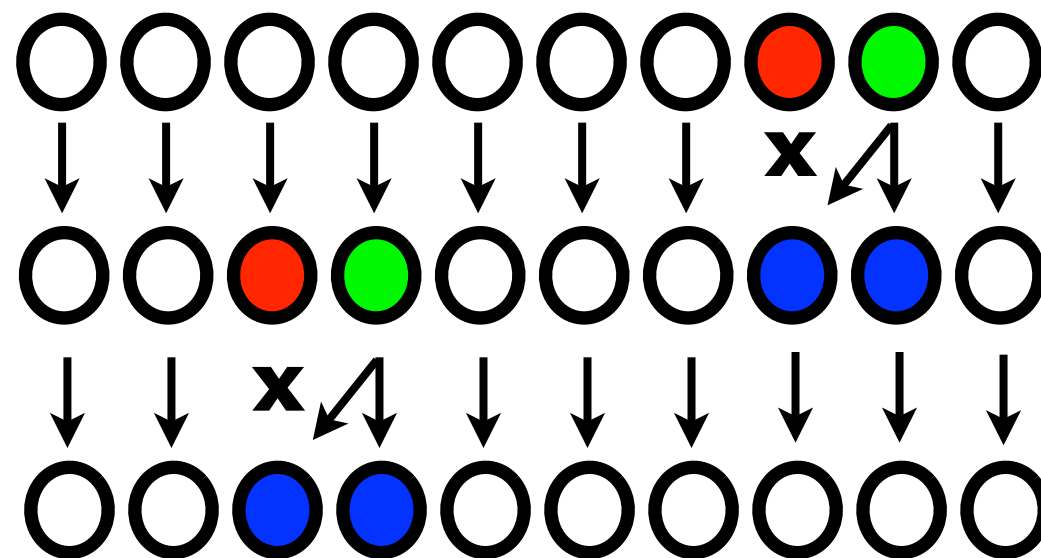


Nicolas
Lartillot

A minimal model of speciation dynamics

the Moran process

N species

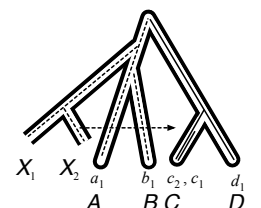


rate per species :

σ

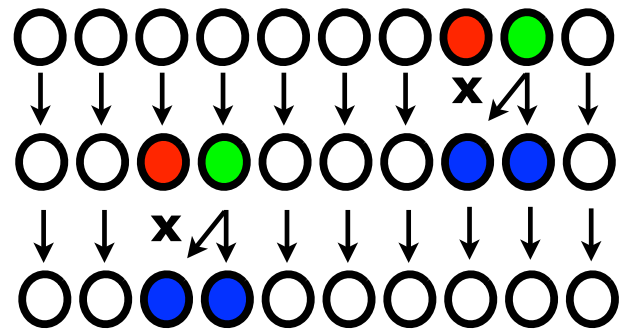
total rate :

$N\sigma$

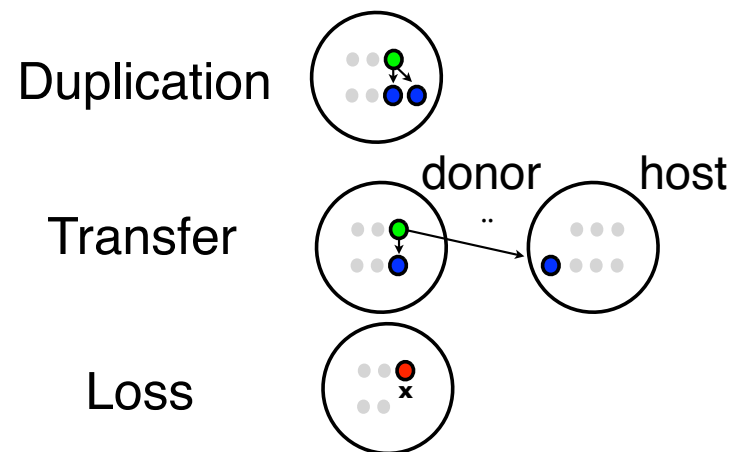


A minimal model of speciation dynamics

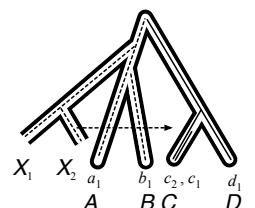
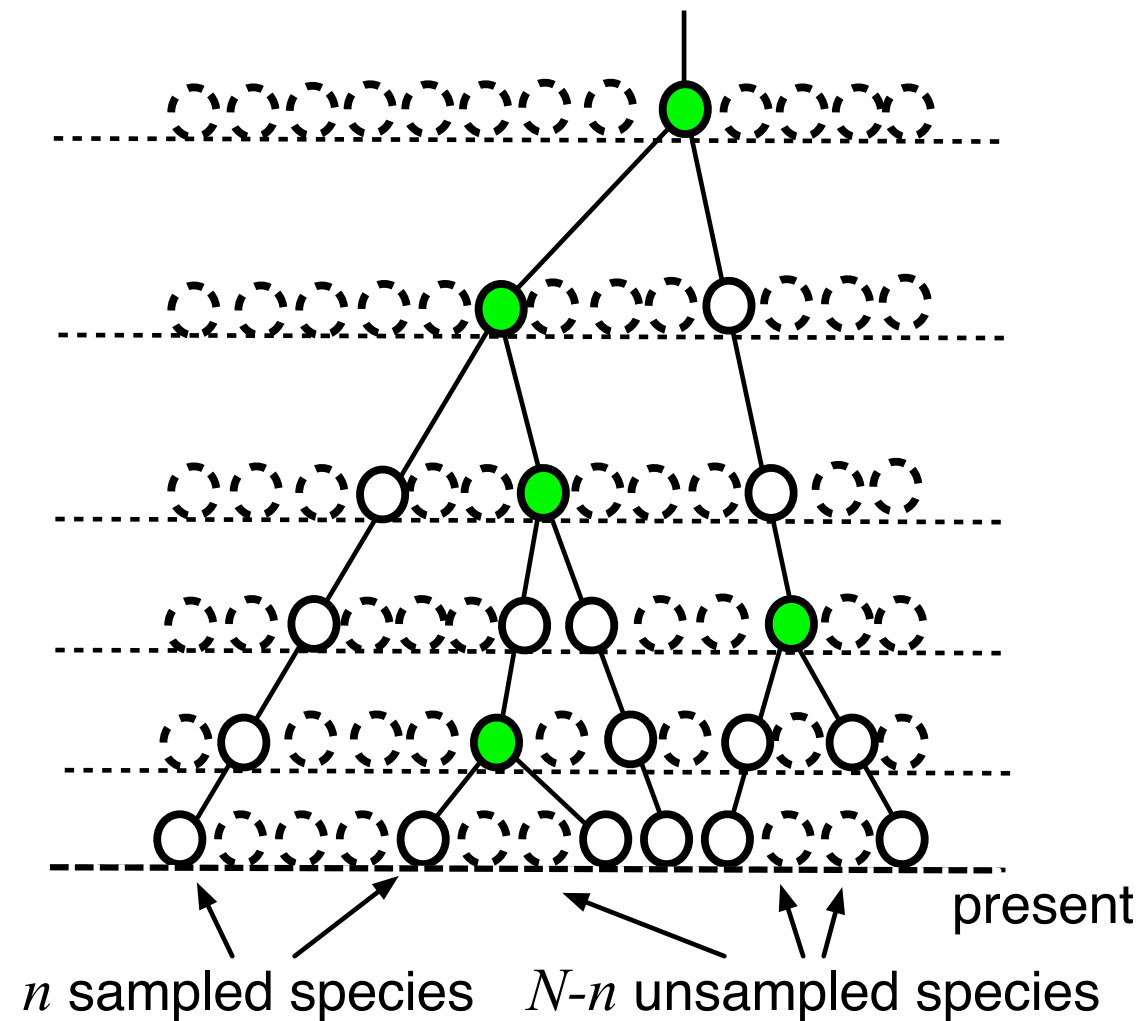
a) speciation dynamics



b) gene birth and death

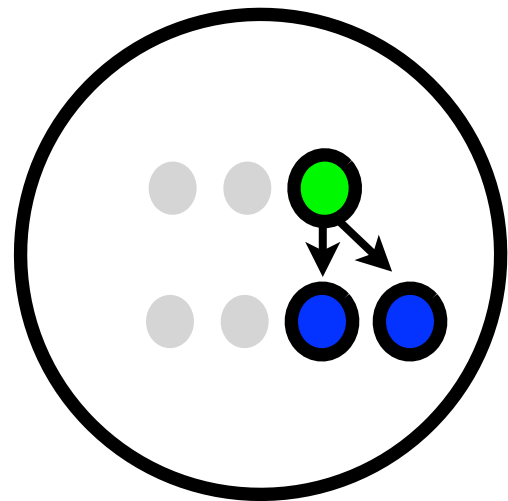


c) represented species phylogeny



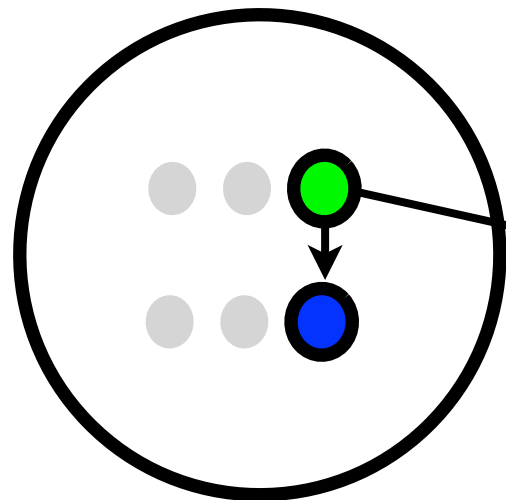
Gene birth-death by **D**uplication, **T**ransfer and **L**oss

Duplication



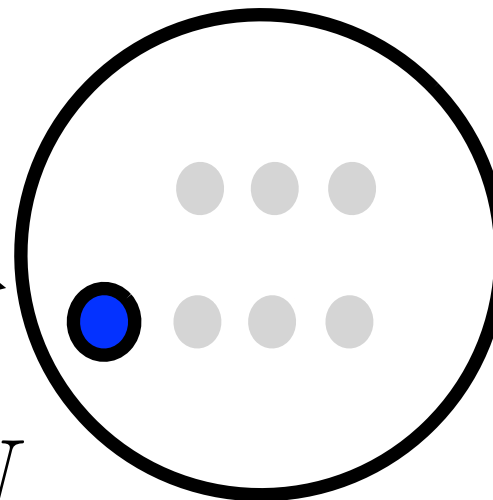
δ duplication rate

Transfer



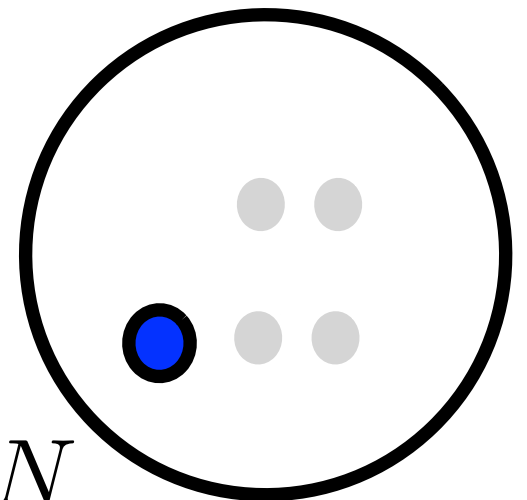
τ transfer rate

..



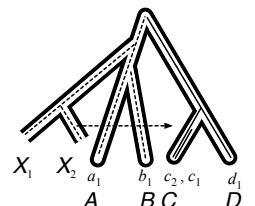
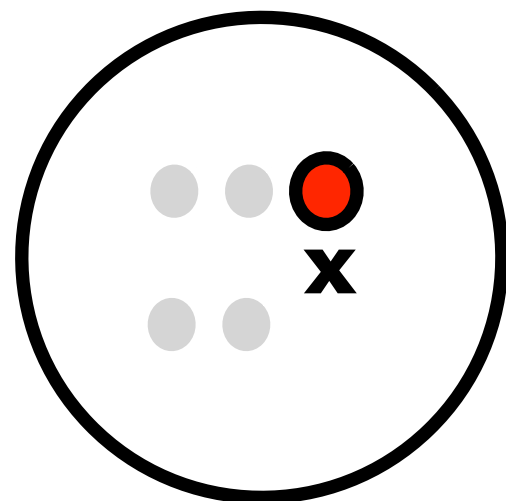
τ/N

..



τ/N

Loss



The combination of speciation dynamics and gene birth and death generates gene trees

σ speciation/extinction rate

δ gene duplication rate

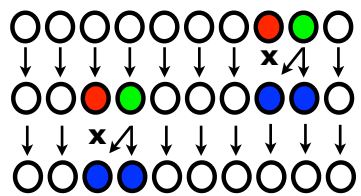
τ gene transfer rate

λ gene loss rate

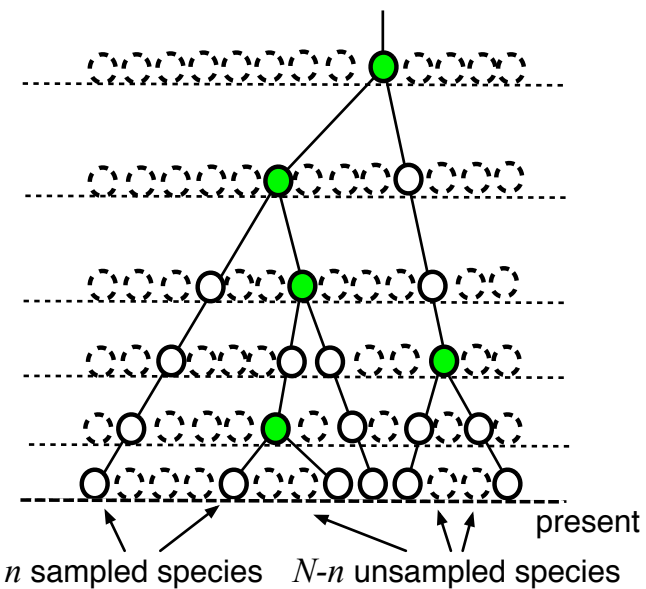


Daubin & Boussau 2011

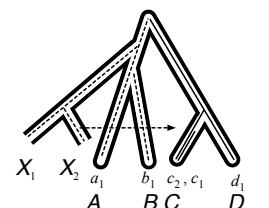
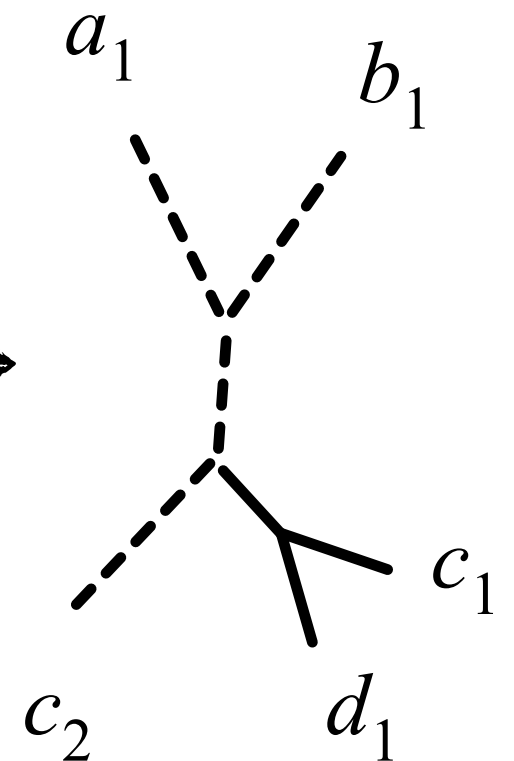
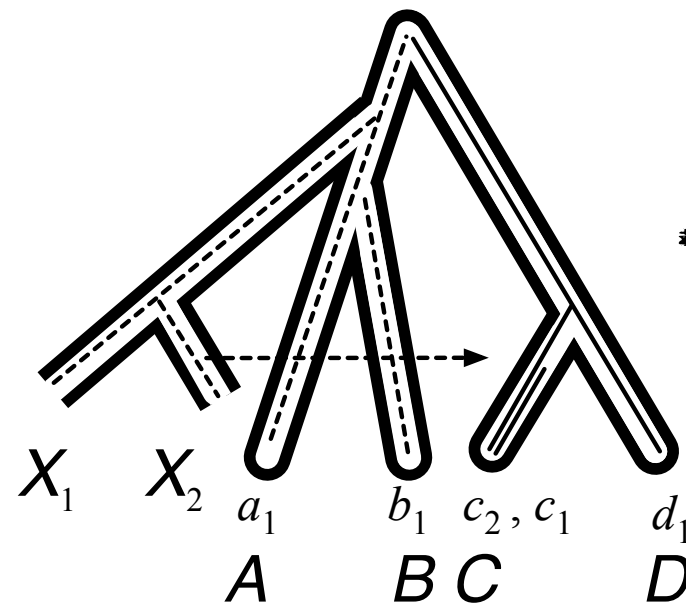
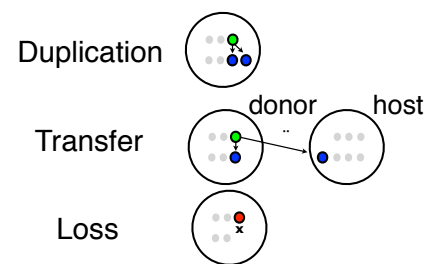
a) speciation dynamics



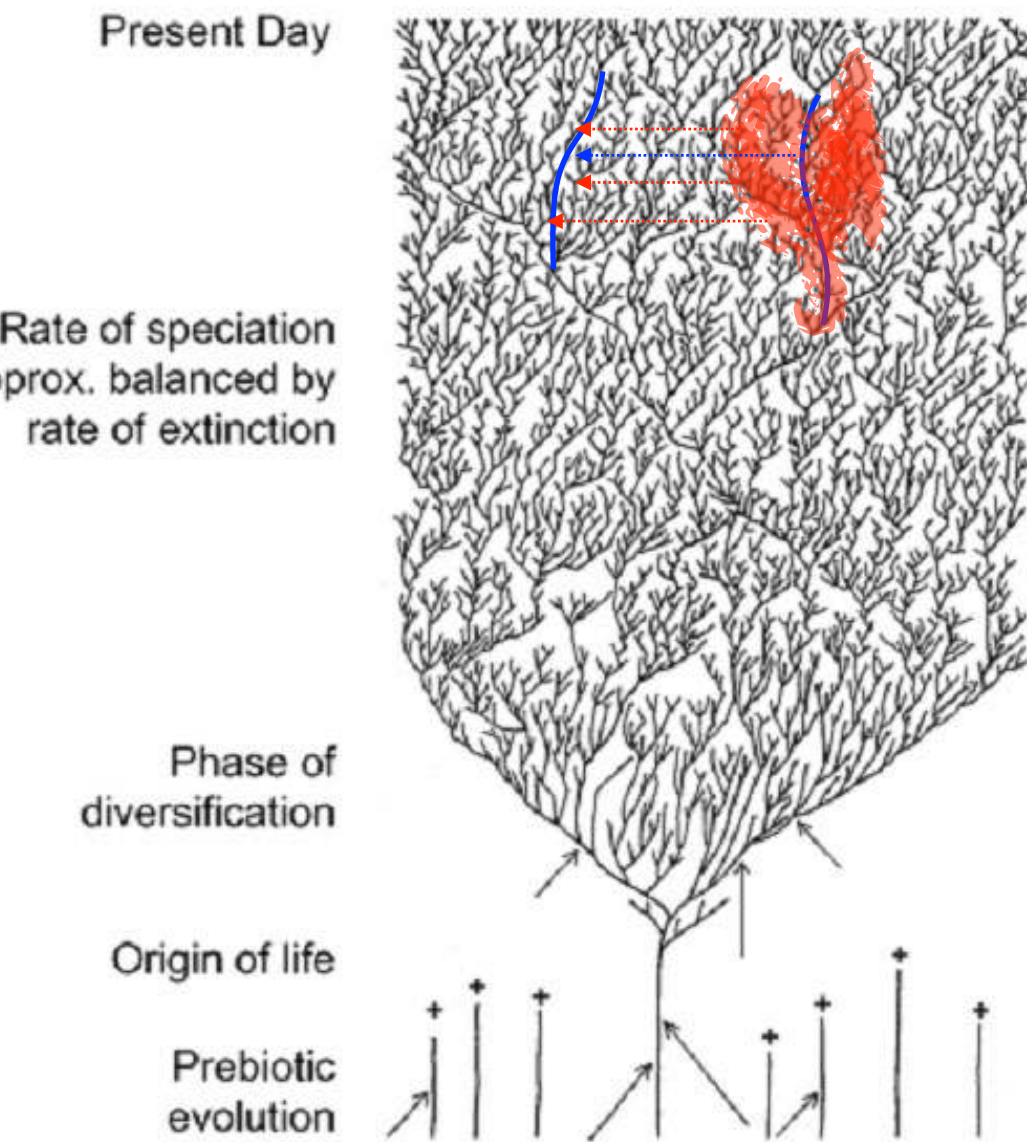
c) represented species phylogeny



b) gene birth and death

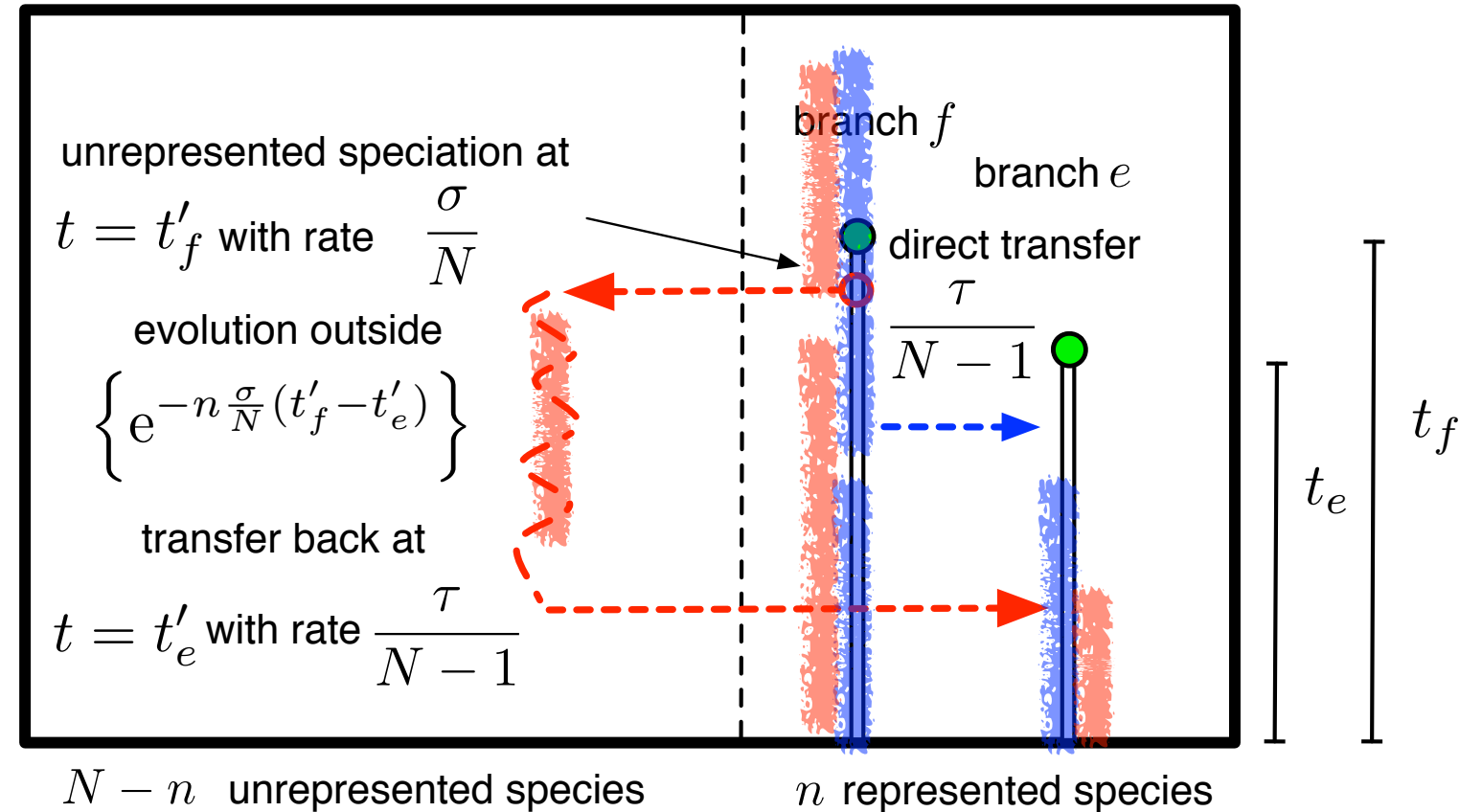


..(almost) all transfers are from the dead..



Gogarten lab

$$T_{\text{direct}} \approx \int_0^{\frac{N}{n\sigma}} \frac{\tau}{N} dt'_e = \frac{1}{N} \frac{\tau}{2n} \left[\frac{2N}{\sigma} \right],$$



$$T_{\text{indirect}} \approx \int_0^{\frac{N}{n\sigma}} \int_{t'_e}^{\frac{N}{n\sigma}} \tau \left\{ e^{-n \frac{\sigma}{N} (t'_f - t'_e)} \right\} \frac{\sigma}{N} dt'_f dt'_e$$

$$= \frac{1}{en} \frac{\tau}{2n} \left[\frac{2N}{\sigma} \right],$$

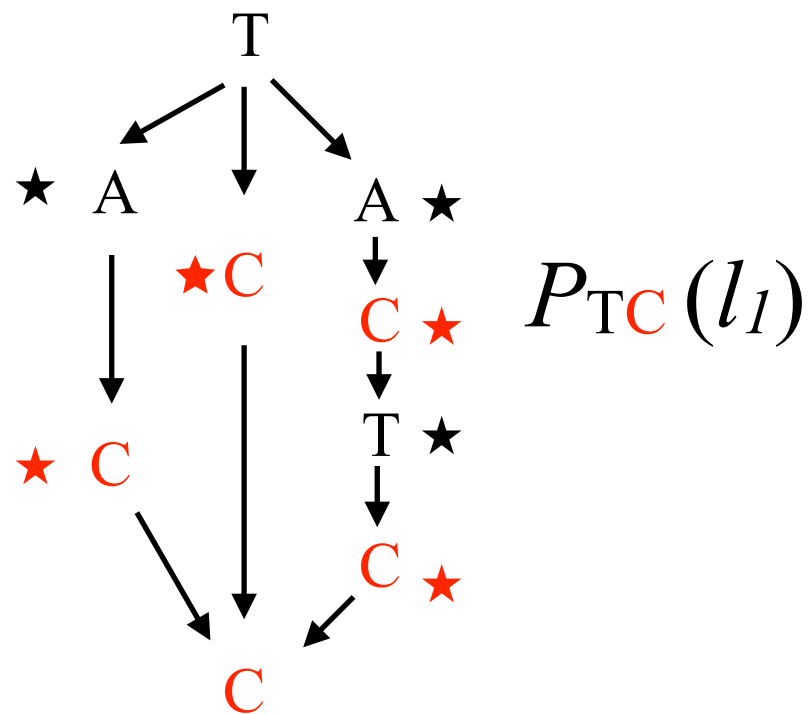
The story of homologs genes can be reconstructed

Calculating the likelihood of the sequences A given the gene tree G

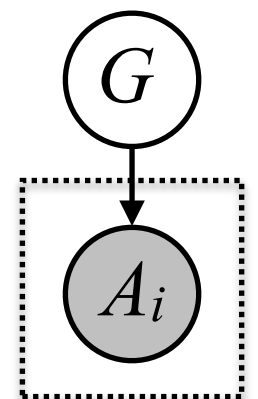
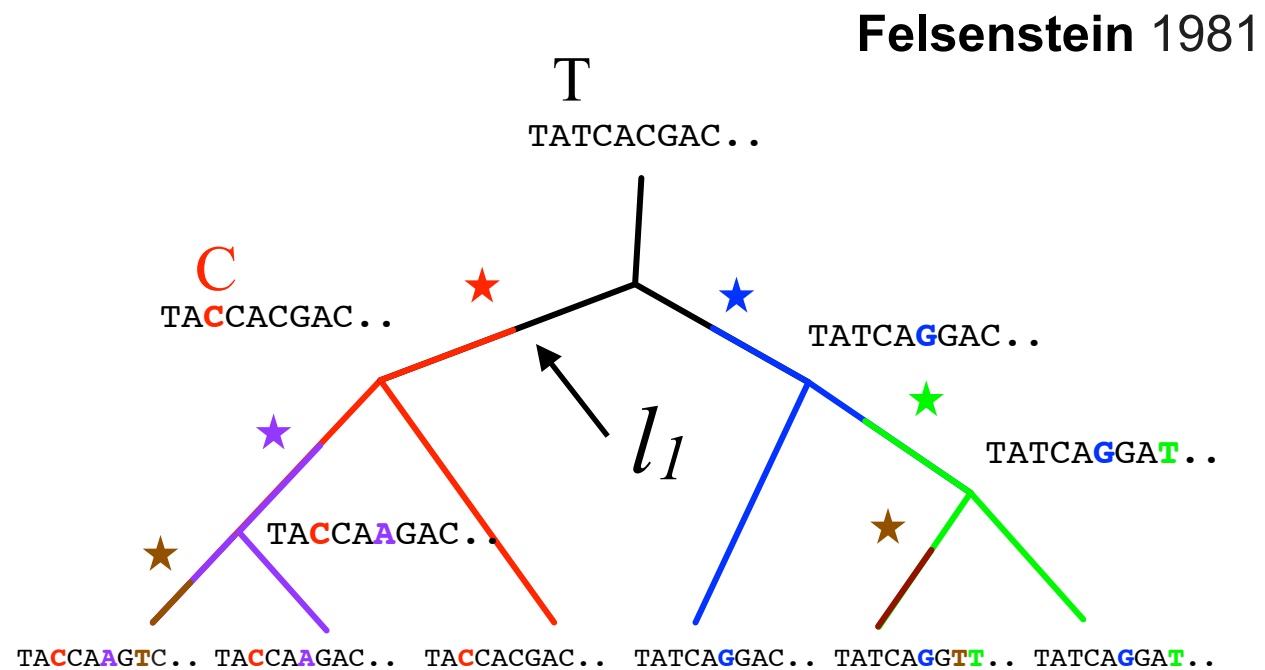
$$p(A|G)$$

requires summing over all possible substitution paths.

sum over subs. along branch
conditional on states on top and bottom



sum over ancestral states



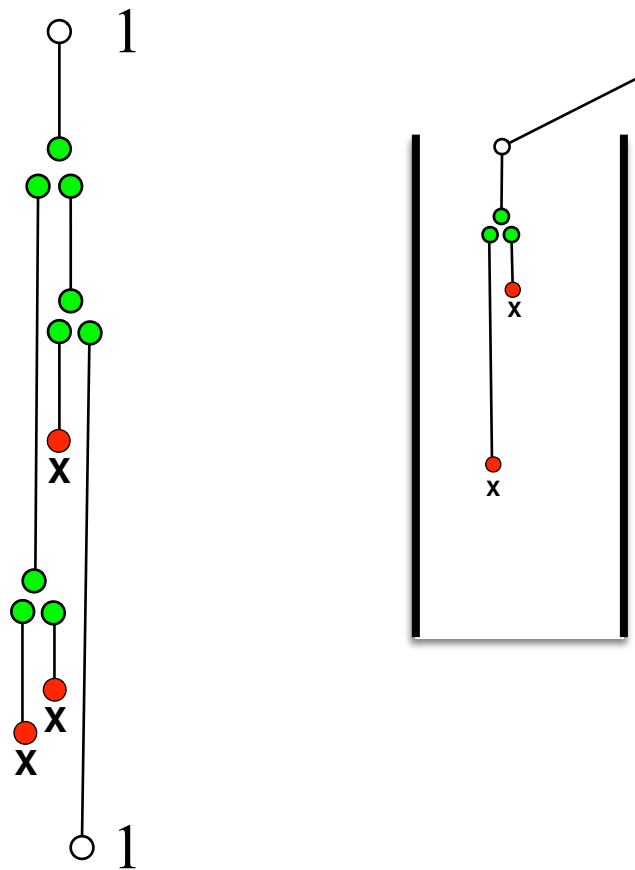
$$= .. \times P_{TC}(l_1) \times P_{CC}(l_2) \times P_{CC}(l_3) \times P_{CC}(l_4) \times ..$$

.. but gene trees are generated along the species tree

Given a model of gene family evolution a species tree induces a probability distribution over gene trees. For the DTL process to calculate the likelihood of a *gene tree* we sum over all possible *gene birth and death events* along a given *species tree*.

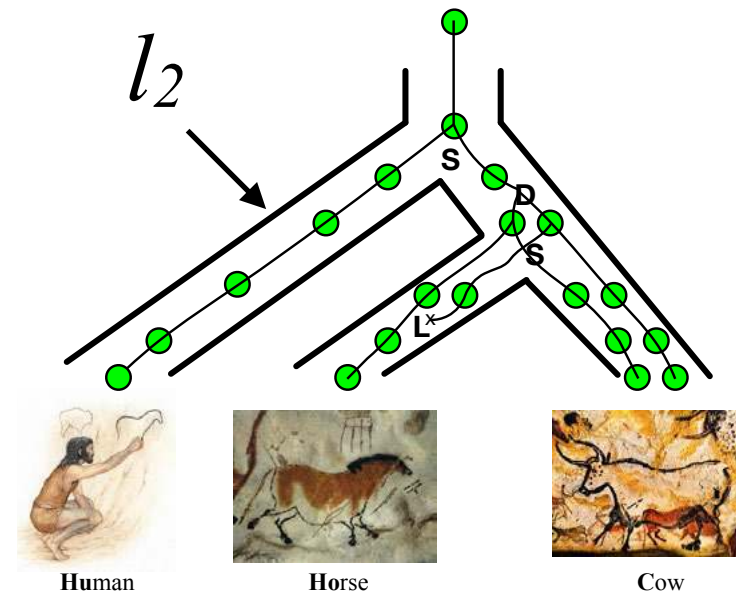
sum over gene birth and death events
along a branch conditional on reconciliation

$$P_{11}(l_2, \text{Hu}) \quad P_{10}(\text{Ho})$$

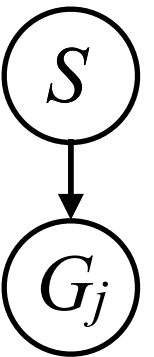


sum over all reconciliations with
species tree conditional on gene tree

Arvestad 2010



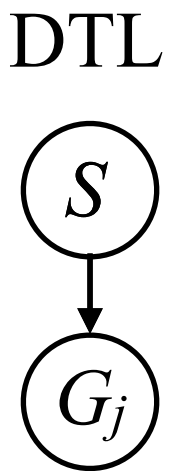
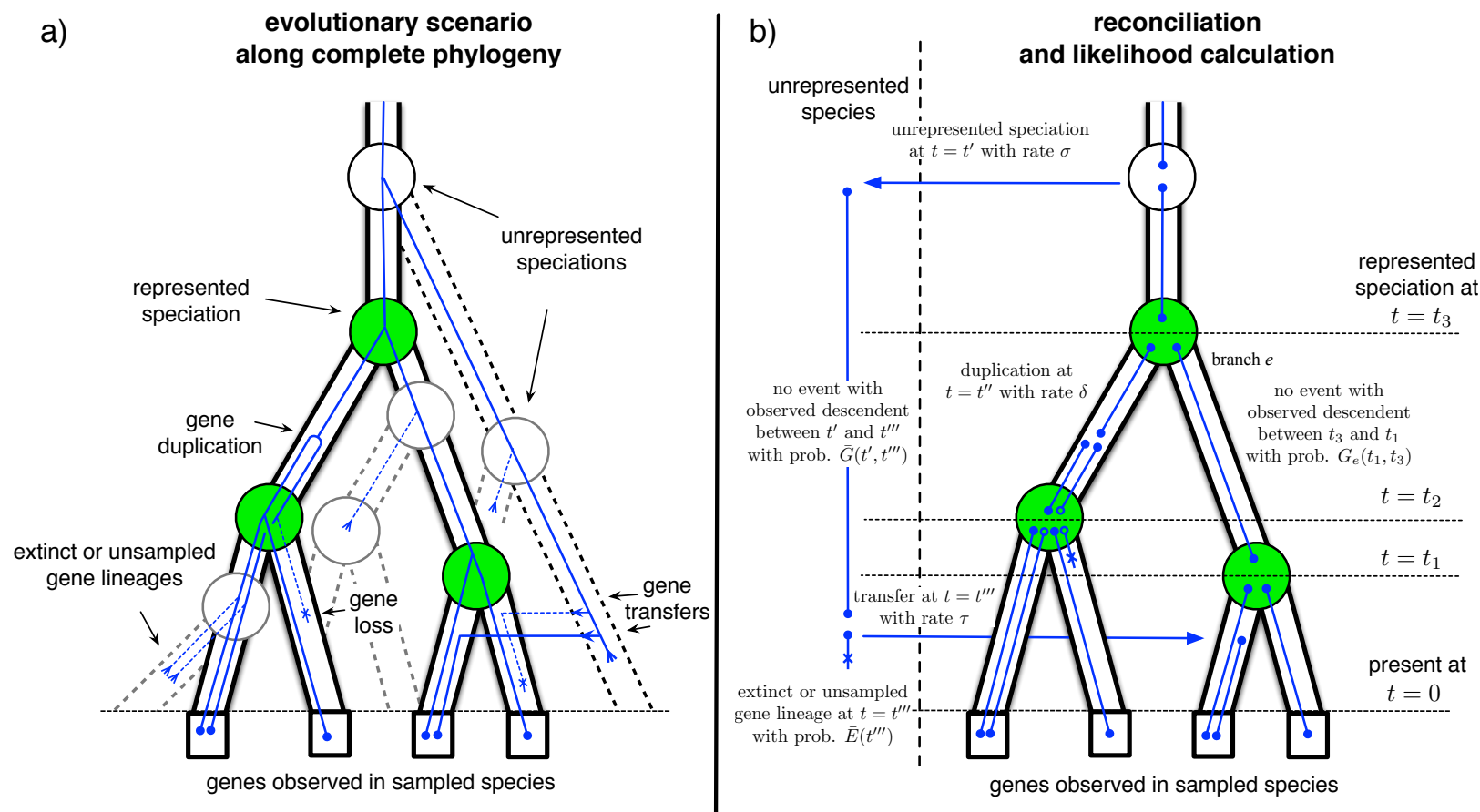
DL



.. but gene trees are generated along the species tree

Given a model of gene family evolution a species tree induces a probability distribution over gene trees. For the DTL process to calculate the likelihood of a *gene tree* we sum over all possible *gene birth and death events* along a given *species tree*.

calculating $p(G_i|S)$ is possible if $n \ll N$



implemented in ALE:

<http://github.com/ssolo/ALE>

Szöllősi, Tannier, Lartillot & Daubin *Systematic Biology* (2013)
Lateral Gene Transfer from the Dead

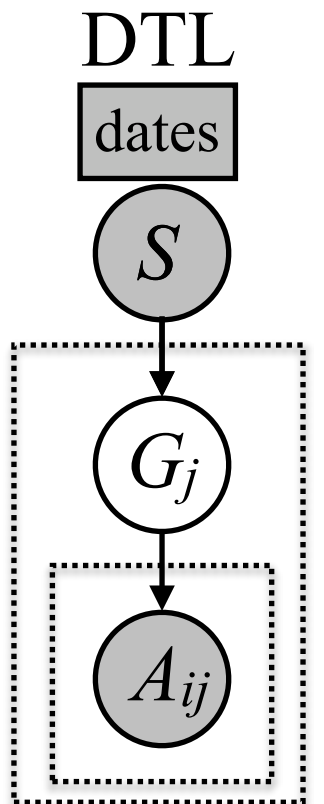
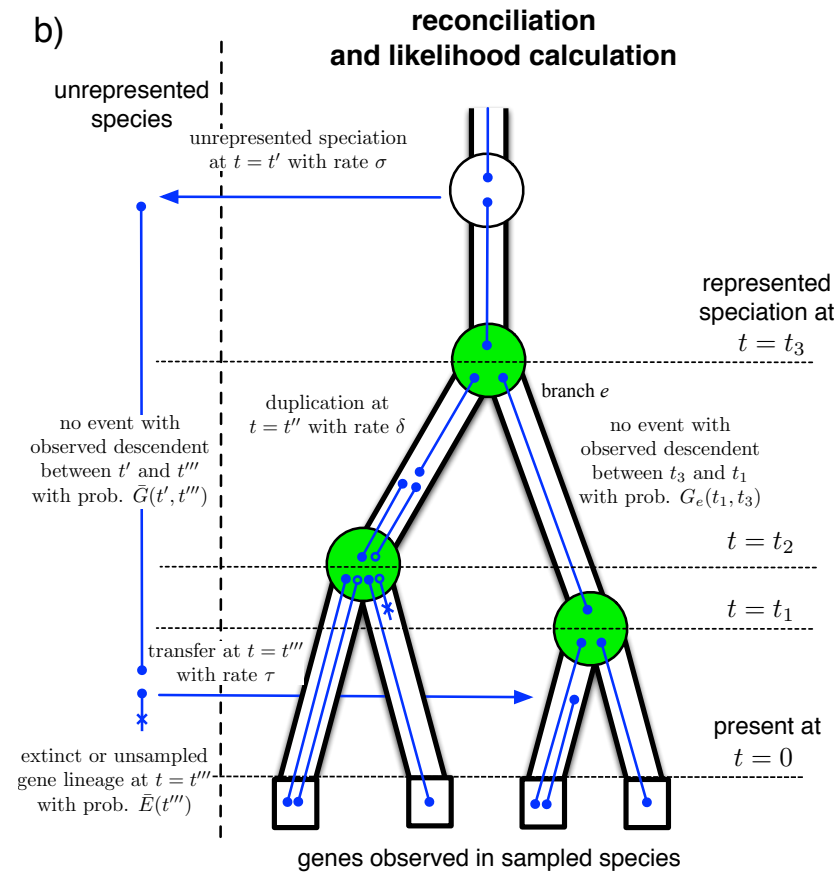
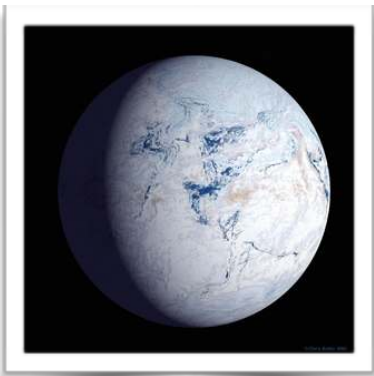
Szöllősi, Rosikiewicz, Boussau, Tannier & Daubin *Systematic Biology* (2013)
Efficient exploration of the space of reconciled gene trees

.. but gene trees are generated along the species tree

Modeling variation in N , the total number of species, over geological times, could be of particular interest. Indeed, a corollary of the observation that LGT events record evolutionary paths along the complete species tree is that the phylogenies of genes from a limited sample of extant species carry information about extinct lineages, and therefore about the size and dynamics of ancient biodiversity.

calculating $p(G_i|S)$ is possible if $n \ll N$

Can we detect mass extinction events?



implemented in ALE:

<http://github.com/ssolo/ALE>

Szöllősi, Tannier, Lartillot & Daubin *Systematic Biology* (2013)
Lateral Gene Transfer from the Dead

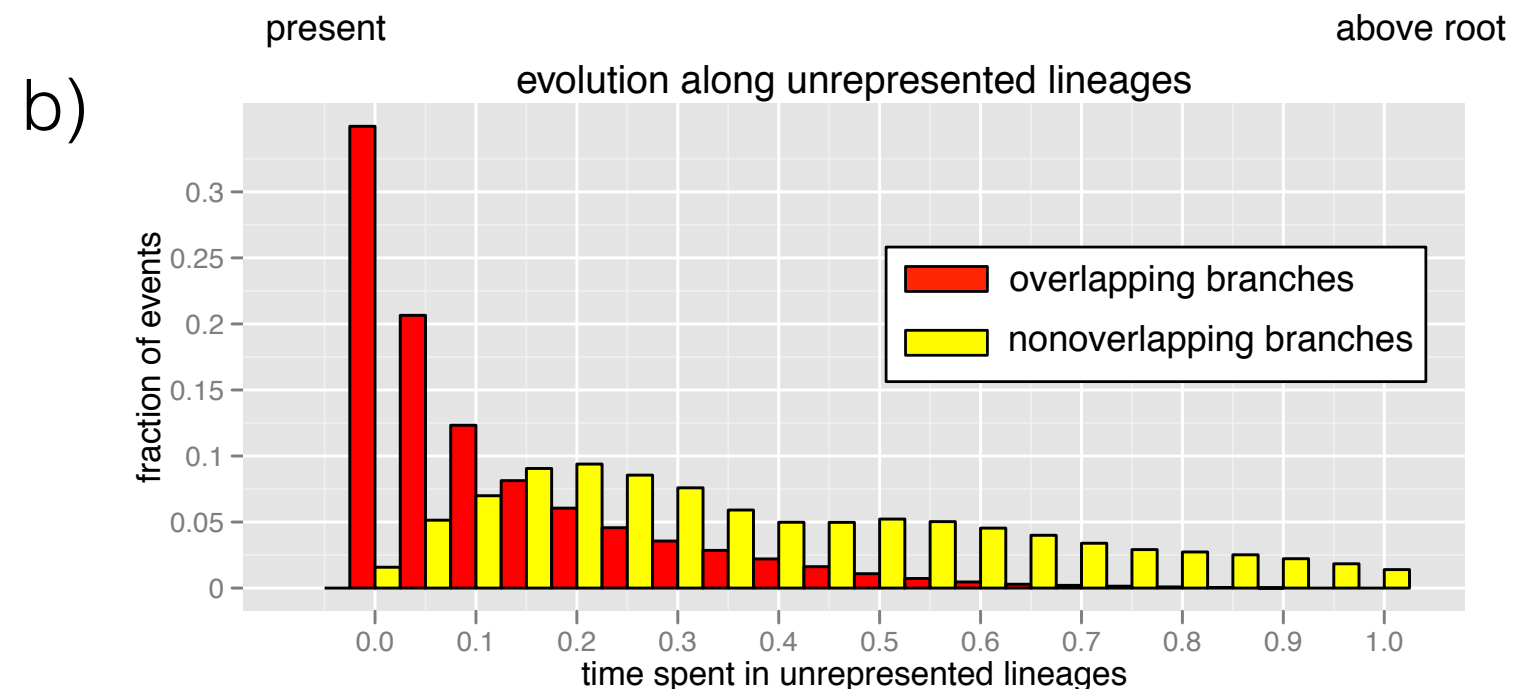
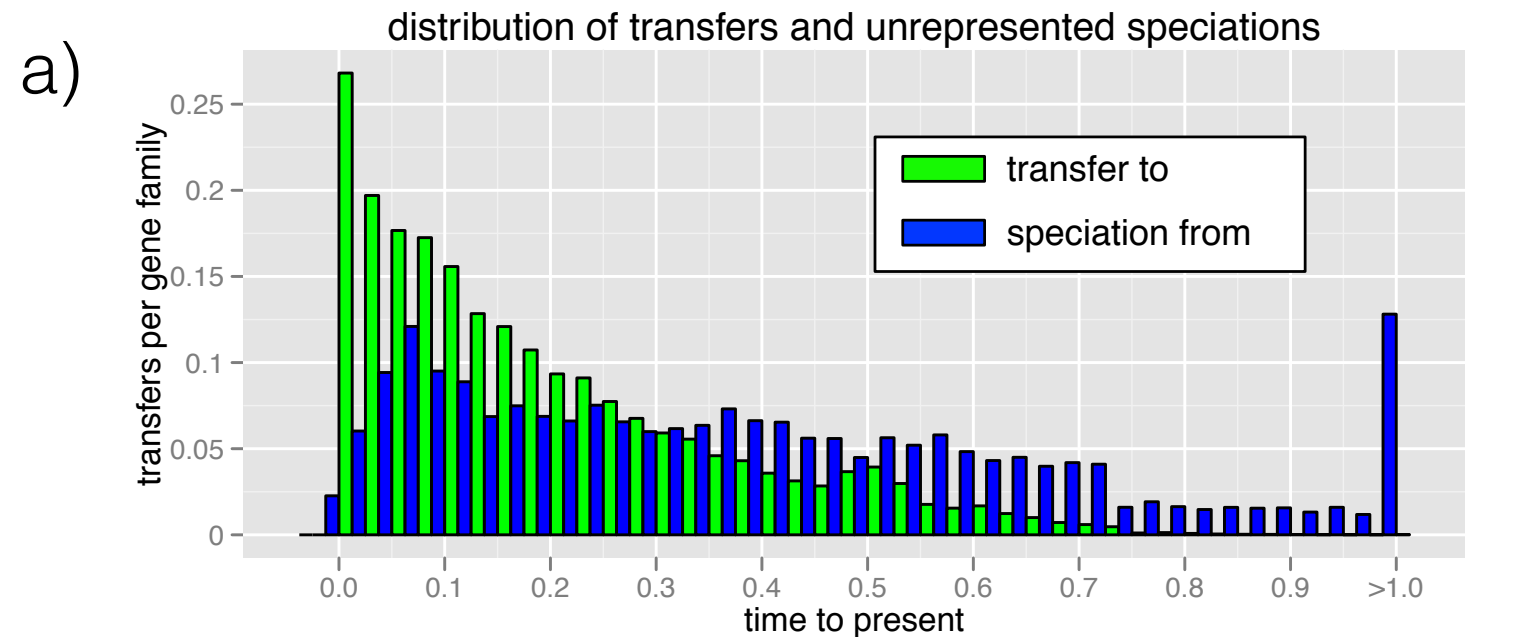
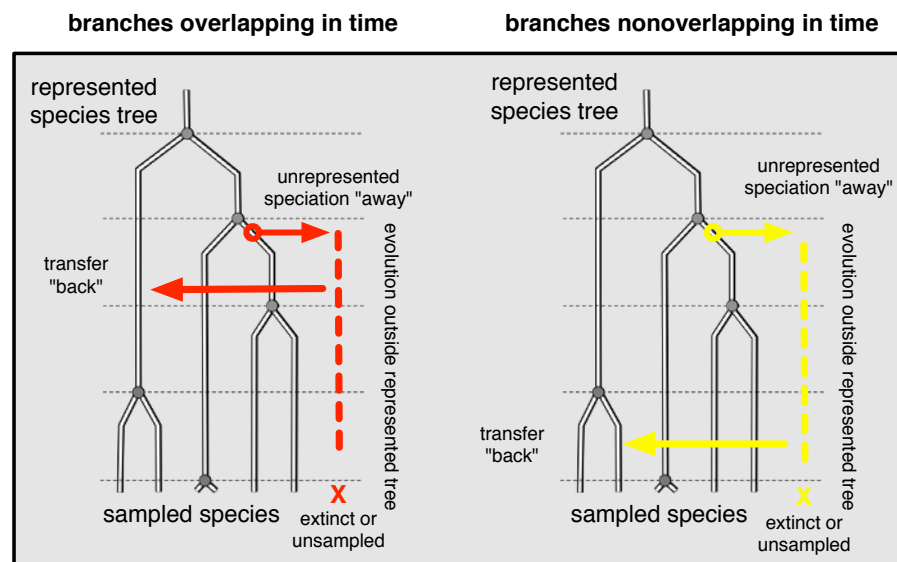
Szöllősi, Rosikiewicz, Boussau, Tannier & Daubin *Systematic Biology* (2013)
Efficient exploration of the space of reconciled gene trees

Routes to cyanobacterial genomes

474 single copy families from 36 cyanobacteria

28% of Ts between non-overlapping branches

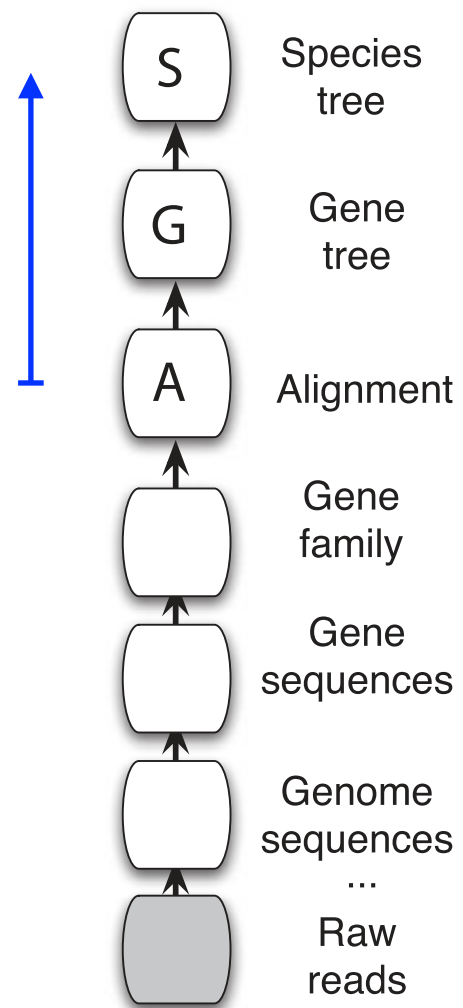
6% from above the root of Cyanobacteria



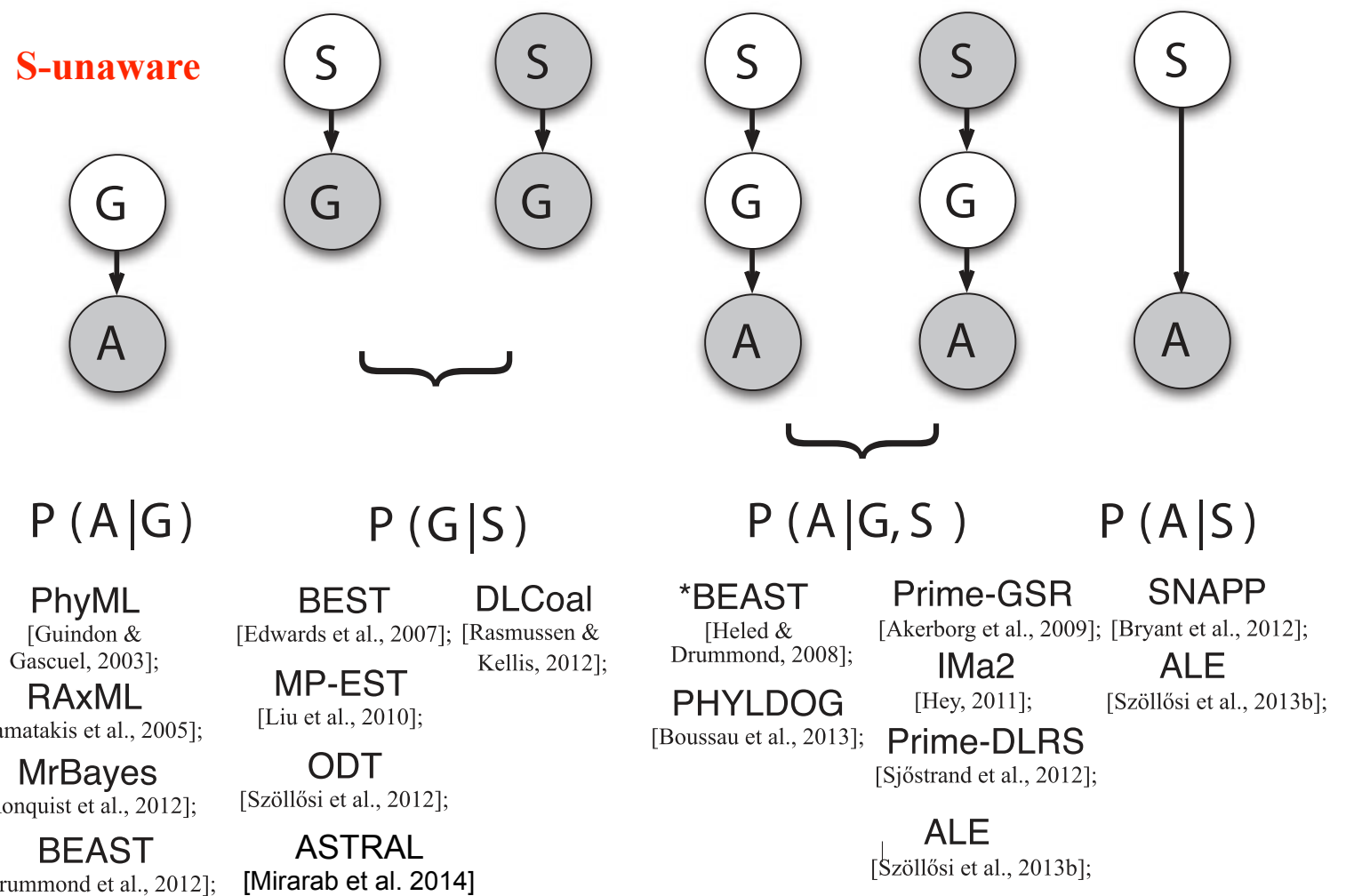
Species tree-awareness

S-aware

Phylogenomics inference pipeline



Gene tree-species tree models published in the literature



Szöllősi, ..., Boussau 2015 Syst. Biol.

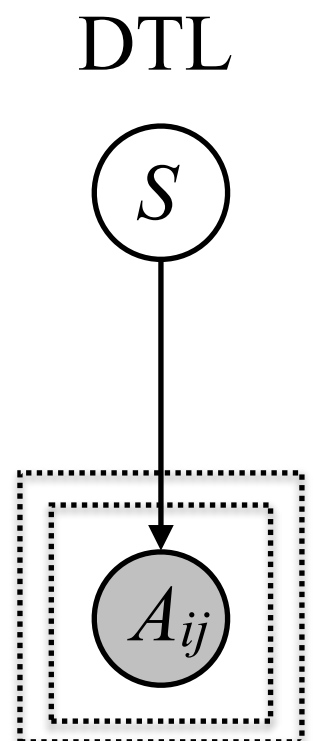
Efficiently integrating over the space of reconciled gene trees

using ALE we can approximate the integral in the DTL version of the Felsenstein equation:


$$P(A|S, \text{rates}) = \int_G p(A|G)p(G|S, \text{rates})$$

Felsenstein 1988

This opens the possibility for efficient MCMC or ML exploration of $\mathcal{L}(S, \text{rates}|A)$



Szöllősi, Rosikiewicz, Boussau, Tannier & Daubin *Systematic Biology* (2013)
Efficient exploration of the space of reconciled gene trees

Szöllősi, Tannier, Daubin & Boussau *Systematic Biology* (2015)
The inference of gene trees with species trees

Efficiently exploring the space of reconciled gene trees

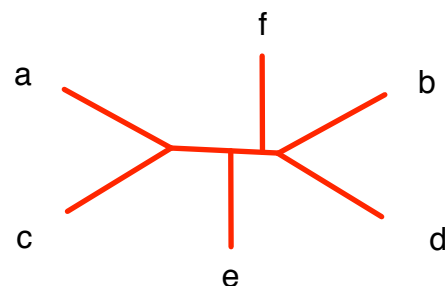
Based on a sample of trees conditional clade probabilities can be used to estimate posterior probability of any gene tree that can be amalgamated. This is usually a very large number of trees (e.g. for 10^4 samples 10^{12} trees, but up to 10^{40}).

Felsenstein 1981

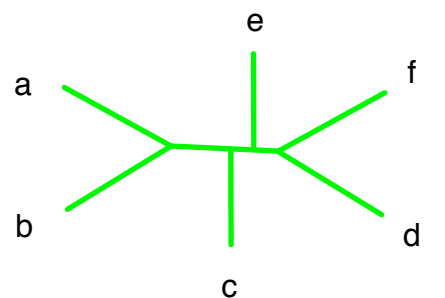
$$p(A|G)$$

Gene tree sample

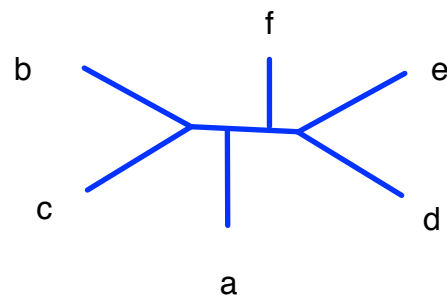
$$\approx 2/10 \quad 2X$$



$$\approx 3/10 \quad 3X$$



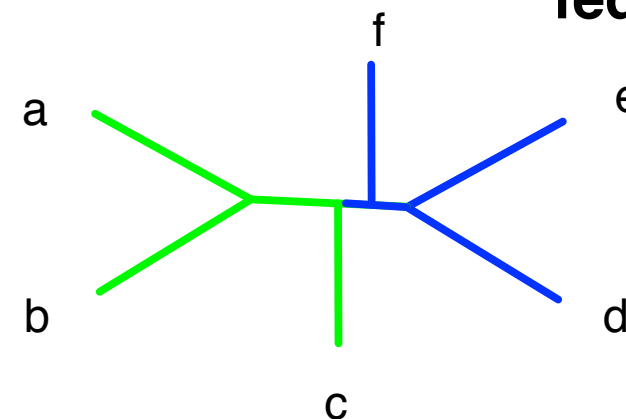
$$\approx 5/10 \quad 5X$$



$$p(A|G) \approx 3/8 \times 5/10$$

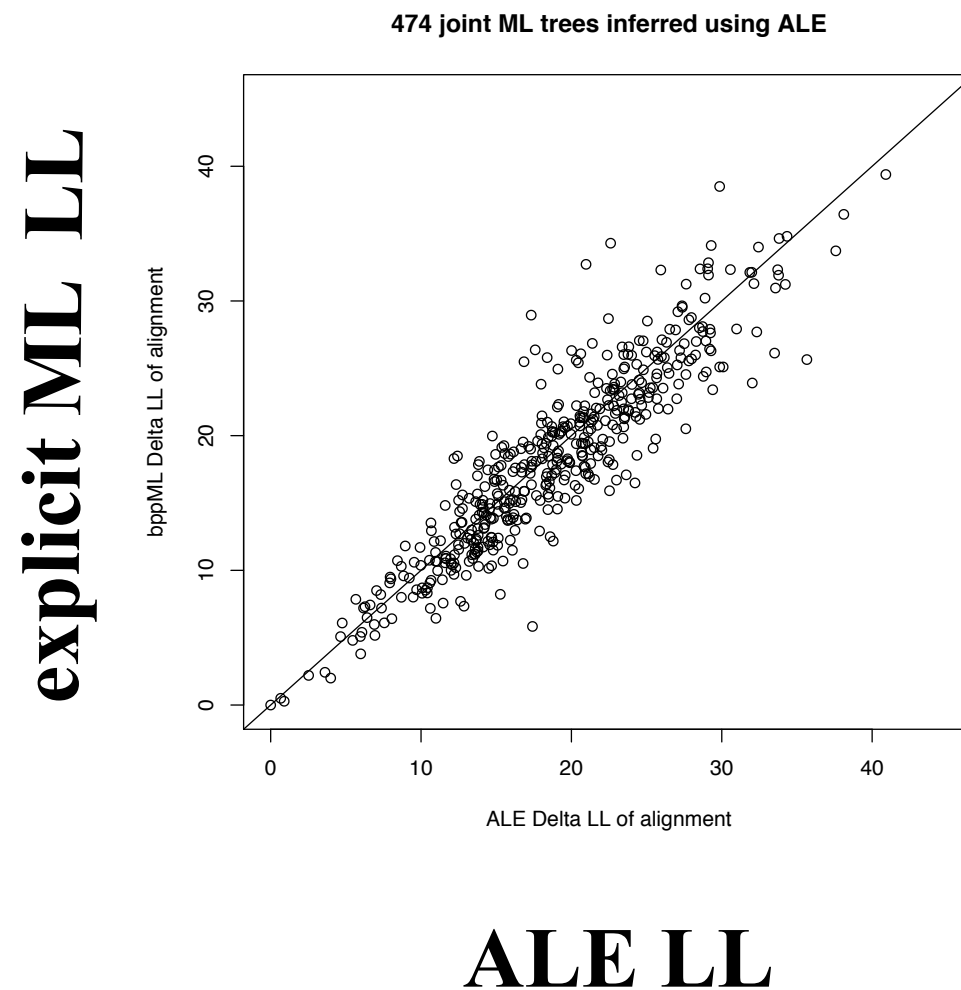
$$\frac{\mathbf{ab-c}}{\mathbf{abc}} = 3/8$$

$$\frac{\mathbf{ed-f}}{\mathbf{fed}} = 5/10$$



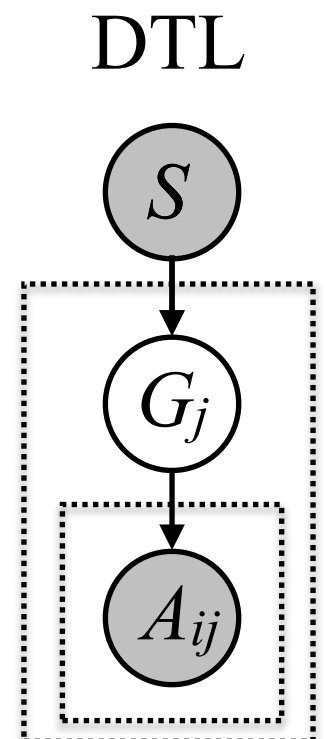
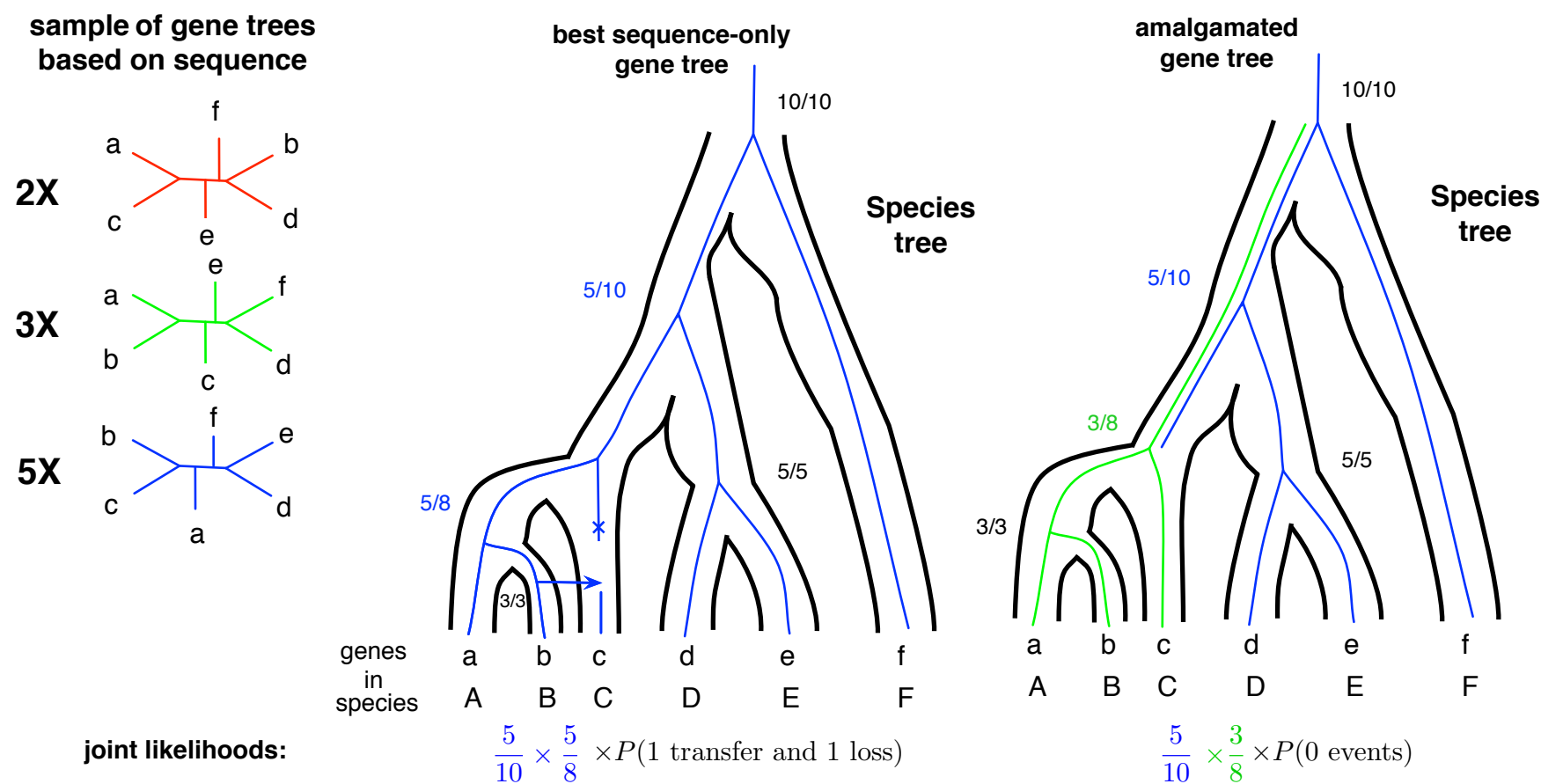
Efficiently exploring the space of reconciled gene trees

Based on a sample of trees conditional clade probabilities can be used to estimate posterior probability of any gene tree that can be amalgamated. This is usually a very large number of trees (e.g. for 10^4 samples 10^{12} trees, but up to 10^{40}).



Efficiently exploring the space of reconciled gene trees

Based on a sample of trees conditional clade probabilities can be used to estimate posterior probability of any gene tree that can be amalgamated. This is usually a very large number of trees (e.g. for 10^4 samples 10^{12} trees, but up to 10^{40}). *The dynamic programming used in gene tree-species tree reconciliation can be extended to approximate the joint likelihood efficiently for a very large set of gene trees.*



implemented in ALE:

<http://github.com/ssolo/ALE>

Szöllősi, Tannier, Lartillot & Daubin *Systematic Biology* (2013)
Lateral Gene Transfer from the Dead

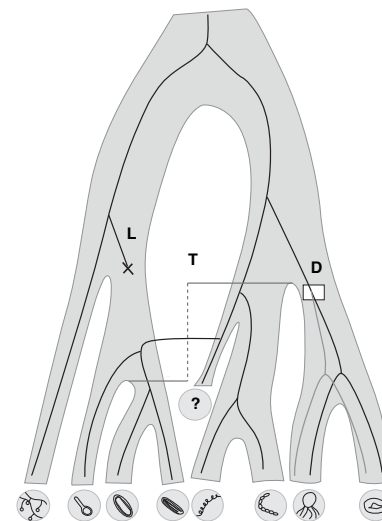
Szöllősi, Rosikiewicz, Boussau, Tannier & Daubin *Systematic Biology* (2013)
Efficient exploration of the space of reconciled gene trees

Szöllősi, Tannier, Daubin & Boussau *Systematic Biology* (2015)
The inference of gene trees with species trees

Using phylogenetic incongruence to reconstruct a dated ToL

Estimating genes and species history can be achieved through a hierarchical structure, on top of which a species tree is inferred from gene trees through models of gene family evolution, themselves inferred from sequence alignments through models of sequence evolution.

**Rooted dated
species tree**

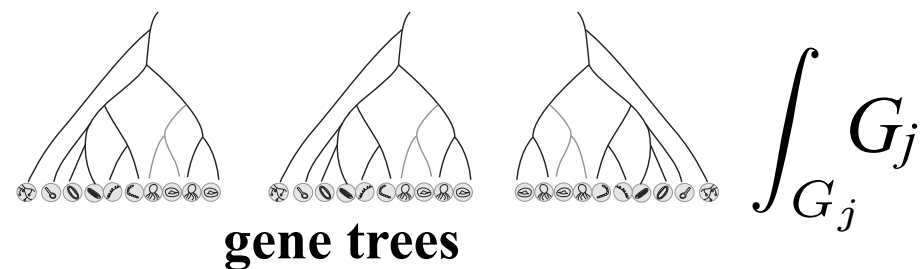


species tree
 S



Daubin & Boussau 2011

Fossils & HGT



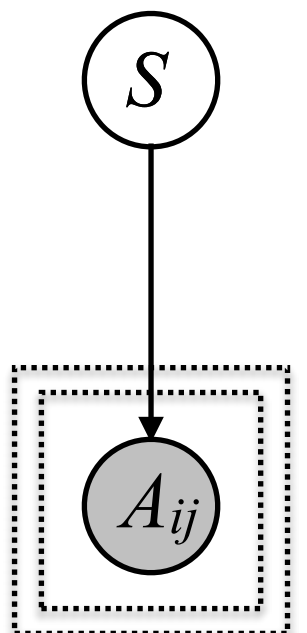
TATCAAGTC..	TATCAAGTC..	TATCAAGTC..
TATCAAGAC..	TATCAAGAC..	TATCAAGAC..
TATCACGAC..	TATCACGAC..	TATCACGAC..
TACCAAGAC..	TACCAAGAC..	TACCAAGAC..
TACCAAGT..	TACCAAGT..	TACCAAGT..
TACCAAGAT..	TACCAAGAT..	TACCAAGAT..

alignments

A_{ij}

**Alignment of
homologous genes
from complete genomes**

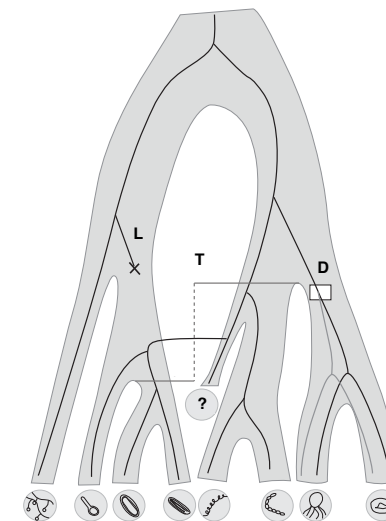
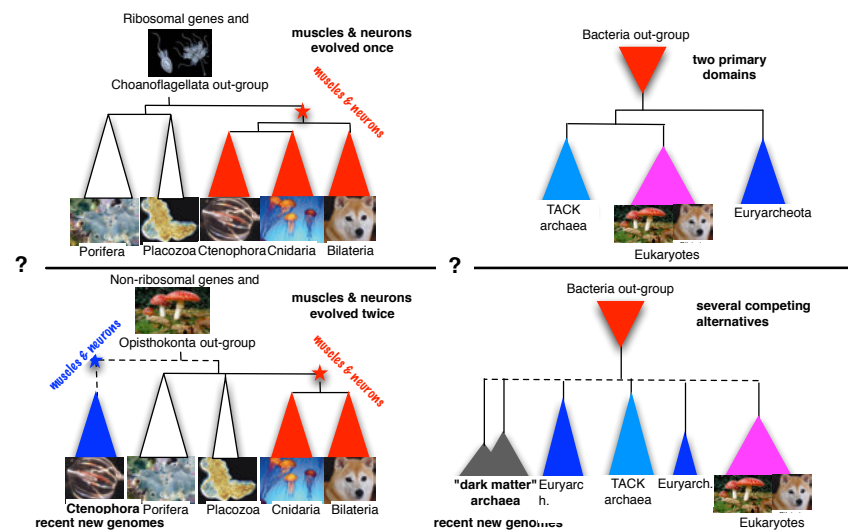
DTL



Using phylogenetic incongruence to reconstruct a dated ToL

Using a hierarchical model wherein gene trees are generated along the species tree and sequences are generated along gene trees we can *jointly* infer gene trees and species trees from sequences.

Rooted dated species tree

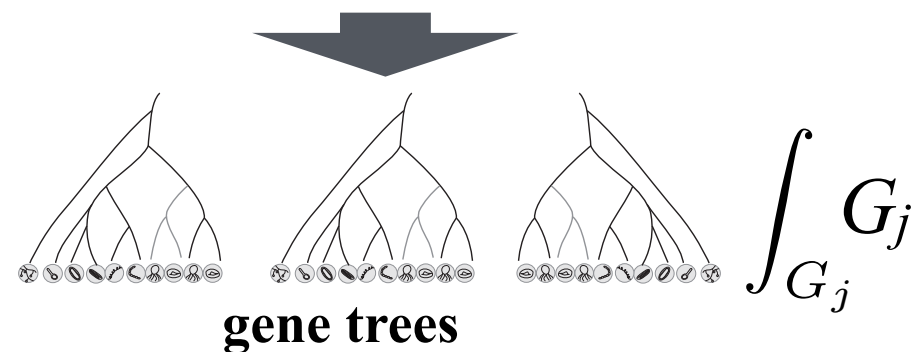


species tree

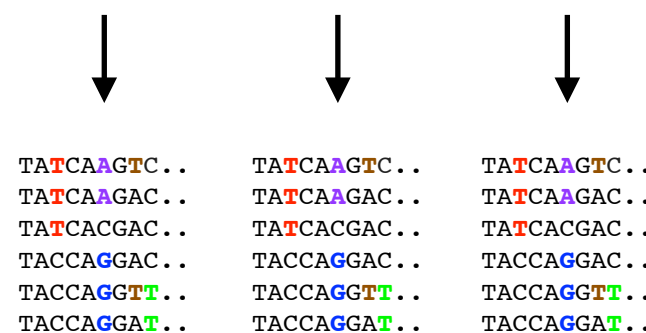
S



Daubin & Boussau 2011



gene trees



alignments

GENECLOCKS

DTL

